

09-10-2002



102216621

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To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**HARSHAD P. SARDESAI, MICHAEL TAYLOR  
and  
SANJAYKUMAR UPADHYAY**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: **CIENA CORPORATION**

Address: **LEGAL DEPARTMENT  
1201 WINTERSON ROAD**

City: **LINTHICUM** State/Prov.: **MD**

Country: **USA** ZIP: **21090**

Additional name(s) & address(es)  Yes  No

11036 U.S. PTO  
10/23/893  
08/30/02

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: **08/26/02; 08/27/02**

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **08/30/02**

Patent Application No.	Filing date	B. Patent No.(s)
		<b>10/23/893</b>

Additional numbers  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **DAVID L. SOLTZ**

Registration No. **34,731**

Address: **CIENA CORPORATION  
LEGAL DEPARTMENT  
1201 WINTERSON ROAD**

City: **LINTHICUM** State/Prov.: **MD**

Country: **USA** ZIP: **21090**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

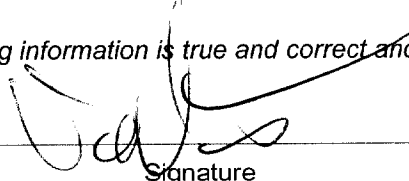
8. Deposit account number: **50-0308**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**DAVID L. SOLTZ**  **August 30, 2002**

Name of Person Signing Signature Date

5

Total number of pages including cover sheet, attachments, and

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to CIENA a Delaware corporation, having a place of business at **1201 Winterson Road, Linthicum, MD 21090-2205**, for itself and its successors, transferees, and assignees, the following:

The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the provisional application filed under 35 U.S.C. §111(b) or non-provisional application filed under 35 U.S.C. §111(a) and entitled

**WDM SYSTEM HAVING CHROMATIC DISPERSION PRECOMPENSATION**

("APPLICATION") which:

- is to be filed herewith  
 was filed on \_\_\_\_\_,  
now bearing U.S. application number \_\_\_\_\_; and

2. The entire worldwide right, title, and interest in and to:

- (a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all utility, divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) which may be granted on the applications set forth in (a), (b), (c) and (d) above; and (e) all right of priority in the APPLICATION, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Title of Document: ASSIGNMENT

Title: WDM SYSTEM HAVING CHROMATIC DISPERSION PRECOMPENSATION  
Filed: (Hereinwith) August 30, 2002  
Serial No.: Unassigned

Name and Signature \_\_\_\_\_  
Harshad Sardesai  
4427 Doncaster Drive  
Ellicott City, MD 21043

Date of  
Signature  
Aug 27 ,2002

Date Declaration  
Executed By This Person  
Aug 27 ,2002

State of \_\_\_\_\_ } S.S.  
County of \_\_\_\_\_ }

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
[DATE] [NOTARY PUBLIC]  
appeared \_\_\_\_\_ personally known to me or proved to me on the basis of satisfactory evidence to  
[INVENTOR]  
be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed  
the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity  
upon behalf of which the person acted, executed the instrument.

Notary Seal

Notary

Name and Signature: \_\_\_\_\_  
Michael Taylor  
8334 Sperry Court  
Laurel, MD 20723

Date of  
Signature  
\_\_\_\_\_,2002

Date Declaration  
Executed By This Person  
\_\_\_\_\_,2002

State of \_\_\_\_\_ } S.S.  
County of \_\_\_\_\_ }

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[INVENTOR]  
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upon behalf of which the person acted, executed the instrument.

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Serial No.: Unassigned

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
_____	_____, 2002	_____, 2002
<b>Harshad Sardesai</b> 4427 Doncaster Drive Ellicott City, MD 21043		

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County of \_\_\_\_\_ }

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Notary Seal \_\_\_\_\_ Notary \_\_\_\_\_

<u>Name and Signature:</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
<u>Michael Taylor</u> _____	8/26, 2002	8/26, 2002
<b>Michael Taylor</b> 8334 Sperry Court Laurel, MD 20723		

State of \_\_\_\_\_ } S.S.  
County of \_\_\_\_\_ }

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Notary Seal \_\_\_\_\_ Notary \_\_\_\_\_