

9-10-02

09-17-2002

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark OfficeSection U.S. PTO
10/238655
09/10/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Martin Ridgway Handforth
John Joseph Stankus
Dominic John GoodwillAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

09/06/02

Execution Date: _____

2. Name and address of receiving party(ies)

Name: Nortel Networks Limited

Internal Address: 2351 Boulevard Alfred-Nobel

St. Laurent, Quebec

Canada H4S 2A9

Street Address: Same

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 09/06/2002

A. Patent Application No.(s) _____

10/238655

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nortel Networks Limited

Internal Address: Attn: Law Department

Dept. 9540, M/S E65/60/104

Street Address: 600 Technology Park Drive

City: Billerica State: MA Zip: 01821

6. Total number of applications and patents involved: ☐ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

502246 (Ref NN-15496)

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9. Signature

09/12/2002 SZEWDIE1 00000014 10238655

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40.00 DP

John C. Gorecki, Reg. No. 38,471

Name of Person Signing

 Signature

September 10, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

PATENT
 REEL: 013283 FRAME: 0738

FILE NO. 15496ROUS02U
UNITED STATES

PATENT APPLICATION
ASSIGNMENT

FOR VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, I (we) the undersigned, whose full post office address(es) is(are) listed below my (our) name(s), do hereby sell, assign and transfer to:

NORTEL NETWORKS LIMITED

(hereinafter "Nortel"), its successors, assigns and legal representatives, whose full post office address is:

2351 Boulevard Alfred-Nobel
St. Laurent, Quebec
Canada, H4S 2A9

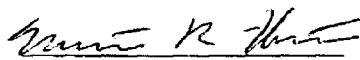
all their right, title, and interest worldwide in and to the invention relating to

**METHOD AND APPARATUS FOR FORMING AN OPTICAL MODULE
WITH OPTICAL AND ELECTRICAL CONNECTIONS FACING ONE DIRECTION**

as described in an application for letters patent filed concurrently herewith or filed in (Insert Country) on (Insert Date) and given serial number (Insert Serial Number), as well as all rights and privileges including priority rights arising from the aforesaid application and corresponding applications in other countries, all continuations, continuations-in-part, divisions, renewals, substitutes or reissues thereof, and all the rights and privileges under any and all letters patent that may be granted for said invention.

I (We) undertake without charges to Nortel but at its request and expense to execute all documents, take all oaths and do all reasonable acts to enable Nortel, its successors assigns and legal representatives to procure and maintain patent or any other intellectual property protection for said invention in any and all countries and to vest title thereto to Nortel, its successors assigns and legal representatives.

THE UNDERSIGNED hereby authorizes the firm of John C. Gorecki, Esq. whose full office address is 165 Harvard St., Newton, MA 02460, to correct errors in this Assignment or to insert any further identification or other information necessary or desirable to make this Assignment suitable for recordal in a domestic or foreign Patent Office.


Martin R. Handforth
42 Tiffany Crescent
Kanata, Ontario K2K 1W2

Sept 6, 2002
Date


Witness Signature

Bryan Macdon
Witness Name (Print)

11

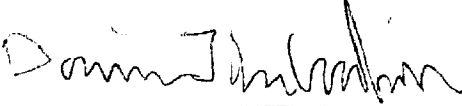
2

John Joseph Stankus
4568 Vista Knoll Drive
Plano, Texas 75093

Date

Witness Signature

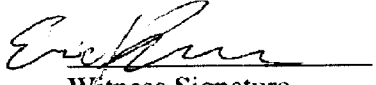
Witness Name (Print)



Dominic John Goodwill
160 Yoho Drive
Kanata, Ontario K2M 2V4



Date



Witness Signature



Witness Name (Print)

12

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Martin Ridgway Handforth
42 Tiffany Crescent
Kanata, Ontario K2K 1W2

Date


Witness Signature

Witness Name (Print)

2



John Joseph Stankus
4568 Vista Knoll Drive
Plano, Texas 75093



Witness Signature

6 Sept 2002

Date

Brian Vezza

Witness Name (Print)

Dominic John Goodwill
160 Yoho Drive
Kanata, Ontario K2M 2V4

Witness Signature

Date

Witness Name (Print)

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PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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