

09-03-2002



Ray R. Regan

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--U.S. Constitution Art. 1, §8, Cl. 8

08/23/02

10/22/02 U.S. PTO  
10/226589  
08/23/02

I hereby certify that this Recordation Cover Sheet pertaining to a U.S. Patent Application, with the attached Assignment, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date subscribed, in an envelope addressed to Commissioner of Patents and Trademarks, BOX NEW APPLICATION, Washington, D. C. 20231, on AUGUST 23, 2002.

*Ray R. Regan*  
Ray R. Regan, Attorney for Applicant, Registration No.36,899

08/27/2002 WAGFAW1 00000024 10226589

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Express Mail Label Number: ET055787525US  
Attorney Docket Number: 2119.023

10/226589

**RECORDATION COVER SHEET  
PATENTS ONLY**

This sheet is modeled after Form PTO-1595

To: Assistant Commissioner for Patents  
BOX ASSIGNMENT  
Washington, D.C. 20231

**Please record the attached true copy document.**

1. Names of Conveying Parties: David Patterson and Ramiro Barajas
2. Name and Address of Receiving Parties: Insight Lighting, Inc., a New Mexico corporation, street address 4341 Fulcrum Way, Rio Rancho 87126, and post office or mailing address 4341 Fulcrum Way, Rio Rancho 87126.
3. Nature of Conveyance: Assignment of Invention, Patent Application, and Patents.  
  
Execution Date: August 23, 2002
4. A. Application Number or Patent Number: Applied for.  
  
B. If The Attached Document is Being Filed with a New Patent Application, the

5. Name and Address to Whom Correspondence Should be Mailed:

Ray R. Regan, Esq.  
Attorney for Applicant

**Mailing Address:**

P.O. Box 1442  
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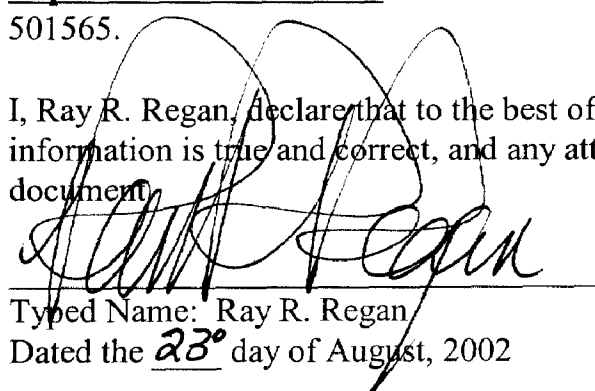
Facsimile: (505) 897-7201

6. Total Number of Applications and Patents Involved: One (1)

7. Total Fee Enclosed: \$40.00.

8. Deposit Account Number: Law Office of Ray R. Regan, Deposit Account Number 501565.

9. I, Ray R. Regan, declare that to the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.



Typed Name: Ray R. Regan  
Dated the 23<sup>rd</sup> day of August, 2002

[X] Total number of pages including cover sheet, any attachments, and the document: 6

**ASSIGNMENT OF INVENTIONS,  
APPLICATIONS AND PATENTS**

FOR GOOD AND VALUABLE CONSIDERATION paid to Assignors by Assignee, receipt of which is acknowledged by Assignors:

1. Assignors declare and agree that as used in this document ("Assignment") the following terms have the following meaning:

A. "Applications" means and includes both individually and collectively the Nonprovisional Application for United States Patent for the invention entitled A System for Directing Light from a Luminaire, to be filed under Express Label Number ET055787525US.

B. "Letters Patent" means and includes both individually and collectively (i) any and all letters patent issued in the United States and anywhere in the world in connection with the Applications; and (ii) any and all direct and indirect divisions, continuations, and continuations-in-part of the Application and any Letters Patent; and (iii) any and all reissues or extensions of Letters Patent; (iv) any and all rights under any international treaties and conventions, including without limitation the International Convention for the Protection of Industrial Property; (v) any and all applications for a patent or patents filed in countries other than the United States ("Foreign Countries"); and (vi) any and all patents that issue in connection with the invention or inventions disclosed in the Application.

C. "Assignors" means David Patterson and Ramiro Barajas.

D. "Assignee" means Insight Lighting, Inc., a New Mexico corporation, including (i) any and all subsidiaries, affiliates and controlled companies of Assignee, and (ii) any and all successors, assigns and legal representatives of Assignee.

E. "Attorney" means Ray R. Regan, Esq., whose mailing address is P.O. Box 1442, Corrales, New Mexico 87048, whose street address is 40 Segó Lane, Corrales, New Mexico 87048, and whose telephone number is (505) 897-7200.

F. "Invention" means the entire right, title and interest of Assignors in connection with the invention described in the Applications.

2. In consideration of the mutual promises provided in this Assignment, and other good

and sufficient consideration, receipt of which is acknowledged, Assignors assign, sell, and convey the Invention, the Applications, and the Letters Patent to Assignee.

3. Assignors also assign, sell, and convey to Assignee any and all improvements and modifications in connection with the Invention .

4. Assignors also assign, sell, and convey to Assignee the entire right title and interest of Assignors to file patent applications in Foreign Countries in connection with the Application and Invention ("Foreign Applications"), including the right to claim all benefits of any and all international conventions in connection with Foreign Applications.

5. Assignors authorize and request the United States Commissioner of Patents and Trademarks, and patent office officials in Foreign Countries who are authorized by the patent laws of the Foreign Countries to issue patents, to issue any and all Letters Patent and other forms of patents in connection with the Invention, Applications, and Foreign Applications to Assignee as owner of the entire right, title and interest in the Invention, and for the sole use and benefit of Assignee.

6. Assignors will, without receiving additional consideration and without expense to Assignors, sign any and all lawful papers and writings, and perform any and all other lawful acts Assignee may request of Assignors which are, in the sole discretion of Assignee, appropriate or necessary to fully implement and make effective this Assignment, including by way of example but not of limitation (i) promptly executing all original, divisional, continuing, continuation-in-part applications, substitute, reissue, and other United States and Foreign Countries' Applications in connection with the Invention; (ii) promptly executing any and all lawful documents requested by the Assignee to further the prosecution of any Applications in connection with the Invention, and (iii) cooperating with Assignee in executing any and all lawful documents and producing evidence in connection with any nullification, reissue, extension, infringement and invalidity proceedings involving the Invention.

7. Assignors, for the same consideration, represent and warrant to Assignee, and to the Assignee's successors, assigns, and legal representatives, that at the time of execution and delivery of this Assignment, except for any rights, titles and interests that have arisen in favor of Assignee



STATE OF NEW MEXICO )  
 )  
COUNTY OF SANDOVAL )

The foregoing instrument was acknowledged and sworn to before me this 23 day of AUGUST, 2002, by Ramiro Barajas.

My Commission Expires: 01/29/06

Douglas T. Weber  
Notary Public  
DOUGLAS T. WEBER



NOTARY PUBLIC  
STATE OF  
NEW MEXICO  
Douglas T. Weber

My commission expires: 01/29/06