

08-28-2002

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)



102203103

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Atty Docket No.: STHP-003

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

GARDINER, SHEILA MARGARET
BENNETT, TERENCE
BYCROFT, BARRIE WALSHAM
PRITCHARD, DAVID IDRIS
WILLIAMS, PAUL

8.21.02

2. Name and address of receiving party(ies)

Name: The University of Nottingham

Internal Address: _____

Street Address: University ParkCity: Nottingham State: U.K. Zip: NG7 2RD☐ OtherAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: 07/23/02, 07/23/02, 07/25/02, 07/19/02, 07/19/02.Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/110,465, April 12, 2002

B. Patent Registration No.(s)

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bozicevic, Field & Francis LLPInternal Address: 200 Middlefield Road, Suite 200City: Menlo Park State: California Zip: 94025

6. Total number of applications and patents involved

7. Total fee (37 CFR 3.41)..... \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0815

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bret E. Field, Reg. No. 37,620

08/15/2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

08/28/2002 TDI A21 00000031 500815 10110465

01 FC:581

40.00 CH

PATENT
REEL: 013217 FRAME: 0492

**ASSIGNMENT OF APPLICATION
(JOINT)**

Address to:

Box Assignment

Commissioner for Patents

Washington, D.C. 20231

Attorney Docket Number

STHP-003

First Named Inventor

GARDINER, SHEILA MARGARET

Application Number

10/110,465

Filing Date

April 12, 2002

Group Art Unit

N/A

Examiner Name

N/A

Title: "Use Of N-Acyl Homoserine Lactones For The Treatment
Of Cardiac Tachyarrhythmias, Ischaemic Heart Disease Or
Congestive Heart Failure"

THIS ASSIGNMENT, by Sheila Margaret Gardiner, Terence Bennett, Barrie Walsham Bycroft, David Idris Pritchard and Pail Williams (hereinafter referred to as the assignors), residing in Nottingham, United Kingdom respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in:

"USE OF N-ACYL HOMOSERINE LACTONES FOR THE TREATMENT OF CARDIAC
TACHYARRHYTHMIAS, ISCHAEMIC HEART DISEASE OR CONGESTIVE HEART FAILURE"

X for which an application for a United States Patent was filed on April 12, 2002, Application No. 10/110,465.

WHEREAS, The University of Nottingham, a non-profit organization duly organized under and pursuant to the laws of United Kingdom, and having its principal place of business at University Park, Nottingham NG7 2RD, United Kingdom (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 23-07-02

Name of Inventor SM Gardiner
Sheila Margaret Gardiner

Date 23/07/02

Name of Inventor Terence Bennett
Terence Bennett

Cont.

Date 25th July 2002

Name of Inventor

Barrie Walsham Bycroft

Date July '9²⁰⁰²

Name of Inventor

David Idris Pritchard

Date July 19²⁰⁰²

Name of Inventor

Paul Williams