

08-26-2002

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OMB No. 0651-0027



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**HEET** U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Albert MAU  
Li-Ming DAI  
Shaoming HUANG  
Yong Yuan YANG  
Hui Zhu HE**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

8-20-02

2. Name and address of receiving party(ies):  
**COMMONWEALTH SCIENTIFIC AND INDUSTRIAL  
RESEARCH ORGANISATION**  
Limestone Avenue, Campbell  
Australian Capital Territory  
2601 Australia

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: **March 25, April 18, April 8, May 27,  
and May 27, 2002**

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No.(s)  
**09/979,793**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:  
**SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Suite 800  
Washington, D.C. 20037-3213**

6. Total number of applications and patents involved:  
**1**

7. Total fee (37 CFR 3.41): **\$40.00**  
☒ Enclosed.  
☐ Authorized to be charged to Deposit Account No. 19-4880.  
The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert V. Sloan Reg. No. 22,775 August 20, 2002 Date

Total number of pages including cover sheet, attachments, and documents: **3**  
Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks  
Box Assignment  
Washington, D.C. 20231

08/26/2002 DBYRME 00000094 09979793  
01 FC:581 40/00 OP

# Assignment

Whereas, I/We,

- (1) Albert MAU, an Australian citizen of 2 Dalkeith Close, Wheelers Hill, Victoria 3150, Australia;  
(2) Li-ming DAI, an Australian citizen of 237 Jells Road, Wheelers Hill, Victoria 3150, Australia;  
(3) Shaoming HUANG, a Chinese citizen of 7/126 Wellington Road, Clayton, Victoria 3168, Australia;  
(4) Yong Yuan YANG, a Chinese citizen of Institute of Photographic Chemistry, The Chinese Academy of Science, Beijing 100101, People's Republic of China;  
(5) Hui Zhu HE, a Chinese citizen of Institute of Photographic Chemistry, The Chinese Academy of Science, Beijing 100101, People's Republic of China, respectively.

hereinafter called assignor(s), have invented certain improvements in

## Patterned carbon nanotube films

and executed an application for Letters Patent of the United States of America therefor on

\_\_\_\_\_ ;and

Whereas,

## COMMONWEALTH SCIENTIFIC AND INDUSTRIAL RESEARCH ORGANISATION,

of Limestone Avenue, Campbell, Australian Capital Territory, 2601, Australia.


(assignee), desires to acquire the entire right , title, and interest in the application and invention, and to any United States patents to be obtained therefor;

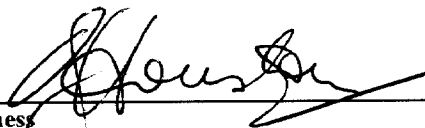
Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S. C. § 119, and I/We request the Director – U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.


I/We hereby authorize and request our attorneys SUGHRUE MION, PLLC of 2100 Pennsylvania Avenue, NW, Washington, DC 20037-3213 to insert here in parentheses (Application number 09/979,793 and Confirmation number 3235, filed November 27, 2001 ) the filing date and application number of said application when known.

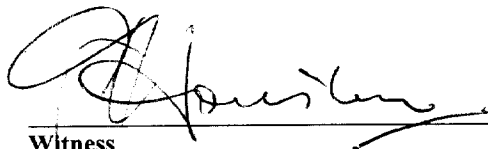
Date: 25/3/02

s/   
Albert MAU


Witness 

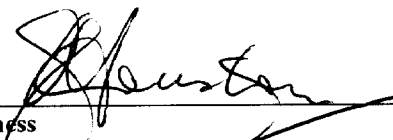
Date: 18/04/02

s/   
Li-ming DAI


Witness 

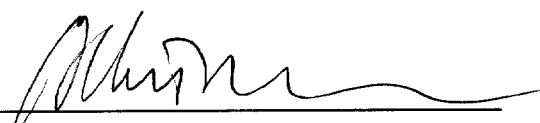
Date: 8/04/02

s/   
Shaoming HUANG

Witness 

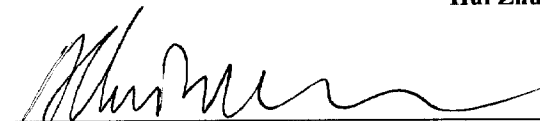
Date: 27/05/02

s/   
Yong Yuan YANG

Witness 

Date: 27/05/02

s/   
Hui Zhu HE

Witness 

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)