

09-09-2002



Form PTO-1595

(Rev. 03/01)

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 102215505  
**PATENTS ONLY**

 U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Timothy J. Deming and Jianjun Cheng

08/30/02

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

1111 Franklin Street  
5<sup>th</sup> FloorCity: OaklandState: CAZip: 94607-5200

Additional name(s) &amp; address(es) attached:

☐ Yes☒ No

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: August 30, 2002

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: August 30, 2002

A. Patent Application No.(s):

10/232049

B. Patent No.(s): \_\_\_\_\_

Additional numbers attached?

☐ Yes☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Margaret A. Churchill

FULBRIGHT &amp; JAWORSKI L.L.P.

Internal Address: Atty. Dkt.: 1279-363/10103231

Street Address:

865 South Figueroa

Twenty-Ninth Floor

City:

Los Angeles

State:

CA

Zip:

90017-2571

6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41)

\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account☐ Authorized to be charged to credit card  
(Form 2038 enclosed)

## 8. Deposit account number:

50-0337

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Margaret A. Churchill

Name of Person Signing

Margaret A. Churchill

Signature

August 30, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 4

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## Recordation Form Cover Sheet

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL318727375US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: August 30, 2002

Signature: \_\_\_\_\_

Nancy Nolen

(Nancy Nolen)

**PATENT**  
**REEL: 013256 FRAME: 0919**

ASSIGNMENT

U.C. Case No. 2001-307-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Timothy J. Deming                      2. Jianjun Cheng

hereby sells, assigns and transfers to ASSIGNEE, the Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin St., 5<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **TRANSITION METAL INITIATORS FOR CONTROLLED POLY(BETA-PEPTIDE) SYNTHESIS FROM BETA-LACTAM MONOMERS**

(a) X U.S. patent application filed \_\_\_\_\_ herewith and listing the above named inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and document relating to said invention and said Letters patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

Signature of Inventor(s)

30 day of AUGUST, 2002

  
\_\_\_\_\_  
Timothy J. Deming

\_\_\_\_ day of \_\_\_\_\_, 2002

\_\_\_\_\_  
Jianjun Cheng

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U.C. Case No. 2001-307-2

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(a) ☒ U.S. patent application filed \_\_\_\_\_ herewith and listing the above named inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and document relating to said invention and said Letters patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2002

29 day of August, 2002

\_\_\_\_\_  
Timothy J. Deming

\_\_\_\_\_  
Jianjun Cheng