

09-06-2002



Docket No.: ALLELE1100-1

To the Honorable Commissioner for Patent

102214322

Record the attached original documents or copy thereof.

1. Name of Conveying party(ies):

a. Jiwu Wang

19-04-02

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

2. Name and address of receiving Party(ies)

Name: Allele Biotechnology & Pharmaceuticals, Inc.

Internal Address: _____

Street Address: 6827 Nancy Ridge DriveCity: San Diego State: CA Zip: 92121-2233

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of name
☐ Other _____

Execution Date: a. July 28, 2002

Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/202,479

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa A. Halle, J.D., Ph.D.
GRAY CARY WARE & FREIDENRICH LLPStreet Address: 4365 Executive Drive, Suite 1100
City: San Diego State: CA Zip: 92121-21336. Total number of Applications and patents involved: 247. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed - Check No. 517188
☒ Authorized to charge any underpayment to
Deposit Account No. 50-1355.

DO NOT USE THIS SPACE

9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Richard J. ImbraName of Person Signing
Registration No. 37,643

Signature

August 29, 2002

Date

Total number of pages including cover sheet, attachments, and document: 5

09/06/2002 TDI A21 00000011 10202479

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ASSIGNMENT

This assignment ("Assignment") is made by Jiwu Wang of San Diego, California (the "Assignor") to Assignee, **ALLELE BIOTECHNOLOGY & PHARMACEUTICALS, INC.**, ("Assignee"), a corporation having a place of business at 6827 Nancy Ridge Drive, San Diego, California 92121-2233.

Recitals

A. The Assignor has invented a new and useful invention entitled **METHODS AND COMPOSITIONS FOR DNA MEDIATED GENE SILENCING** for which an application for United States Letters Patent Application filed **July 23, 2002** in the United States Patent and Trademark Office.

B. The Assignor authorizes and requests insertion of the serial number of the application when officially known:

The United States Application Serial No.: 10/202,479 ;

C. The Assignor believes the Assignor to be the original, first and joint inventors of the invention disclosed and/or claimed in the application for Letters Patent.

D. The parties desire to have a recordable instrument assigning from the Assignor to the Assignee the entire rights, title and interest in and to the invention, the application and all Letters Patent in the United States and throughout the world that may be granted or issued for the invention or that derive a right of priority from the application (including, without limitation, all divisional, continuation, continuation-in-part and continued prosecution applications thereof, and all reissues and reexaminations thereof, and all foreign counterparts thereof) (collectively, the "Invention Patents").

Agreement

NOW, THEREFORE, in accordance with the obligations to assign the Invention Patents and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Assignor agrees to the foregoing and as follows:

1. The Assignor does and will sell, assign and transfer to Assignee, such Assignor's entire rights, title, and interest in and to each of the Invention Patents, including, without limitation, the rights to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

2. The Assignor agrees that, upon request and without further compensation, but at no expense to such Assignor, such Assignor and such Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing each of the Invention Patents in the United States and throughout the world, and for perfecting, recording, or maintaining the title of Assignee, and Assignee's successors and assigns, in and to each of the Invention Patents in the United States and throughout the world. In the event that Assignee is unable for any reason to secure the Assignor's signature to any document that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing each of the Invention Patents in the United States and throughout the world, or for perfecting, recording, or maintaining the title of Assignee, and Assignee's successors and assigns, in and to each of the Invention Patents in the United States and throughout the world, the Assignor hereby irrevocably designates and appoints Assignee and Assignee's duly authorized officers and agents as such Assignor's agents and attorneys-in-fact to act for and on such Assignor's behalf and instead of such Assignor to execute such document, all with the same legal force and effect as if executed by such Assignor.

3. The Assignor represents and warrants that such Assignor has not granted and will not grant to others either (1) any rights, title or interest in and to any of the Invention Patents, or (2) any rights inconsistent with the rights granted herein.

4. The Assignor authorizes and requests the Commissioner for Patents of the United States and the various counterparts thereof throughout the world to issue and/or grant any Letters Patent granted for each of the Invention Patents to Assignee, its successors and assigns, as the assignee of the entire interest in such Invention Patents.

5. This Assignment constitutes the entire agreement with respect to its subject matter and supersedes all prior or contemporaneous oral or written agreements concerning such subject matter. No waiver, amendment, or modification of this Assignment shall be effective against the Assignee, unless in writing executed by a duly authorized representative of the Assignee. This Assignment shall be governed in all respects by the laws of the United States of America and by the laws of the state/province of California, as such laws are applied to agreements entered into and to be performed entirely within California between California residents. Each of the parties irrevocably consents to the exclusive personal jurisdiction of the federal and state courts located in California, as applicable, for any matter arising out of or relating to this Assignment, except that in any action seeking to enforce any order or any judgment of such federal or state courts located in California, such personal jurisdiction shall be nonexclusive. A breach of any of the promises or agreements contained herein will result in irreparable and continuing damage to Assignee for which there will be no adequate remedy at law, and Assignee shall be entitled to injunctive relief and/or a decree of specific performance, and such other relief as may be proper.

IN WITNESS WHEREOF, the Assignor has executed this Assignment on the date provided below.

Date: July 28, 2002

Assignor : Jiwu Wang

Signature: 