

Resub

08-29-2002



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FORM PTO-1595

1-31-92

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

PEQUOT VENTURE PARTNERS II, L.P., as Agent

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other: Release of Security Interest

Execution Date: May 22, 2002

2. Name and address of receiving party(ies):

Name: SECURIFY, INC.

Address: 1157 SAN ANTONIO ROAD

City: MOUNTAIN VIEW State: CA Zip: 94043

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this Document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/479,781	US00/33640	09/826,602
US01/19333	09/881,147	US01/18475
60/212,126	09/800,378	60/278,321
60/278,557	60/281,886	US01/19270
US01/18669	US01/19063	09/878,098
US01/19259	09/878,093	US01/19332
09/882,570	US01/19331	

Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Erin O'Brien
Internal Address: **GRAY CARY WARE & FREIDENRICH**
4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133

6. Total number of applications and patents involved: 20

7. Total fee (37 CFR 3.41) \$ 800.00

☒ Enclosed (PREVIOUSLY PAID)

8. Deposit account number:

Please debit any underpayment or credit any overpayment to the above deposit account.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Erin O'Brien

Name of Person Signing

Signature

August 22, 2002

Date

Total number of pages comprising cover sheet: [7]

OMB No. 0651-0011 (exp. 4/94)

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PATENT
REEL: 013225 FRAME: 0438

06-07-2002



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Form PTO-1595
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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Pequot Venture Partners II, L.P.Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

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- ☐ Assignment ☐ Merger
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☒ Other Release of Security Interest

Execution Date: **May 22, 2002**

2. Name and address of receiving party(ies)

Name: **Securify, Inc.**

Internal Address: _____

Street Address: **1157 San Antonio Road**City: **Mountain View** State: **CA** Zip: **94043**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) **See Exhibit B to attached Release of Security Interest**

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Madeleine E. Sloane, Esq.**
Allen Matkins Leck Gamble & Mallory

Internal Address: _____

Street Address: **333 Bush Street, 17th Floor**City: **San Francisco** State: **CA** Zip: **94104**6. Total number of applications and patents involved: **20**7. Total fee (37 CFR 3.41).....\$ **800.00**☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Madeleine E. Sloane**

Name of Person Signing

Madeleine E. Sloane

Signature

6/4/02

Date

Total number of pages including cover sheet, attachments, and documents: **7**Mail documents to be recorded with required cover sheet information to:
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06/10/2002 6TON11 00000033 09479781

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PATENT
REEL: 013225 FRAME: 0439

RELEASE OF SECURITY INTEREST

This Release of Security Interest is made as of May ~~22~~ 2002 by PEQUOT VENTURE PARTNERS II, L.P., as Agent, on behalf of Pequot Venture Partners II, L.P., Pequot Private Equity Fund III, L.P., PVP II Securify Conv Note Grantor Trust, and Pequot Offshore Private Equity Partners III, L.P. (collectively, and individually, each a "Secured Party") ("LENDER"), to SECURIFY, INC., a Delaware corporation, with a mailing address of 1157 San Antonio Road, Mountain View, California 94043 ("COMPANY").

Recital

WHEREAS, COMPANY assigned certain interests in the patent and trademark registrations and applications described on Exhibits A, B and C (the "Security") to LENDER under an Intellectual Property Security Agreement dated as of January 11, 2002 and recorded with the U.S. Patent and Trademark Office; and

WHEREAS, COMPANY has satisfied all its obligations to LENDER in the Intellectual Property Security Agreement.

Agreement

Now therefore, LENDER agrees that it releases its security interest in the Security.

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by its duly authorized officers as of the date written above.

LENDER:

PEQUOT VENTURE PARTNERS II, L.P., as Agent

By: Pequot Capital Management, Inc., as Investment Manager

Kevin E. O'Brien

Signature

Name: Kevin E. O'Brien

Title: General Counsel

SECURED PARTIES

Pequot Private Equity Fund III, L.P.

By: Pequot Capital Management, Inc., as Investment Manager

By: Kevin E. O'Brien

Name: Kevin E. O'Brien

Title: General Counsel

Pequot Offshore Private Equity Partners III,

L.P.
By: Pequot Capital Management, Inc., as Investment Manager

By: Kevin E. O'Brien

Name: Kevin E. O'Brien

Title: General Counsel

Pequot Venture Partners II, L.P.

By: Pequot Capital Management, Inc., as Investment Manager

By: Kevin E. O'Brien

Name: Kevin E. O'Brien

Title: General Counsel

PVP II Securify Conv Note Grantor Trust

By: Pequot Capital Management, Inc., as its Trustee

By: Kevin E. O'Brien

Name: Kevin E. O'Brien

Title: General Counsel

EXHIBIT A

Copyrights

<u>Description</u>	<u>Registration Number</u>	<u>Registration Date</u>
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EXHIBIT B

Patent Applications

Description	Registration/ Application Number	Registration/ Application Date
A Declarative Language for Specifying a Security Policy	09/479,781	1/7/2000
A Declarative Language for Specifying a Security Policy	PCT/US00/3364 0	12/11/2000
User Interface For A Security Policy System And Method	09/826,602	4/5/2001
System and Method for Security Policy	PCT/US01/1933 3	6/15/2001
System and Method for Security Policy	09/881,147	6/14/2001
User Interface For A Security Policy System And Method	PCT/US01/1847 5	6/6/2001
Securify Policy Manager System	60/212,126	6/16/2000
Remote Computer Forensic Evidence Collection System and Process	09/800,378	3/5/2001
My Securify	60/278,321	3/23/2001
PDStudio Design	60/278,557	3/23/2001
IDS Integration Into SVS	60/281,886	4/5/2001
Policy Generator Tool		
Policy Generator Tool	PCT/US01/1927 0	6/15/2001
Assessment Tool		
Assessment Tool	PCT/US01/1866 9	6/7/2003
Monitor <=> Sm Protocol		
Network Monitor Internals Description	PCT/US01/1906 3	6/14/2001

Patent Applications (continued)

Description	Registration/ Application Number	Registration/ Application Date
	3	
Automated Generation of an English Language Representation of a Formal Network Security Policy Specification	09/878,098	6/8/2001
Automated Generation of an English Language Representation of a Formal Network Security Policy Specification	PCT/US01/1925 9	6/15/2001
Efficient Evaluation of Rules	09/878,093	6/8/2001
Efficient Evaluation of Rules	PCT/US01/1933 2	6/15/2001
Credential/Condition Assertion Verification Optimization	09/882,570	6/14/2001
Credential/Condition Assertion Verification Optimization	PCT/US01/1933 1	6/15/2001

EXHIBIT C

Trademark Applications

Description	Registration/ Application Number	Registration/ Application
		Date
Securify Securvantage	76/250351	05/01/01
Securify Securvantage	76/250233	05/01/01
Isecurify	76/085060 (abandoned)	07/07/2000
Packet Storm	75-773856 (abandoned)	08/11/99
Packet Storm	75-773855 (abandoned)	08/11/99
Securify	75/615798	01/05/99
Security Chain Management	76/085062	07/07/00
Veriguard	75/476877 (abandoned)	03/11/98
Your Key To Information Security	75/615797 (abandoned)	01/05/99