



Tab settings ⇨ ⇨ ⇨

102215200

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

W. Christopher Swick
Clayton L. Padgett
Gregory A. Epplin
Christopher E. Bright

8.29.02

2. Name and address of receiving party(ies)

Name: Caterpillar, Inc. Patent Department

Internal Address:

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: 100 NE Adams Street

City: Peoria State: IL Zip: 61629

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: 08-19-02

4. Application number(s) or patent number(s):

10/232358

If this document is being filed together with a new application, the execution data of the application is: 08-19-02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Michael B. McNeil

Internal Address: Liell & McNeil Attorneys PC

Street Address: P.O. Box 2417

City: Bloomington State: IN Zip: 47402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

500226

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael B. McNeil
Name of Person Signing

[Signature]
Signature

08-29-02
Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

09/06/2002 DBYRNE

00000135 10232358

40.00 DP

01 FC:581

01-809

ASSIGNMENT

WHEREAS, Christopher E. Bright, residing at Raleigh, North Carolina, hereinafter referred to ASSIGNOR has invented together with W. Christopher Swick, Clayton L. Padgett and Gregory A. Eppin a new and useful

A CONTROL SYSTEM FOR AND METHOD OF OPERATING A WORK MACHINE

for which ASSIGNOR has made or will make application for Letters Patent of the United States; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Christopher E. Bright
CHRISTOPHER E. BRIGHT

08/19/02
Date

IN WITNESS OF the execution hereof by CHRISTOPHER E. BRIGHT we have hereunto set our hands.

W. Christopher Swick 8/19/02
Witness (1) Date

Address: 1224 Manchester Dr
Raleigh, NC 27609

~~Christopher E. Bright 08/19/02~~
Witness (2) Date

Address: ~~1424 Millstone Landing Dr.~~
~~Raleigh, NC 27603~~

Clayton L. Padgett 19 Aug 02
1708 Fairview Rd
Raleigh, NC 27608

PATENT

REEL: 013253 FRAME: 0576

ASSIGNMENT

WHEREAS, Gregory A. Epplin, residing at Apex, North Carolina, hereinafter referred to as ASSIGNOR has invented together with W. Christopher Swick, Clayton L. Padgett and Christopher E. Bright a new and useful

A CONTROL SYSTEM FOR AND METHOD OF OPERATING A WORK MACHINE

for which ASSIGNOR has made or will make application for Letters Patent of the United States; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

G. A. Epplin
GREGORY A. EPPLIN

8/19/2002
Date

IN WITNESS OF the execution hereof by GREGORY A. EPPLIN we have hereunto set our hands.

Christopher E. Bright
Witness (1) Date
Address:

W. Christopher Swick 8/19/02
Witness (2) Date
Address:

1424 Millstone Landing Dr.
Raleigh, NC 27603

1224 Manchester Dr.
Raleigh, NC 27609

WHEREAS, Clayton L. Padgett, residing at Raleigh, North Carolina, hereinafter referred to as ASSIGNOR has invented together with W. Christopher Swick, Gregory A. Epplin and Christopher E. Bright a new and useful

for which ASSIGNOR has made or will make application for Letters Patent of the United States; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.


CLAYTON L. PADGETT

17 Apr 02
Date

IN WITNESS OF the execution hereof by CLAYTON L. PADGETT we have hereunto set our hands.

Witness (1) 8/19/2002 Date
Address: 1802 Patterson Grove Rd
Apex, NC 27502

N. Christopher Durch 8/19/02
Witness (2) Date
Address: _____
1224 Manchester Dr
Raleigh, NC 27609

ASSIGNMENT

WHEREAS, W. Christopher Swick, residing at Raleigh, North Carolina, hereinafter referred to as ASSIGNOR has invented together with Clayton L. Padgett, Gregory A. Epplin and Christopher E. Bright a new and useful

A CONTROL SYSTEM FOR AND METHOD OF OPERATING A WORK MACHINE

for which ASSIGNOR has made or will make application for Letters Patent of the United States; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Wm. Christopher Swick
W. CHRISTOPHER SWICK

8/19/02
Date

IN WITNESS OF the execution hereof by W. CHRISTOPHER SWICK we have hereunto set our hands.

<u>Christopher E. Bright</u>	<u>08/19/02</u>	<u>Gregory A. Epplin</u>	<u>8/19/2002</u>
Witness (1)	Date	Witness (2)	Date
Address: <u>1424 Millstone Landing Dr.</u>		Address: <u>1802 Patterson Grove Rd</u>	
<u>Raleigh, NC 27603</u>		<u>Apex, NC 27502</u>	