

09-11-2002



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Tab settings

FINANCE SECTION

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kenneth D. Kolberg  
Brian J. Schaltenbrand  
Ramon J. Ojeda  
Ralph M. Ennis

19-05-02

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Eaton Corporation

Internal Address: \_\_\_\_\_

Street Address: Eaton Center

1111 Superior Avenue

City: Cleveland State: OH Zip: 44114

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: 5/30/02; 5/16/02; 5/16/02; 5/16/02

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/161,511

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eaton Corporation

Internal Address: \_\_\_\_\_

Street Address: Eaton Center

1111 Superior Avenue

City: Cleveland State: OH Zip: 44114

6. Total number of applications and patents involved:  1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

05-0275

DO NOT USE THIS SPACE

9. Signature.

Terri F. Mikolajczyk  
Name of Person Signing

Terri F. Mikolajczyk  
Signature

August 28, 2002  
Date

Total number of pages including cover sheet, attachments, and documents:  13

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

09/10/2002 6TOM11 00000122 050275 10161511  
01 FC:561 40.00 CH

PATENT  
REEL: 013263 FRAME: 0330

**ASSIGNMENT**

WHEREAS, we, **KENNETH DANIEL KOLBERG, BRIAN JOHN SCHALTENBRAND, RAMON JAVIER OJEDA, RALPH MASON ENNIS** and **ARTHUR DALE CAROTHERS** of 5639 Aiken Road, McKees Rocks, in the County of Allegheny, PA 15136, 123 Winterbrook Drive, Cranberry Township, in the County of Butler, PA 16066, 814 Ponderosa Drive, Imperial, in the County of Allegheny, PA 15126, 2505 Timberglen Drive, Imperial, in the County of Allegheny, PA 15126, and 110 DeHaven Road, Beaver Falls, in the County of Beaver, PA 15010 have invented certain improvements in: **SPACER FOR THE SHUNT WIRES WITHIN A CIRCUIT BREAKER**, for which we are about to make application for Letters Patent of the United States; and

WHEREAS, **EATON CORPORATION**, a corporation organized and existing under the laws of the State of Ohio, having its principal place of business at Eaton Center, 1111 Superior Avenue, Cleveland, Ohio 44114, is desirous of acquiring an interest therein;

NOW, THEREFORE, for and in consideration of continued employment and/or other undertakings and/or other good and valuable consideration, receipt of which is hereby acknowledged, we, **KENNETH DANIEL KOLBERG, BRIAN JOHN SCHALTENBRAND, RAMON JAVIER OJEDA, RALPH MASON ENNIS**, and **ARTHUR DALE CAROTHERS** by these presents do sell, assign, and transfer unto said **EATON CORPORATION**, its successors and assigns, the full and exclusive right in the United States of America and all foreign countries to the said invention as described in the specification executed by us on the 30<sup>th</sup> day of May, 2002, \_\_\_\_\_ day of \_\_\_\_\_, 2002, \_\_\_\_\_ day of \_\_\_\_\_, 2002, \_\_\_\_\_ day of \_\_\_\_\_, 2002 and \_\_\_\_\_ day of \_\_\_\_\_, 2002 (and we hereby authorize our attorneys, authorized to prosecute said application, to here insert the filing date and Serial No. of the said application, as soon as it is known, Serial No. 10/161,511, Filed June 3, 2002, preparatory to obtaining Letters Patent of the United States therefor); said invention, all applications for Letters Patent and all Letters Patent therefor, to be held and enjoyed by the said **EATON CORPORATION**, for its own use and behoof and for its legal representatives, to the full end of the term for which said Letters Patent are granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made; and we hereby covenant and agree to execute all instruments or documents required or requested for the making and prosecution of applications for Letters Patent of the United States of America

and all foreign countries or, for litigation regarding, or for the purpose of protecting title to the said invention or Letters Patent therefor for the benefit of my assignee without further or other compensation than that above set forth; and we hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent to **EATON CORPORATION**, as assignee thereof.

*Kenneth D Kolberg*  
\_\_\_\_\_  
**KENNETH DANIEL KOLBERG**

\_\_\_\_\_) )  
\_\_\_\_\_) SS:  
\_\_\_\_\_)

On this 30 day of May, 2002 before me personally appeared **KENNETH DANIEL KOLBERG** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

*Linda L. Casey*  
\_\_\_\_\_  
Notary Public

My Commission Expires: 9/5/05

(SEAL)

Notarial Seal  
Linda L. Casey, Notary Public  
Findlay Twp., Allegheny County  
My Commission Expires Sept. 5, 2005  
Member, Pennsylvania Association of Notaries

**BRIAN JOHN SCHALTENBRAND**

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002 before me personally appeared **BRIAN JOHN SCHALTENBRAND** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public  
My Commission Expires: \_\_\_\_\_  
(SEAL)

**RAMON JAVIER OJEDA**

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002 before me personally appeared **RAMON JAVIER OJEDA** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public  
My Commission Expires: \_\_\_\_\_  
(SEAL)

\_\_\_\_\_  
**RALPH MASON ENNIS**

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002 before me personally appeared **RALPH MASON ENNIS** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

(SEAL)

