





Docket No. <u>1232-4606</u>

102205264 In the united states patent and trademark office

| | App | ncanu(s): | KEN WADA | | | | | |
|-------------|-------|---|---|-------------------------|--|--|--|--|
| | Seria | al No.: | 09/469,065 | Group Art Un | it: 2851 | | | |
| | File | d: | December 21, 1999 | Examiner: | RODNEY E. FULLER | | | |
| | Allo | wed: | May 24, 2002 | | | | | |
| | For: | | ILLUMINATION APPARATUS AND PROJECTION APPARATUS | | | | | |
| | | REQU | EST FOR CORRECTED RE PURSUANT | TO 37 C.F.R. § 3.31 | | | | |
| | ВОХ | . Commissioner of P K ASSIGNMENTS hington, D.C. 20231 | atents and Trademarks | S | 26.02 | | | |
| | Sir: | | | | | | | |
| | | Please record the | attached original documents o | or copy thereof. | | | | |
| | 1. | Name of conveying party/parties: | | | | | | |
| | | Ken WADA, 19-3, Aobadai 1-chome, Aoba-ku, Yokohama-shi, Kanagawa-ken, Japan | | | | | | |
| | 2. | Name and address of receiving party/parties: | | | | | | |
| | | Name CANON KABUSHIKI KAISHA | | | | | | |
| | | Internal Address: | | | | | | |
| | | Street Address: | 30-2, Shimomaruko 3-chom | ie, Ohta-ku, Tokyo, Jap | an | | | |
| | | City | State | | ZIP | | | |
| • | | [] Addition | onal names and addresses atta | iched. | | | | |
| | 3. | _ | [] Change of Name | [X] Other a cor | Security Agreement rective Notice of Recordation of | | | |
| | | | | | USHIKI KAISHA, on a Notice of and subsequently recorded on | | | |
| | Reel | 010720 at Frame 0 | <u>676.</u> | | | | | |
| 1972002 AAH | MEDI | 6 00 65005 094 6 9065 | Execution Dates: | March 25, 2000 | | | | |
| C:581 | 4. | #(),() Application Numb | per(s) or Patent Number(s): 0 | 9/469,065. | | | | |
| | | | _ | | ch was executed on | | | |
| | | [Patent Applic | ation No.(s) | | | | | |
| | 3724 | 8 v1 | | | DATENT | | | |

PATENT REEL: 013223 FRAME: 0975

<u>06</u>

| | r i n | atout No.(a) | Docket No. <u>1232-4606</u> | | | | |
|--------------------|---------------------------------|--|---|--|--|--|--|
| ý. | | atent No.(s) | | | | | |
| 5. | Addre | Address all future communications to: | | | | | |
| | | 345 | & FINNEGAN, L.L.P. Park Avenue K, New York 10154 | | | | |
| 6. | Total | number of applications and patents inv | olved: | | | | |
| 7. | Total | Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = $$40.00$ | | | | | |
| | [X] | A check in the amount of $$40.00$ to cover the recordation fee is enclosed. | | | | | |
| | [] | Charge fee to Deposit Account No. 13-4500. Order No | | | | | |
| | [X] | | ized to charge any additional fees which may be required for ayment to Deposit Account No. 13-4500. Order No. 1232- | | | | |
| 8. | | the best of my knowledge and belief, the y is a true copy of the original docume | ne foregoing information is true and correct and any attached nt. | | | | |
| | | | Respectfully submitted, | | | | |
| | | | MORGAN & FINNEGAN, L.L.P. | | | | |
| Date | d: <u>Augu</u> | ust 26, 2002 | By: James Hwa Registration No. 42,680 (202) 857-7887 Telephone (202) 857-7929 Facsimile | | | | |
| COF | RESPO | NDENCE ADDRESS: | | | | | |
| 345 New (212 | Park Ave York, N) 758-48 | New York 10154 | | | | | |

