

09-17-2002



102222099

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Registration Form Cover Sheet

9-10-02

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):  
**Carlos Cordon-Cardo**  
**Stephen Mastorides**

2. Name and address of receiving party(ies):  
Name: **Sloan-Kettering Institute for Cancer Research**  
Address: **1275 York Avenue, New York, NY 10021**

Additional names of conveying party(ies) attached?  
 NO  YES

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  
 Change of Name  
 OTHER

Additional names & addresses attached?  
 NO  YES

Execution Date(s): April 11, 2002, and September 3, 2002.

4. Application number(s) or patent number(s): **10/006,775**  
Additional numbers attached?  NO  YES

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:  
**Dr. Benjamin Adler**  
**ADLER & ASSOCIATES**

Address:  
**8011 Candle Ln.**  
**Houston, Texas 77071**

FINANCE SECTION

SEP 11 4 8:33

RECORDS

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41):.....\$**40.00**  enclosed  authorized to charge deposit account

8. Deposit Account number: 07-1185 (duplicate copy attached if paying by deposit account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Benjamin Aaron Adler Ph.D., J.D.**  
Name of person signing

Signature

9/5/02  
Date

Total number of pages comprising cover sheet: 1

09/16/2002 6TOM11 00000132 071185 10006775  
01 FC:581 40.00 CH

## ASSIGNMENT

WHEREAS, **Carlos Cordon-Cardo**, of 860 United Nations Plaza, 14F, New York, NY 10017, and **Stephen Mastorides**, of 16602 Palm Royal Dr. #1527, Tampa, FL 33647 (hereinafter collectively called "ASSIGNORS"), are inventors and co-owners of certain new and useful improvements in *A Cryoarray System and Uses Thereof*, an application for United States Letters Patent as filed November 30, 2001 as United States Serial No. 10/006775.

WHEREAS, **Sloan-Kettering Institute for Cancer Research**, of 1275 York Avenue, New York, NY 10021, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNORS are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other

legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

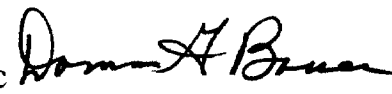
DATE: 4/11/02

  
Carlos Cordon-Cardo

STATE OF NY:

COUNTY OF NY:

Before me this 11 day of April, 2002 personally appeared Carlos Cordon-Cardo known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public 

DONNA G. BAUER  
Notary Public, State of New York  
No. 01BA6002391  
Qualified in Westchester County  
Commission Expires Feb. 9, 2006

My Commission Expires:

DATE: Sept 3, 2002

  
Stephen Mastorides

STATE OF Florida:

COUNTY OF DeSoto:

Before me this 3rd day of September, 2002 personally appeared Stephen Mastorides known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public 

My Commission Expires: 9/20/03



Naomy Cardona  
MY COMMISSION # CC872058 EXPIRES  
September 20, 2003  
BONDED THROUGH FARM INSURANCE INC