

08-27-2002

Altera Docket No. 1535.4US01

Form PTO 1595



U.S. Department of Commerce

Patent and Trademark Office

102201945

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Victor E. Kimball
Paulita LaPlante

08/16/02

2. Name and address of receiving party(ies):

Optical Sensors, Inc.
7615 Golden Triangle Dr., Ste. C
Technology Park Five
Eden Prairie Minnesota 5534411036 U.S. PTO
10/222618
08/16/02Additional names(s) of conveying party(ies) ☐ Yes ☒ NoAdditional name(s) & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 16, 2002

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: Aug. 16, 2002

A. Patent Application Number(s)

Patent Number(s)

10/222618

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Iain A. McIntyre
Address: Altera Law Group
6500 City West Parkway - Suite 100
Minneapolis, Minnesota 55344-7701

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41) \$40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to charged to deposit account

8. Deposit Account number: 50-1038

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Iain A. McIntyre
Name of Person Signing

 Signature
August 16, 2002
Date

Total number of pages including cover sheet, attachments, and document: 3

08/26/2002 TDIAZ1 00000063 10222618

01 FC:581

40.00 OP

PATENT
REEL: 013214 FRAME: 0985



ALTERA

L A W G R O U P L L C

Altera Ref. No.: 1535.4US01

PATENT ASSIGNMENT

WHEREAS,

1. Victor E. Kimball residing at 1400 Rushmore Crescent, Burnsville MN 55306 and a citizen of US;
2. Paulita LaPlante residing at 10656 Akron Ave., Inver Grove Heights MN 55077 and a citizen of US;

hereafter referred to as "THE UNDERSIGNED", have made an invention entitled **Improved Pulse Oximeter**, for which we executed an application for Letters Patent of the United States on the even date;

AND, WHEREAS, Optical Sensors, Inc., hereafter referred to as ASSIGNEE, a corporation organized under the laws of Delaware and whose place of business and business address is 7615 Golden Triangle Dr., Ste. C., Technology Park Five, Eden Prairie Minnesota 55344, is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of One Dollar, the receipt whereof is acknowledged, and other good and valuable consideration, THE UNDERSIGNED hereby sell, assign and transfer unto ASSIGNEE the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to all divisionals, continuations, continuations in part, reissues, reexaminations or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extension of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said invention including an assignment of all rights under the provision of international conventions and all Letters Patent of foreign countries which may be granted therefrom; the UNDERSIGNED hereby authorize the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED, hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts Known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles and benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said Assignee its successors and assignees, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on this

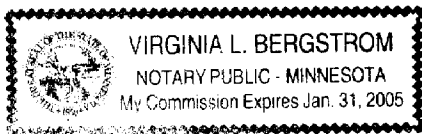
16TH day of August, 2002.

Victor E. Kimball
Victor E. Kimball

State of Minnesota)
County of D Hennepin)

On this 16th day of August, 2002, before me personally appeared Victor E. Kimball, to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the use and purposes therein set forth.

[SEAL]



Virginia Bergstrom
Notary Public

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on this

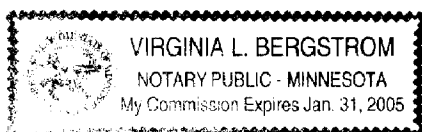
16 day of August, 2002.

Paulita LaPlante
Paulita LaPlante

State of Minnesota)
County of Hennepin)

On this 16th day of August, 2002, before me personally appeared Paulita LaPlante, to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the use and purposes therein set forth.

[SEAL]



Virginia Bergstrom
Notary Public