

09-12-2002

Docket No.: 40655.7100

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp.5/31/2002)
P08A/REV03



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents a

CORRECTED

ie attached original documents or copy thereof.

1. Name of conveying party(ies):

VOLTMER, Theodore S.

ANTONUCCI, Donna A.

GREENHUT, Scott M.

HAYES, John

SNEDDON, Kendall

Additional names(s) of conveying party(ies)

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 4, 2002

address of receiving party(ies):

American Express Travel Related
Services Company, Inc.

General Counsel's Office

American Express Tower

World Financial Center

City: New York

State/Prov.: New York

Country: U.S.A.

ZIP: 10285-4900

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

10/027,984

Filing date

December 20, 2001

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Howard I. Sobelman

Registration No. 39,038

Address: Snell & Wilmer L.L.P.

One Arizona Center

400 E. Van Buren

City: Phoenix, State/Prov.: Arizona

Country: U.S.A. ZIP: 85004-2202

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

19-2814

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard I. Sobelman

Name of Person Signing

Signature

August 28, 2002

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

PATENT

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FINANCE SECTION

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Page 2 of 2

Continuation of information Item 1:

CICCARONE, Christine D.

EWELL, Jason

LAM, Johnson

ARIFF, Fauziah B.

ZAIAC, Joanne

LANG, Laura

BHARWANI, Seraj

ZEISSER, Michel P.

FERNANDES, Joseph

SEGEL, Elizabeth Hilton

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To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of conveying party(ies):

Theodore S. Voltmer Christine D. Ciccarone
Donna A. Antonucci Jason Ewell
Scott M. Greenhut Johnson Lam
John Hayes Fauziah B. Ariff
Kendall Sneddon Laura Lang
Additional names(s) of conveying party(ies) ☒ Yes ☐ No

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☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 4, 2002

2. Name and address of receiving party(ies):

AMERICAN EXPRESS TRAVEL RELATED
Name: SERVICES COMPANY, INC.

Address: General Counsel's Office

American Express Tower

World Financial Center

City: New York State/Prov.: NY

Country: U.S.A. ZIP: 10285-4900

Additional name(s) & address(es) ☐ Yes ☒ No

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Name: Howard I. Sobelman

Registration No. 39,038

Address: Snell & Wilmer L.L.P.

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400 E. Van Buren

City: Phoenix State/Prov.: AZ

Country: USA ZIP: 85004-2202

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard I. Sobelman, Reg. No. 39,038

Name of Person Signing

Signature

June 5, 2002

Date

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Page 2 of 2

Continuation of information Item 1:

Name of conveying party(ies):

Seraj Bharwani

Michel P. Zeisser

Joseph Fernandes

Elizabeth Hilton Segel

ASSIGNMENT

UTILITY PATENT

WHEREAS, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the patent application entitled **SYSTEM AND METHOD FOR NETWORKED LOYALTY PROGRAM** for which an application for Letters Patent of the United States was filed on December 20, 2001 and assigned U.S. Serial No. 10/027,984;

AND WHEREAS, AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC., a subsidiary of AMERICAN EXPRESS COMPANY (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of New York having its principal place of business and mailing address at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, is desirous of memorializing its interest therein;

NOW, THEREFORE, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee, past employee or a contractor of ASSIGNEE (AMERICAN EXPRESS COMPANY or one of it's subsidiary companies) and that ASSIGNOR developed the subject matter of the invention on ASSIGNEE's time and at the expense of ASSIGNEE and within the scope of ASSIGNOR's duties as an employee of ASSIGNEE and thereby ASSIGNOR recognizes that the ASSIGNEE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

AND HEREBY AGREES to transfer to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, a like interest in and to any improvements, derivative works, and applications based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal

SCHWARR\PHX\1107240.2


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PATENT
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representatives, to preserve, acquire and/or perfect ASSIGNEE's full protection and title in and to the invention and any improvements and to file any of the aforementioned applications.

