

(Rev. 6-93) **RECORD.**

09-05-2002

U.S. Department of Commerce

ET

Patent and Trademark Office

OMB No. 0651-0011 (exp

102212160

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

TUAN VO-DINH

Additional name(s) of conveying party(ies) attached? *Yes *No

2. Name and address of receiving party(ies):

Name: UT-BATTELLE, LLC

Address: P.O. Box 2008
M.S. 6498
Oak Ridge, TN 37831-6498Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): 8/23/02

4. Application number(s) or patent number(s):

10229600

If this document is being filed with a new application, the execution date of the application is: 8/28/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should be mailed:

Name: Gregory A. Nelson

Internal Address: _____

Street Address: AKERMAN SENTERFITT
222 Lakeview Avenue, Suite 400
West Palm Beach, FL 33401

6. Total number of applications and patents involved:

7. Total Fee (37 CFR 34)\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number

50-0951

(Attach duplicate copy of this page if paying by deposit account)

09/04/2002 6TDN11 00000212 500951 10229600

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Neil R. Jetter, Reg. No. 46,803

Date

8/28/02

Total number of pages including cover sheet: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

Docket No. 6321-215

{WP101869;1}

EXPRESS MAIL #EL920516291US

PATENT
REEL: 013242 FRAME: 0733

ASSIGNMENT

WHEREAS, I, the below-named inventor whose residence and post office is as stated below, have invented certain new and useful improvements in an

**SERS DIAGNOSTIC PLATFORMS, METHODS AND SYSTEMS INCLUDING
MICROARRAYS, BIOSENSORS AND BIOCHIPS**

(hereinafter referred to as "Subject Invention") for which an application for United States Letters Patent was executed by me on even date herewith, and

WHEREAS, UT-BATTELLE, LLC, a limited liability company of the State of Tennessee, having an office at 111-B Union Valley Road, P.O. Box 2008, Oak Ridge, Tennessee 37831-6498 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the right, title, and interest of the undersigned in the Subject Invention and to any Letters Patent that may be granted therefore in the United States of America and in any and all foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to ASSIGNEE my entire right, title, and interest in the Subject Invention, to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the Subject Invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefore on the Subject Invention.

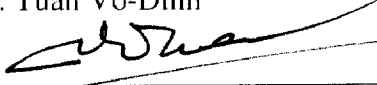
I hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, I hereby agree to communicate to said ASSIGNEE or its representatives any facts known to me respecting said Subject Invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said Subject Invention in the United States of America and in any and all foreign countries.

I further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on me, my heirs, legal representatives, and assigns.

{WP083451;1}

Full name of first inventor: Tuan Vo-Dinh

Inventor's Signature: 

Date: 8/23/2002

Address: 12381 River Oaks Point, Knoxville, TN, 37922

State of Tennessee)

County of Knox)

On this 23rd day of August, 2002, before me, a Notary Public in and for the County and State aforesaid, appeared Tuan Vo-Dinh, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.


Notary Public

SEAL

My Commission Expires: 12/7/05