



08-29-2002

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SHEET PATENTS ONEU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No. 212/206

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Expression Diagnostics, Inc.

2. Name and address of receiving party

Name: BioCardia DeviceCo, Inc.

Internal Address

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement =☐ Change of Name☐ Other

Street

384 Oyster Point Blvd. #4, South
San Francisco, CA 94080Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: August 20, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. 09/687,776

Additional Numbers attached?

B. Patent No.

☐ Yes ☒ No5. Name and address of party to whom correspondence concerning
this document should be sent

Name: K. David Crockett, Esq.

Internal Address:

Street Address: Crockett & Crockett

24012 Calle De La Plata, Suite 400

City: Laguna Hills

State: California ZIP 92653

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number: 03-3700

Please charge this Deposit Account if any additional fee is required.
(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: August 21, 2002

K. David Crockett, Esq., Reg. No. 34,311

Total number of pages comprising cover sheet:

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01 FC:581 40.00 CHPATENT
REEL: 013222 FRAME: 0198

Assignment of Invention and Patent Application

For value received, EXPRESSION DIAGNOSTICS, INC. (formerly BioCardia, Inc.), the undersigned owner (hereinafter ASSIGNOR), having received ownership by assignment executed January 5, 2001 and recorded in the United States Patent and Trademark Office on January 22, 2001 at reel 011500, frame 0730 hereby does sell, assign, transfer, and set over unto BioCardia, Inc. (formerly BioCardia DeviceCo, Inc.) and their successors or assigns (hereinafter ASSIGNEES) all of the following: (A) ASSIGNOR'S right, title and interest in and to the invention entitled "Pulmonary Vein Stent and Method For Use" invented by ASSIGNEES; (B) the application for United States patent therefor U.S. Patent and Trademark Office Serial Number 09/687,776 filed October 13, 2000 and any patent or reissues or re-exams of any patent that may be granted thereon; (C) any applications which are continuations, continuations-in-part, substitutes, or divisions of said application; (D) all corresponding international and foreign patents and applications, including the PCT Application Serial Number PCT/U00/28488 filed October 13, 2000 and any patents granted thereon; and (E) the right to sue for past damages and provisional damages.

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States, including European Patent Application No. 00970917.1-2305; ASSIGNOR further conveys to ASSIGNEE all priority rights resulting from the above-identified application for United States patent.

ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

Date: 20 August 2002



Peter Altman
President
EXPRESSION DIAGNOSTICS, INC.