

09-03-2002



102208350

RECORDATION FORM COVER SHEET  
PATENTS ONLY

S-97,592

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type** *8-28-02*

New

Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_

Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

**Conveyance Type**

Assignment  Security Agreement

License  Change of Name

Merger  Other

**U.S. Government**  
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Departmental File  Secret File

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Address (line 1)

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**Correspondent Name and Address**

Area Code and Telephone Number

202-586-2802

Name Betty A. Winchester

Address (line 1) U. S. Department of Energy

Address (line 2) 1000 Independence Avenue, S.W.

Address (line 3) Washington, D. C. 20585-0162

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# 1

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

			6,407,477		

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 0.00

Method of Payment:

Enclosed  Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes  No

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Brenda K. Banks

Name of Person Signing

*Brenda K. Banks*

Signature

8/26/2002

Date

09/895,759  
SD-5987.4/S-97,592  
November 20, 2001  
now Pat 6,407,477

CONFIRMATORY LICENSE

Application for: Thermionic Modules  
Inventor(s): Donald B. King, et. al.  
Serial Number: 09/895,759  
Filing Date: 6/28/01  
Contract No.: DE-AC04-94AL85000  
Contractor: Sandia Corporation


The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provisions of the above-numbered contract certified on January 9, 1996, governing patent rights in the Subject Invention at the time the invention was made, has been submitted to the U. S. Department of Energy.

The contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid up license to practice or have practiced for or on behalf of the United States the Subject Invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contract hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 20 day of November, 2001.

  
Elizabeth D. Krauss, Senior Attorney  
Deputy to the General Counsel

If the invention was made under a subcontract, please identify the Prime Contractor:  
\_\_\_\_\_, Prime Contract No. \_\_\_\_\_