

09-03-2002



Form PTO-1595

U.S. Department of Commerce

Docket No. SJO9-2000-0155US1

102208638

3ET

PATENTIS OFFICE

To the Hon. Commissioner of Patents & Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Daniele Mauri and Tao Pan

Additional name of conveying party attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**Street Address: **New Orchard Road**City: **Armonk** State: **NY** ZIP: **10504**Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: Aug. 21, 2002 and July 28, 2002

4. Application number(s) or patent numbers:

10/229248

If this document is being filed together with a new application, the execution date of the application is: August 21, 2002 and July 28, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address party to whom correspondence concerning document should be mailed:

Name: **Robert O. Guillot**
IPLI Intellectual Property Law OfficesStreet Address: **1901 S. Bascom Ave., Suite 660**
City: **Campbell** State: **California** ZIP: **95008**6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ Enclosed☒ Any discrepancy or overpayment is authorized to be charged to deposit account8. Deposit Account number: 08-3240

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert O. Guillot

Name of Person Signing

Signature

August 26, 2002

Date

Total number of pages including cover sheet, attachments, and document: 3

08/29/2002 H0000001 102208638

03 FC:581

PATENT
REEL: 013237 FRAME: 0405

ASSIGNMENT

Whereas, I, Daniele Mauri and Tao Pan of San Jose, County of Santa Clara and State of California have invented certain improvements in **"Sunken Lead Defined Narrow Track Width Read head"** and executed, respectively, a United States patent application therefor on 8 / 21, 2002 and _____, 2002,

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

at San Jose
on 8 / 21, 2002

Daniele Mauri
DANIELE MAURI

Signed and sealed

at San Jose
on _____, 2002

TAO PAN

ASSIGNMENT

Whereas, I, Daniele Mauri and Tao Pan of San Jose, County of Santa Clara and State of California have invented certain improvements in “**Sunken Lead Defined Narrow Track Width Read head**” and executed, respectively, a United States patent application therefor on _____, 2002 and 7/28, 2002,

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

at San Jose
on _____, 2002

DANIELE MAURI

Signed and sealed

at San Jose
on 07/28, 2002

TAO PAN