

Form PTO-1595  
(Rev. 03/01)

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
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OMB No. 0651-0027 (exp. 5/31/2002)

## PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

NMB (U.S.A.), Inc.

## 2. Name and address of receiving party(ies)

Name: Minebea Electronics Co., Ltd.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Street Address: 1743-1 Asana, Asaba-cho

Iwata-gun, Shizuoka 437-1193 Japan

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Execution Date(s): October 7, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/911,281

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anna Vishev

Internal Address: Schulte Roth &amp; Zabel, LLP

Street Address: 919 Third Avenue

City: New York State: NY Zip: 10022

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-0675 Schulte Roth &amp; Zabel, LLP



Authorization to charge any additional fees or credit any overpayments to the above Deposit Account

DO NOT USE THIS SPACE

## 9. Signature:

Anna Vishev, Reg. No. 45,018

Name of Person Signing

Anna Vishev

Signature

Nov. 12, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

700020302

PATENT  
REEL: 013251 FRAME: 0894

A S S I G N M E N T

WHEREAS, NMB U.S.A., Inc. (hereinafter "the Assignor"), a corporation organized under the laws of the State of California, having a principal place of business at 9730 Independence Avenue, Chatsworth, California 91311, is the sole owner of all rights and title to the United States Application Serial No. 09/911,281 entitled "AXIAL FLOW FAN WITH COUNTER ROTATING DUAL IMPELLER BLADE" (hereinafter "said Application"); and

WHEREAS, Minebea Electronics Co., Ltd. (hereinafter "the Assignee"), a Japanese corporation, having a principal place of business at 1743-1 Asana, Asaba-cho, Iwata-gun, Shizuoka 437-1193 Japan, is desirous of acquiring the entire right, title and interest in and to said Application and the invention described therein, and each and every patent that can be issued on said invention in the United States of America and in its territories and possessions, and in all countries foreign to the United States of America;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of One Dollar (\$1.00), in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents do hereby sell, assign and transfer, unto the Assignee his full and exclusive right, title and interest in and to the aforesaid application, all inventions or discoveries disclosed or claimed therein, any continuation, continuation-in-part, division, renewal, extension, substitute, reexamination, or reissue applications thereof, all treaty and convention rights and all

rights of priority arising from the aforesaid applications, all applications claiming priority therefrom, and each and every patent that may be issued on the aforesaid inventions and applications in the United States of America, and in its territories and possessions and also in all countries foreign to the United States of America, the same to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors, assigns or other legal representatives to the end of the term or terms for which a patent or patents may be granted as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made.

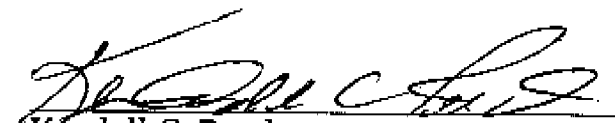
Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent on the aforesaid invention or resulting from the aforesaid application directly to the Assignee.

Assignor hereby authorizes the Assignee and its successors to apply for a patent or patents directly in its own name upon the aforesaid invention wherever the same is permitted by law, and also assign, sell, transfer and set over unto the Assignee and its successors all priority rights under the aforesaid application.

Assignor further covenants to execute all additional instruments and to do all things necessary to carry out the purpose of this instrument, at the expense of the Assignee and its successors.

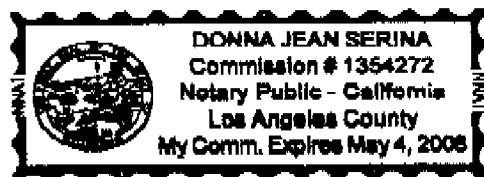
IN TESTIMONY WHEREOF, I have executed this document on the date indicated below.

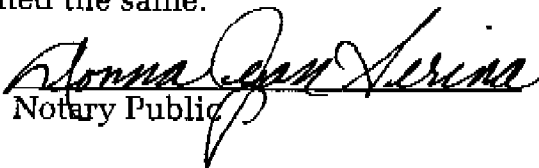
Date: October 7, 2002

  
Kendall C. Reed,  
Director of Intellectual Property

STATE OF California )  
 ) ss.:  
COUNTY OF Los Angeles )

On this 7th day of October, 2002, before me came Kendall C. Reed to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he acknowledged to me that he had executed the same.



  
Notary Public