\_\_\_\_\_  
**ARTHUR DALE CAROTHERS**

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002 before me personally appeared **ARTHUR DALE CAROTHERS** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

(SEAL)

**ASSIGNMENT**

WHEREAS, we, **KENNETH DANIEL KOLBERG, BRIAN JOHN SCHALTENBRAND, RAMON JAVIER OJEDA, RALPH MASON ENNIS** and **ARTHUR DALE CAROTHERS** of 5639 Aiken Road, McKees Rocks, in the County of Allegheny, PA 15136, 123 Winterbrook Drive, Cranberry Township, in the County of Butler, PA 16066, 814 Ponderosa Drive, Imperial, in the County of Allegheny, PA 15126, 2505 Timberglen Drive, Imperial, in the County of Allegheny, PA 15126, and 110 DeHaven Road, Beaver Falls, in the County of Beaver, PA 15010 have invented certain improvements in: **SPACER FOR THE SHUNT WIRES WITHIN A CIRCUIT BREAKER**, for which we are about to make application for Letters Patent of the United States; and

WHEREAS, **EATON CORPORATION**, a corporation organized and existing under the laws of the State of Ohio, having its principal place of business at Eaton Center, 1111 Superior Avenue, Cleveland, Ohio 44114, is desirous of acquiring an interest therein;

NOW, THEREFORE, for and in consideration of continued employment and/or other undertakings and/or other good and valuable consideration, receipt of which is hereby acknowledged, we, **KENNETH DANIEL KOLBERG, BRIAN JOHN SCHALTENBRAND, RAMON JAVIER OJEDA, RALPH MASON ENNIS, and ARTHUR DALE CAROTHERS** by these presents do sell, assign, and transfer unto said **EATON CORPORATION**, its successors and assigns, the full and exclusive right in the United States of America and all foreign countries to the said invention as described in the specification executed by us on the \_\_\_\_\_ day of \_\_\_\_\_, 2002, 16 day of MAY, 2002, 16 day of May, 2002, 16 day of May, 2002 and 16 day of May, 2002 (and we hereby authorize our attorneys, authorized to prosecute said application, to here insert the filing date and Serial No. of the said application, as soon as it is known, Serial No. 10141,511, Filed June 3, 2002, preparatory to obtaining Letters Patent of the United States therefor); said invention, all applications for Letters Patent and all Letters Patent therefor, to be held and enjoyed by the said **EATON CORPORATION**, for its own use and behoof and for its legal representatives, to the full end of the term for which said Letters Patent are granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made; and we hereby covenant and agree to execute all instruments or documents required or requested for the making and prosecution of applications for Letters Patent of the United States of America

and all foreign countries or, for litigation regarding, or for the purpose of protecting title to the said invention or Letters Patent therefor for the benefit of my assignee without further or other compensation than that above set forth; and we hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent to **EATON CORPORATION**, as assignee thereof.

\_\_\_\_\_  
**KENNETH DANIEL KOLBERG**

\_\_\_\_\_  
\_\_\_\_\_) SS:  
\_\_\_\_\_)

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002 before me personally appeared **KENNETH DANIEL KOLBERG** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

(SEAL)

*Brian John Schaltenbrand*  
BRIAN JOHN SCHALTENBRAND

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF Allegheny )

On this 16 day of May, 2002 before me personally appeared **BRIAN JOHN SCHALTENBRAND** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda L. Casey  
Notary Public

Notarial Seal  
Linda L. Casey, Notary Public  
Findlay Twp., Allegheny County  
My Commission Expires Sept. 5, 2005  
Member, Pennsylvania Association of Notaries

My Commission Expires: 9/5/05

(SEAL)

Ramon J. Ojeda  
RAMON JAVIER OJEDA

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF Allegheny )

On this 16 day of May, 2002 before me personally appeared **RAMON JAVIER OJEDA** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda L. Casey  
Notary Public

My Commission Expires: 9/5/05

(SEAL)

Notarial Seal  
Linda L. Casey, Notary Public  
Findlay Twp., Allegheny County  
My Commission Expires Sept. 5, 2005  
Member, Pennsylvania Association of Notaries



*Ralph M. Ennis*  
\_\_\_\_\_  
RALPH MASON ENNIS

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF Allegheny )

On this 16 day of May, 2002 before me personally appeared **RALPH MASON ENNIS** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

*Linda L. Casey*  
\_\_\_\_\_  
Notary Public

Notarial Seal  
Linda L. Casey, Notary Public  
Findlay Twp., Allegheny County  
Commission Expires Sept. 5, 2005  
Member, Pennsylvania Association of Notaries

My Commission Expires: 9/5/05

(SEAL)

*Arthur Dale Carothers*  
\_\_\_\_\_  
ARTHUR DALE CAROTHERS

COMMONWEALTH OF PENNSYLVANIA )  
 ) SS:  
COUNTY OF Allegheny )

On this 16 day of May, 2002 before me personally appeared **ARTHUR DALE CAROTHERS** to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

*Judith L. Mancini*  
\_\_\_\_\_  
Notary Public

My Commission Expires: May 24, 2003

(SEAL)

Notarial Seal  
Judith L. Mancini, Notary Public  
Findlay Twp., Allegheny County  
My Commission Expires May 24, 2003  
Member, Pennsylvania Association of Notaries