(212) 751-6849 Facsimile

FORM: REC-PAT.NY

Rev. 05/26/98



| 10 | Dock | et: 1232-4 | <u>4606</u> | 10130 | 6282 | PATENT |
|---------------|--|------------|----------------------|---|---------------------------------------|------------------------|
|)(/ / | IN THE UNITED STATES PATENT AND TRADEMARK OFFICE | | | | | |
| 4.3 | Appli | cant(s) | : | Ken WADA | | 488 - 9 |
| | Serial | No. | : | 09/469,065 | (| Group Art Unit: TBA |
| | Filed | | : | December 21, 1999 | I | Examiner: TBA |
| | For | | : | Illumination Apparatus A | nd Projection Appar | ratus |
| | | | RECORI | DATION FORM COVER | SHEET PURSUAN | T TO 37 C.F.R. § 3.31 |
| | BOX | ASSIGN | | ents and Trademarks | | • |
| | Sir: | | | | | |
| | | Please re | ecord the at | tached original documents | or copy thereof. | |
| | 1. | Name of | f conveying | party/parties: | | |
| | | Ken WA | ADA, 19-3, | Aobadai 1-chome, Aoba-l | ku, Yokohama-shi, K | Canagawa-ken, Japan |
| | 2. | | | | | |
| | | Name - | CANON K | ABUSHIKA KAISHA | · · · · · · · · · · · · · · · · · · · | |
| | | Internal | Address: _ | | | |
| | | Street A | ddress: | 30-2, Shimomaruko 3-cho | me, Ohta-ku, Tokyo | , Japan |
| | | City _ | | Stat | e | ZIP |
| | | Name | | | | |
| | | Internal | | | | |
| | | Street A | | | | |
| | | City | | | е | |
| | | [] | | nal names and addresses at | | |
| | 3. | Name of | f conveyanc | e: [X] Assignment [] Change of Name Execution Dates: | [] Other | [] Security Agreement |
| | 4. | | | r(s) or Patent Number(s): | | |
| /25/2000 | JJALLAH2 | oodoo07ti | s rj469065 nt | is being filed together wit | h a new application | which was executed on |
| FC:581 | 532827 | | 40.0 | O OP \ | | |

PATENT REEL: 013223 FRAME: 0977

| | [X] Pa | tent Application No.(s) <u>09/469,065</u> | Docket No. <u>1232-4606</u> | | | | |
|-----------------------|---|---|---|--|--|--|--|
| | | | • | | | | |
| 5. | Addres | | | | | | |
| | | 3 | N & FINNEGAN, L.L.P. 45 Park Avenue ork, New York 10154 | | | | |
| 6. | Total n | number of applications and patents i | nvolved: 1. | | | | |
| 7. | Total fo | Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = $$40.00$. | | | | | |
| | [X] | A check in the amount of \$ 40.00 | to cover the recordation fee is enclosed. | | | | |
| | [] | Charge fee to Deposit Account N | o. 13-4500. Order No | | | | |
| | [X] | | torized to charge any additional fees which may be required for expayment to Deposit Account No. 13-4500. Order No. 1232- | | | | |
| 8. | To the best of my knowledge and belief, the foregoing information is true and correct and any attacopy is a true copy of the original document. | | | | | | |
| | | | Respectfully submitted, | | | | |
| | | | MORGAN & FINNEGAN, L.L.P. | | | | |
| Dated: March 30, 2000 | | | By: John F. Sweeney Registration No. 27,471 | | | | |
| COR | RESPO | NDENCE ADDRESS: | | | | | |
| MOF | RGAN & | FINNEGAN, L.L.P. | | | | | |

345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Facsimile

FORM: REC-PAT.NY

Rev. 05/26/98

-2-

SOLE

(AFTER APPLICATION FILED)

ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

FOR VALUE RECEIVED, I, KEN WADA citizen of Japan residing at 19-3, Aobadai 1-chome, Aoba-ku, Yokohama-shi, Kanagawa-ken, Japan

hereby sell, assign, transfer and convey unto CANON KABUSHIKI KAISHA
a corporation of Japan
having a place of business at 30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan
its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for
the United States, in and to certain inventions relating to

ILLUMINATION APPARATUS AND PROJECTION APPARATUS

and described in an application for Letters Patent of the United States filed by me on December 21, 1999 and which has been accorded Application No. 09/469,065 and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and I hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND I authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

AND I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND I hereby covenant that I have the full right to convey the entire right, title and interest herein assigned and that I have not executed and will not execute any agreement in conflict herewith.

AND I hereby covenant and agree that I will communicate to said Assignee or nominees all facts known to me pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By: KEN WADA

Date: March 25, 2000

RECORDED: 08/26/2002

PATENT REEL: 013223 FRAME: 0979