

Attorney Docket No. 014740-000230US

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

# Recordation Form Cover Sheet PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Carlo Ferrari  
Amalia Penna  
Gabriele MissaleAdditional name(s) of conveying parties attached? ☐ Yes ☒ No.

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: April 23, 2002

## 2. Name and address of receiving party(ies)

Name: The Scripps Research Institute

Internal Address: \_\_\_\_\_

Street Address: 10666 North Torrey Pines Road

City: La Jolla State: CA ZIP: 92037

Additional names and addresses attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 08/591,502

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jean M. Lockyer, Ph.D.  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

## 6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \_\_\_\_\_ \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*

Jean M. Lockyer, Ph.D.

Name of Person Signing  
Atty. Reg. No. 44,879

Signature

Date

Total number of pages including cover sheet, attachments and document 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

Attorney Docket No.: 014740-000230US  
Client Reference No.: TSRI Case 242.4/EPM 0051P/EPI 2242.30 US

# ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, **Carlo Ferrari** of Viale Duce Alessandro 18, Parma 43100, Italy; **Amalia Penna** of Via Corelli 1, Parma 43100, Italy; and **Gabriele Missale** of Via Caprera 4, Parma 43100, Italy; hereinafter referred to as "Assignors," are three of the four inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **PEPTIDES FOR INDUCING CYTOTOXIC T  
LYMPHOCYTE RESPONSES TO HEPATITIS B VIRUS**

Date(s) of execution of Declaration:

Filing Date: **May 20, 1996**

Application No.: **08/591,502; and**

WHEREAS, **The Scripps Research Institute**, located at 10666 North Torrey Pines Road, La Jolla, CA, 92037, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

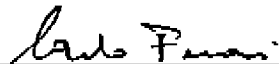


For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

Assignment  
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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

|                              |   |
|------------------------------|---|
| Dated: <u>April 23, 2002</u> | <u></u><br>Carlo Ferrari     |
| Dated: <u>April 23, 2002</u> | <u></u><br>Amalia Penna     |
| Dated: <u>April 23, 2002</u> | <u></u><br>Gabriele Missale |

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