

08-28-2002

Docket No.: YOR920010565US1

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



102204096

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) Lawrence A. Clevenger
- (2) Louis L. Hsu
- (3) Kwong Hon Wong

08/21/02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk

State/Prov.: NY

Country: USA

ZIP: 10504

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
- ☐ Security Agreement
- ☐ Other
- ☐ Merger
- ☐ Change of Name

Execution Date: 8/8/02, 8/1/02, 8/5/02

4. Application number(s) or patent numbers(s):

10/224899

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

08/28/2002 6TON11 00000017 500510 10224899  
01 FC:581 40.00 CH

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frederick W. Gibb, III

Registration No. 37,629

Address: McGinn & Gibb, PLLC

2568-A Riva Road

Suite 304

City: Annapolis

State/Prov.: MD

Country: USA

ZIP: 21401

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

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8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frederick W. Gibb, III (301) 261-8071

Name of Person Signing

Signature

3

Date

8/21/02

Total number of pages including cover sheet, attachments, and

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Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 013218 FRAME: 0394

# ASSIGNMENT

Whereas, we

Inventor and City	(1) Lawrence A. Clevenger County of Dutchess	City of LaGrangeville and State of New York
	(2) Louis L. Hsu County of Dutchess	City of Fishkill and State of New York
	(3) Kwong Hon Wong County of Dutchess	City of Wappingers Falls and State of New York

have invented certain improvements in

Title      **INTEGRATED METAL-INSULATOR-METAL CAPACITOR AND METAL GATE TRANSISTOR**

Dates That      and have executed, respectively, a United States patent application therefor on  
Inventors  
Signed the      (1) *Aug 5*, 2002, (2) *Aug 1*, 2002, and (3) *Aug 5*, 2002.  
Declaration

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;


Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City Date	(1) Signed at <i>Fishkill</i> on <i>August 5<sup>th</sup></i> <i>2002</i>	<i>Lawrence</i> _____ (Lawrence First Name	<i>A.</i> _____ A. Middle Initial	<i>Clevenger</i> _____ Clevenger) Last Name	signature inventor
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City  
Date

(2) Signed at  
on


Fishkill  
Aug 1, 2002

  
(Louis) L. Hsu)  
First Name Middle Initial Last Name signature  
inventor

City  
Date

(3) Signed at  
on

Fishkill  
Aug 5, 2002

  
(Kwong) H. Wong  
First Name Middle Initial Last Name signature  
inventor