

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



U.S. Department of Commerce
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PATENT

09-03-2002



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TO: The Commissioner of Patents and Trademarks: Please record the attached c

Submission Type

☒ New *18-21-02*
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
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Conveyance Type

☐ Assignment ☐ Security Agreement
☐ License ☒ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year
11262001

Name (line 1) International Fuel Cells, LLC

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) UTC Fuel Cells, LLC

Name (line 2)

Address (line 1) 195 Governors Highway

Address (line 2)

Address (line 3) South Windsor

CT

06074

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Chisholm, Malcolm J.

Address (line 1) P.O. Box 278

Address (line 2) 220 Main Street

Address (line 3) Lee, MA 01238

Address (line 4)

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08/30/2002 DRYANE 00000187 501307 09542209
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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 013234 FRAME: 0139

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Malcolm J. Chisholm, Jr.

Name of Person Signing

Signature

08/21/2002

Date



CERTIFICATE OF AMENDMENT
OF
INTERNATIONAL FUEL CELLS, LLC

1. The name of the limited liability company is International Fuel Cells, LLC.
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

(set forth amendment(s))

The name of the limited liability company shall be changed to UTC Fuel Cells, LLC.

Note: (Use the following paragraph if this Certificate is to be effective at a date or time (which must be a date or time certain) later than filing)

3. This Certificate of Amendment shall be effective on December 1, 2001.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment of International Fuel Cells, LLC this 26th day of November 2001.

William T. Miller
President

State of Delaware
Office of the Secretary of State PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "INTERNATIONAL FUEL CELLS, LLC", CHANGING ITS NAME FROM "INTERNATIONAL FUEL CELLS, LLC" TO "UTC FUEL CELLS, LLC", FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF NOVEMBER, A.D. 2001, AT 2 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE FIRST DAY OF DECEMBER, A.D. 2001.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

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AUTHENTICATION: 1465199

010599160

DATE: 11-27-01

PATENT
REEL: 013234 FRAME: 0142

NOTARIAL CERTIFICATE

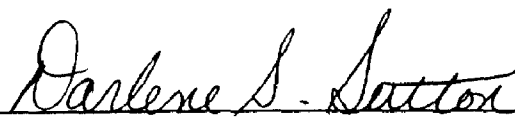
STATE OF CONNECTICUT)

) ss: South Windsor

COUNTY OF HARTFORD)

I, Darlene S. Sutton, a Notary Public in and for the County of Hartford, State of Connecticut, U.S.A., do hereby certify that the papers attached hereto are true and accurate copies of documents in the custody of UTC Fuel Cells, LLC, a limited liability company of the State of Delaware, U.S.A., purporting to be a Certificate of Amendment of International Fuel Cells, LLC and a Certification from the Delaware Secretary of State whereby the name of the limited liability company has been changed to UTC Fuel Cells, LLC. The Certificate of Amendment was executed on the 26th day of November 2001 and the Certification filed in the Office of Secretary on the 27th day of November 2001 at 2 o'clock P.M.

In testimony whereof I have hereto subscribed my name and affixed my seal of office in the Town of South Windsor, State of Connecticut, in the United States of America, this 14th day of January, 2002.



Darlene S. Sutton - Notary Public
My commission expires May 31, 2002

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