Docket No.: 56209 (72021) FORM PTO-1595 (Modified) 'R SHEET U.S. DEPARTMENT OF COMMERCE 08-27-2002 :Rev. 03-01) Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) LY P08A/REV03 Tab settings → → To the Honorable Commissioner J. . . . cord the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Jianhua Huang Name: Neurogen Corporation **Kevin HODGETTS** -20-02 **Dario DOLLER** Address: 35 Northeast Industrial Road Ping GE Yasuchika YAMAGUCHI Additional names(s) of conveying party(ies) 3. Nature of conveyance: ■ Assignment Merger City: Bransford State/Prov.: CT Change of Name ☐ Security Agreement ZIP: 0405 Country: USA ☐ Other Execution Date: July 24, 2002; August 5, 2002; August 6, 2002 Additional name(s) & address(es) ☐ Yes ⊠ No 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) Filing date Patent Application No. 10/154,482 May 22, 2002 08/22/2002 CCHAU1 00000136 10154482 04 FC:581 40.00 DE ☐ Yes 🏻 No Additional numbers Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: John B. Alexander, Ph.D. 7. Total fee (37 CFR 3.41):....\$ 40.00 48,399 ☒ Enclosed - Any excess or insufficiency should be Registration No. credited or debited to deposit account Address: Edwards & Angell, LLP ☐ Authorized to be charged to deposit account P.O. Box 9169 8. Deposit account number: 04-1105 _____ State/Prov.: MA City: Boston (Attach duplicate copy of this page if paying by deposit account) ZIP: 02209 Country: USA DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. August 20, 2002 John B. Alexander, Ph.D.

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:

Name of Person Signing

PATENT

Date

REEL: 13213 FRAME: 0486

ASSIGNMENT

KNOW ALL MEN BY THESE PRESENTS, THAT

WHEREAS, We, Jianhua Huang, Kevin Hodgetts, Dario Doller, Ping GE and Yasuchika Yamaguchi (hereinafter designated as the undersigned), having invented certain new and useful improvements in 2,5- DIARYLPYRAZINES, 2,5-DIARYLPYRIDINES AND 2,5- DIARYLPYRIMIDINES for which U.S. application has been filed on May 22, 2002 and received application number 10/154,482 and

WHEREAS, Neurogen Corporation, 35 Northeast Industrial Road, Branford, CT, 06405, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto the Assignee the full and exclusive right to the said invention in the United States of America and its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned also have sold, assigned, and transferred, and do hereby sell, assign and transfer to the Assignee, their entire right, title and interest in and to the invention disclosed in said application, in all countries of the world foreign to the United States, including the right to file applications and obtain patents for said invention in its own name in said countries and including all rights of priority in said countries under the terms of any applicable international convention; to have and to hold for the sole and exclusive use and benefit of the Assignee, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue, to the same extent as the undersigned would hold and enjoy if this Assignment had not been made.

The undersigned agree(s) to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights, and also agree, at the request of the Assignee, to testify in any legal proceedings including interferences, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid the Assignee, its successors and assigns, to obtain, maintain and enforce patent protection for the invention in all countries.

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PATENT REEL: 13213 FRAME: 0487 The undersigned also agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenants that he or she has the full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of

EDWARDS & ANGELL, LLP

Dike, Bronstein, Roberts & Cushman Intellectual Property Practice Group P. O. Box 9169
Boston, MA 02209
Tel. No. (617) 439-4444

the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

In witness whereof, signed by the undersigned on the date(s) opposite the undersigned name(s).

Lianhua Huang

Before me this <u>24th</u> day of <u>July</u>, 2002, personally appeared <u>Jianhua Huang</u>, who is to me personally known, and acknowledged the foregoing instrument of assignment to be his free act and deed.

Witness

KS(lock)	8-5-02
Signature of Inventor Kevin Hodgetts	Date
Before me this 5th day of August personally known to be the person who and acknowledged to me that she/he exet therein set forth.	, 2002 personally appeared Kevin Hodgetts, to me is described in and who executed the above instrument, ecuted the same of her/his own free will for the purpose
	Name: Dorlene Suaréz My commission expires: 5/31/06
Signature of Inventor Dario Doller	Date Date
Before me this <u>c</u> day of <u>August</u> , 2002, personally appeared Daria Doller, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that she/he executed the same of her/his own free will for the purpose therein set forth.	
	Name: Darlene Suaréz My commission expires: 5/31/06
Signature of Inventor	Date 8/6/02
Ping Ge	Date - (- (
known to be the person who is described	, 2002, personally appeared Ping Ge, to me personally in and who executed the above instrument, and d the same of her/his own free will for the purpose

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Name: Darlene Suarez
My commission expires: 5/31/06

Signature of Inventor

6 m of Juy 2002 Date

Yasuchika Yamaguchi

Before me this <u>Chagust</u>, 2002, personally appeared Yasuchika Yamaguchi, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that she/he executed the same of her/his own free will for the purpose therein set forth.

Name: Darlene Suarez

My commission expires: 5/31/06

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RECORDED: 08/20/2002