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with Snell & Wilmer L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

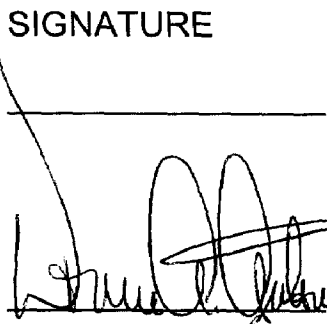

EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
<u>Theodore S. Voltmer</u>	<u>51 Birkendene Road</u> <u>Caldwell, NJ</u> <u>07006</u>	<u></u>	<u>4/5/02</u>
<u>Donna A. Antonucci</u>	<u>1027 Washington St., 2R</u> <u>Hoboken, NJ</u> <u>07030</u>	<u>_____</u>	<u>_____</u>
<u>Scott M. Greenhut</u>	<u>127 W. 75th Street</u> <u>Apt. 3R</u> <u>New York, NY 10023</u>	<u>_____</u>	<u>_____</u>
<u>John Hayes</u>	<u>49 Carteret Road</u> <u>Alendale, NJ</u> <u>07401</u>	<u>_____</u>	<u>_____</u>
<u>Kendall Sneddon</u>	<u>34 Joanna Way</u> <u>Summit, NJ</u> <u>07901</u>	<u>_____</u>	<u>_____</u>
<u>Christine D. Ciccarone</u>	<u>50 Jared Drive</u> <u>Robbinsville, NJ</u> <u>08691</u>	<u>_____</u>	<u>_____</u>
<u>Jason Ewell</u>	<u>104 S. Irving Street</u> <u>Ridgewood, NJ</u> <u>07450</u>	<u>_____</u>	<u>_____</u>

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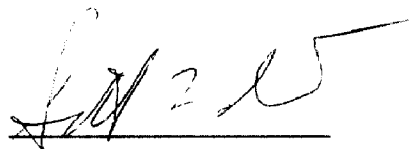
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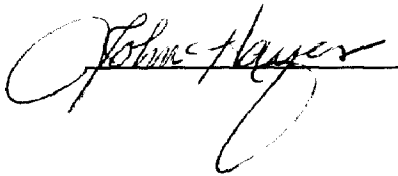

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<u>Scott M. Greenhut</u>	<u>241 Central Park W</u> <u>Apt. 10A</u> <u>New York, NY 10024</u>		<u>4/5/02</u>
<u>John Hayes</u>	<u>49 Carteret Road</u> <u>Alendale, NJ</u> <u>07401</u>	_____	_____
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<u>John Hayes</u>	<u>49 Carteret Road</u> <u>Alendale, NJ</u> <u>07401</u>		<u>4/16/02</u>
<u>Kendall Sneddon</u>	<u>34 Joanna Way</u> <u>Summit, NJ</u> <u>07901</u>		<u>4/18/02</u>
<u>Christine D. Ciccarone</u>	<u>50 Jared Drive</u> <u>Robbinsville, NJ</u> <u>08691</u>	_____	_____
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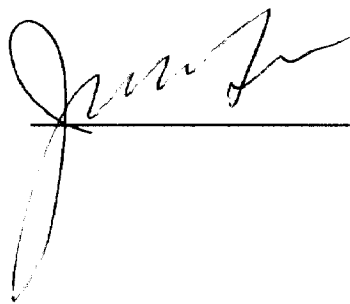
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Johnson Lam

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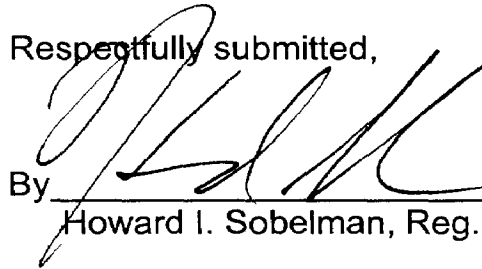
Eleanor M. Spel 05/03/02

One Arizona Center
400 East Van Buren
Phoenix, Arizona 85004-2202
(602) 382-6228
Fax (602) 382-6070

A check in the amount of \$40.00 to cover the recordation fee is enclosed. The Commissioner is hereby authorized to charge payment of the any additional fees required under 37 CFR 1.17 associated with this communication or credit any overpayment to Deposit Account No. 19-2814. A duplicate of this sheet is enclosed.

If you should have any questions, please do not hesitate to contact the undersigned at the telephone number listed below.

Respectfully submitted,



By

Howard I. Sobelman, Reg. No. 39,038

Dated: August 28, 2002

Snell & Wilmer L.L.P.
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