

08-27-2002



SHEET

DOCKET #: 46-02

To the Honorable Commissioner of Patents and Trademarks

102202704

copy thereof

1. Name of conveying party(ies):

Ronald Lee Cook  
Brian John Elliott  
Silvia DeVito Luebben  
Andrew William Myers  
Bryan Matthew Smith

8-21-02

2. Name and address of receiving party(ies)

Name: TDA Research

Address: 12345 West 52<sup>nd</sup> Avenue  
Wheat Ridge, Colorado 80033

Additional name(s) of conveying party(ies) attached?  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of name
- Other

Execution Date: 6/18/02, 6/18/02, 7/8/02, 6/19/02, 6/18/02

OFFICE OF PUBLIC RECORDS  
2002 AUG 21 AM 10:34  
FINANCE SECTION

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the Application is

A. Patent Application No.(s)

B. Patent No.(s)

10/171,402

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greenlee, Winner & Sullivan, P.C.

Internal Address:

Street Address: 5370 Manhattan Circle, Ste. 201

City: Boulder State: CO ZIP: 80303

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit Account Number 07-1969

08/26/2002 TDAZ1 00000205 10171402

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40.00 00

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any Attached copy is a true copy of the original document.

Susan K. Doughty

August 21, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5

## ASSIGNMENT

WHEREAS, WE, Ronald Lee Cook, Brian John Elliott, Silvia DeVito Luebben, Andrew William Myers, Bryan Matthew Smith (herein called "ASSIGNORS"), residing at 754 S. Braun Street, Lakewood, Colorado 80228, 1637 S. Riverbend Lane, Superior, Colorado 80027, 18855 W. 59<sup>th</sup> Drive, Golden, Colorado 80403, 12187 W. 69<sup>th</sup> Avenue, Arvada, Colorado, 80004 and 1100 Toedtli Drive, Boulder, Colorado 80305, respectively, are the inventors of the invention "*Surface Modified Particles by Multi-Step Addition and Process for the Preparation Thereof*" for which an application for a Patent of the United States was filed June 12, 2002, Serial No. 10/171,402.

WHEREAS, TDA Research (herein called "ASSIGNEE"), an organization existing under the laws of the State of Colorado, having a principal place of business at 12345 West 52<sup>nd</sup> Avenue, Wheat Ridge, Colorado 80033, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of our contractual or other legal obligations to assign said subject matter and other good and valuable consideration the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States Application and all continuations and subsequent applications, including divisional applications thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority of the United States under the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

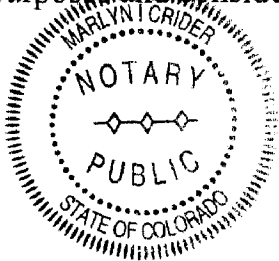
AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all continuing and subsequent applications, including divisional, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals the day and year set opposite our signatures.

Date: JUNE 18, 2002 *Ronald L. Cook*  
Ronald Lee Cook

STATE OF Colorado )  
 ) ss.  
COUNTY OF Jefferson )

BEFORE ME, the undersigned authority, on this 18<sup>th</sup> day of June, 2002, personally appeared Ronald Lee Cook, known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purposes and consideration therein expressed.



(SEAL)

*Marilyn Crider*  
Notary Public

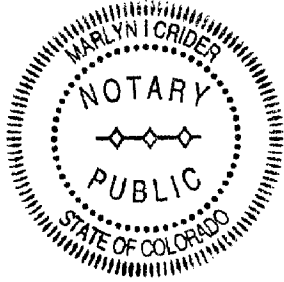
My commission expires: **MY COMMISSION EXPIRES:  
May 1, 2004**

Date: 6-18-02

Brian J Elliott  
Brian John Elliott

STATE OF Colorado )  
 ) ss.  
COUNTY OF Jefferson )

BEFORE ME, the undersigned authority, on this 18<sup>th</sup> day of June, 2002, personally appeared Brian John Elliott, known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purposes and consideration therein expressed.



(SEAL)

Marlyn I Crider  
Notary Public

My commission expires:

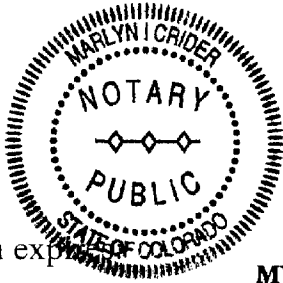
**MY COMMISSION EXPIRES:  
May 1, 2004**

Date: 8 July 2002

Silvia DeVito Luebben  
Silvia DeVito Luebben

STATE OF Colorado )  
 ) ss.  
COUNTY OF Jefferson )

BEFORE ME, the undersigned authority, on this 8<sup>th</sup> day of July, 2002, personally appeared Silvia DeVito Luebben, known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purposes and consideration therein expressed.



(SEAL)

Marlyn I Crider  
Notary Public

My commission expires:

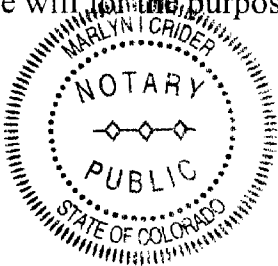
**MY COMMISSION EXPIRES:  
May 1, 2004**

Date: 19 June 2002

Andrew William Myers  
Andrew William Myers

STATE OF Colorado )  
 ) ss.  
COUNTY OF Jefferson )

BEFORE ME, the undersigned authority, on this 19<sup>th</sup> day of June, 2002, personally appeared Andrew William Myers, known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purposes and consideration therein expressed.



Marlyn Crider  
Notary Public

(SEAL)

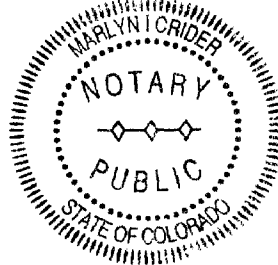
My commission expires: **MY COMMISSION EXPIRES: May 1, 2004**

Date: 18 Jun 02

Brian Matthew Smith  
Brian Matthew Smith  
*Bit Bryan*

STATE OF Colorado )  
 ) ss.  
COUNTY OF Jefferson )

BEFORE ME, the undersigned authority, on this 18<sup>th</sup> day of June, 2002, personally appeared Brian Matthew Smith, known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purposes and consideration therein expressed.



Marlyn Crider  
Notary Public

(SEAL)

My commission expires: **MY COMMISSION EXPIRES: May 1, 2004**