

09-06-2002

014033-000001

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings

102213663
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office10996 U.S. PTO
10/232115
06/30/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies): Roger Michael Callahan and Martin William Ericson, Jr. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: Bank of America Corporation 101 South Tryon Street Charlotte, NC 28255 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ <i>08/30/02</i>	
Execution Date: <u>August 28, 2002</u>	

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <u>August 28, 2002</u>	
A. Patent Application No.(s) _____ <i>10/232115</i>	B. Patent No.(s) _____ _____
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

5. Name and address of party to whom correspondence concerning documents should be mailed: Name: <u>Steven B. Phillips</u> Internal Address: <u>Moore & Van Allen PLLC</u> _____ Street Address: <u>2200 West Main Street, Suite 800</u> _____ City: <u>Durham</u> State: <u>NC</u> Zip: <u>27705</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to charge deposit account any shortage or credit overpayment 8. Deposit account number: <u>13-4365</u>
---	--

DO NOT USE THIS SPACE

39. Signature. Steven B. Phillips Name of Person Signing		<i>Steven B. Phillips</i> Signature	<u>August 30, 2002</u> Date
--	--	--	--------------------------------

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231 ☐

09/04/2002 TGEDAMU1 00000021 10232115

104 FC:501

40.00 OP

DURI\323835_1

PATENT
REEL: 013252 FRAME: 0510

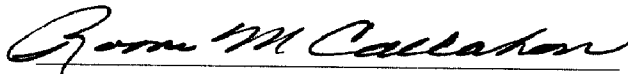
ASSIGNMENT

WHEREAS, I, Roger Michael Callahan, ("Assignor"), a citizen of US, residing at **3334 Whistley Green Drive, Charlotte NC 28269**, have invented certain new and useful inventions entitled "SYSTEM AND METHODS FOR INTEGRATED COMPLIANCE MONITORING" for which an application for Letters Patent of the United States is to be herewith filed in the United States Patent and Trademark Office; and

WHEREAS, BANK OF AMERICA CORPORATION ("Assignee"), a Corporation duly organized under the laws of the State of Delaware, and having its offices and place of business at Charlotte, North Carolina, is desirous of acquiring the entire right, title and interest in and to the aforesaid inventions and in and to all applications and Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto:

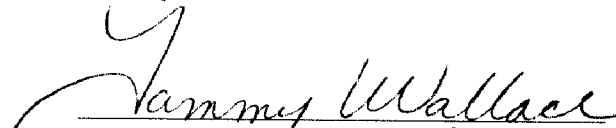
NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by the said Assignee, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I said Assignor, by these presents do sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto, and throughout the world and in space, (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to said inventions and in and to all applications and Letters Patent granted therefor, and all divisions, reissues, continuations and extensions thereof, and in and to all copyrights, trademarks with their associated goodwill, trade secrets, confidential information, and compilations, necessary or useful to make, use, or sell the inventions. I hereby authorize and request the Commissioner of Patents, and any official whose duty it is to issue patents, to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, or its successors and assigns, as assignee of the entire right, title and interest. I represent and warrant that I have full right to agree to, execute, and deliver this assignment. I agree that I will without further consideration, but at the expense of Assignee, communicate to said Assignee, or its successors, assigns, or nominees, any and all facts known to me regarding said inventions whenever requested, and that I will execute all divisional, reissue and continuation applications, testify in any legal proceedings, sign all lawful papers, make all rightful oaths, and generally do everything reasonable for said Assignee, its successors, assigns, and nominees, to obtain and enforce proper patent protection for said inventions in all countries.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 28 day
of August, 2002.


Roger Michael Callahan, Assignor

STATE OF NORTH CAROLINA :
: SS
COUNTY OF MECKLENBURG :

On this 28th day of August, 2002, before me personally appeared
Roger Michael Callahan to me known to be the person whose name is subscribed in the
foregoing instrument, and who acknowledged that he executed said instrument as his free
and voluntary act and for the uses and purposes therein expressed.


Notary Public NORTH CAROLINA

(SEAL)

My Commission Expires:

My Commission Expires April 04, 2006

ASSIGNMENT

WHEREAS, I, Martin William Ericson, Jr., ("Assignor"), a citizen of US, residing at **788 Courtney CT SE, Concord, NC 28025-3855**, have invented certain new and useful inventions entitled "SYSTEM AND METHODS FOR INTEGRATED COMPLIANCE MONITORING" for which an application for Letters Patent of the United States is to be herewith filed in the United States Patent and Trademark Office; and

WHEREAS, BANK OF AMERICA CORPORATION ("Assignee"), a Corporation duly organized under the laws of the State of Delaware, and having its offices and place of business at Charlotte, North Carolina, is desirous of acquiring the entire right, title and interest in and to the aforesaid inventions and in and to all applications and Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto:

NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by the said Assignee, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I said Assignor, by these presents do sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto, and throughout the world and in space, (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to said inventions and in and to all applications and Letters Patent granted therefor, and all divisions, reissues, continuations and extensions thereof, and in and to all copyrights, trademarks with their associated goodwill, trade secrets, confidential information, and compilations, necessary or useful to make, use, or sell the inventions. I hereby authorize and request the Commissioner of Patents, and any official whose duty it is to issue patents, to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, or its successors and assigns, as assignee of the entire right, title and interest. I represent and warrant that I have full right to agree to, execute, and deliver this assignment. I agree that I will without further consideration, but at the expense of Assignee, communicate to said Assignee, or its successors, assigns, or nominees, any and all facts known to me regarding said inventions whenever requested, and that I will execute all divisional, reissue and continuation applications, testify in any legal proceedings, sign all lawful papers, make all rightful oaths, and generally do everything reasonable for said Assignee, its successors, assigns, and nominees, to obtain and enforce proper patent protection for said inventions in all countries.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 28th day
of August, 20 02.

Martin W. Ericson, Jr.
Martin William Ericson, Jr., Assignor

STATE OF North Carolina :
: SS
COUNTY OF Mecklenburg :

On this 28th day of August, 2002, before me personally appeared
Martin William Ericson, Jr., to me known to be the person whose name is subscribed in
the foregoing instrument, and who acknowledged that he executed said instrument as his
free and voluntary act and for the uses and purposes therein expressed.

Lammy Wallace
Notary Public North Carolina

(SEAL)
My Commission Expires:

~~My Commission Expires April 04, 2006~~