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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ling Jong
Wan-Ru Chao

18-2002

2. Name and address of receiving party(ies):

Name: SRI International

Internal Address:

Street Address: 333 Ravenswood Avenue

City: Menlo Park State: CA Zip: 94025

Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: August 19, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 19, 2002

A. Patent Application No.(s):

10/224979

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dianne E. Reed, Reg. No. 31,292

Internal Address:

Street Address: REED & ASSOCIATES

800 Menlo Avenue, Suite 210

City: Menlo Park State: CA Zip: 94025

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

18-0580

(Attach duplicate copy of this page is paying by deposit account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianne E. Reed, Reg. No. 31,292

Name of Person Signing

Signature

8/20/02

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

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Washington, DC 20231

08/23/2002 SDIRETA1 00000022 10224979

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PATENT
REEL: 013212 FRAME: 0895

ASSIGNMENT

THIS ASSIGNMENT, by Ling Jong and Wan-Ru Chao, both residing at Sunnyvale, California (hereinafter referred to as "the assignors"), witnesseth:

WHEREAS, the assignors have invented certain new and useful improvements in ANALOGS OF INDOLE-3-CARBINOL METABOLITES AS CHEMOTHERAPEUTIC AND CHEMOPREVENTIVE AGENTS (hereinafter referred to as "the invention"), set forth in an application for Letters Patent of the United States filed on August 20, 2002 (hereinafter referred to as "the application").

WHEREAS, SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 (hereinafter referred to as "the assignee") is desirous of acquiring the entire right, title and interest in and to the invention and the application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in exchange for good and sufficient consideration, the receipt of which is hereby acknowledged, the assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or Patents in the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of the application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the assignors hereby covenant and agree to and with the assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the assignors hereby covenant and agree to and with the assignee, its successors, legal representatives and assigns, that the assignors will, whenever counsel of the assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with the invention, or the application, or any proceeding in connection with

Letters Patent for the invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and perform all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for the invention, without charge to the assignee, its successors, legal representatives and assigns, but at the cost and expense of the assignee, its successors, legal representatives and assigns.

AND the assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the assignee as the assignee of the invention and the Letters Patent to be issued thereon for the sole use and behoof of the assignee, its successors, legal representatives and assigns.

Date: 8/20/2002

Ling Jong
Ling JONG

Date: 8/20/2002

Wan-Ru Chao
Wan-Ru CHAO

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

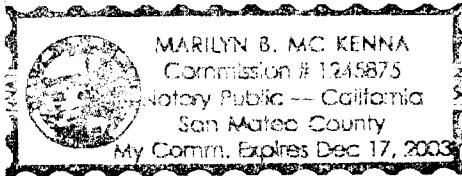
No. 5907

State of California
County of San Mateo

On Aug. 20 2002 before me, Marilyn B. McKenna, Notary Public
DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"

personally appeared Ling Jang and Wan - Kue Chao
NAME(S) OF SIGNER(S)

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Marilyn B. McKenna
SIGNATURE OF NOTARY

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