

09-19-2002



HONORABLE COMMISSIONER OF  
PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

102226619

SIR:

PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENT(S) OR COPY(IES) THEREOF.

## 1. NAME OF CONVEYING PARTY(IES)

Jean-Francois Viens, Tairan Wang, Pierre Villeneuve &  
Charles Romaniuk

Additional name(s) of conveying party(ies) attached? No

## 2. NAME(S) AND ADDRESS(ES) OF RECEIVING PARTY(IES)

Clarendon Photonics, Inc.  
153 Needham Street  
Newton, MA 02464

Additional name(s) of receiving party(ies) attached? No

## 3. NATURE OF CONVEYANCE

- ☒ Assignment  
☐ Security Agreement  
☐ Merger  
☐ Change of Name  
☐ Other

Execution Date: 7/9/02

## 4. APPLICATION NUMBER(S) OR PATENT NUMBER(S)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): \_\_\_\_\_

B. Patent No(s): \_\_\_\_\_

C. Issue Batch No.: \_\_\_\_\_

D. Issue Date: \_\_\_\_\_

Additional numbers attached? No.

OFFICE OF PUBLIC RECORDS  
702 SEP 16 AM 9:23  
FINANCE SECTION

5. NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE CONCERNING DOCUMENT  
SHOULD BE DIRECTED:

Matthew E. Connors  
Samuels, Gauthier & Stevens LLP  
225 Franklin Street, Suite 3300  
Boston, Massachusetts 02110  
(617) 426-9180, Extension 112

6. TOTAL NUMBER OF APPLICATIONS  
AND PATENTS INVOLVED: 1

## 7. TOTAL FEE DUE: \$40.00 (Enclosed)

If any additional fee(s) are due, the Commissioner is hereby authorized to  
charge the Deposit Order Account noted in item 8.

## 8. DEPOSIT ACCOUNT NUMBER: 19-0079

## 9. STATEMENT AND SIGNATURE

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Matthew E. Connors

Name of Person Signing

Signature

9/11/02  
Date

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the  
United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the  
Commissioner of Patents and Trademarks, Washington, D.C. 20231

Date: 09/11/2002

Emily C. Porell

09/18/2002 LHMILLER 00000169 06162177

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40.00 DP

PATENT  
REEL: 013288 FRAME: 0682

**ASSIGNMENT**

Know all men by these presents that:

WHEREAS we,                   Jean-Francois Viens  
                                  330 Dartmouth  
                                  Apt. 1B  
                                  Boston, MA 02116  
and  
                                  Tairan Wang  
                                  164 North Beacon Street  
                                  Brighton, MA 02135  
and  
                                  Pierre Villeneuve  
                                  271 Beacon Street, #7  
                                  Boston, MA 02116  
and  
                                  Charles Romaniuk  
                                  11 Birch Hill Road  
                                  Newton, MA 02465

have made an invention for

**COUPLER ASSISTED TUNABLE ADD/DROP FILTER**

described in the application filed herewith, which is a continuation-in-part application of Ser. No. 06/162,177 filed October 28, 1999, and

WHEREAS Clarendon Photonics, Inc., a corporation duly organized and existing under the laws of Massachusetts and having a place of business at 153 Needham Street, Newton, MA 02464, for the benefit of itself, its successors and assigns, all inclusively hereinafter referred to as the Assignee, is desirous of acquiring the entire right, title and interest in and to the said invention, the said application, all inventions disclosed in said application and any and all Letters Patent of the United States and of all other countries which may be granted for the said invention or inventions, or any of them;

NOW, THEREFORE, for good and valuable consideration provided by said Assignee, the receipt whereof is hereby acknowledged, we do hereby sell, assign and transfer to the said Assignee the entire right, title and interest in and to the said invention, inventions and application, including all priority rights arising therefrom, all inventions disclosed in said application, and any and all Letters Patent of the United States, and of all other countries, together with the right to apply for such Letters Patent, which may be granted for the said invention, inventions or any of them,

TO HAVE, HOLD AND ENJOY the said invention, the said application, and the said Letters Patent, to said

**Clarendon Photonics, Inc.**

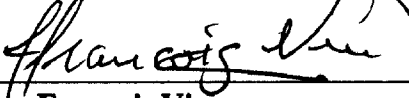
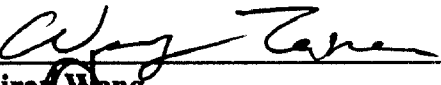


its successors and assigns, to its and their own use and behoof to the full end of the term or terms for which the said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

AND we hereby authorize and request the Commissioner of Patents of the United States and the appropriate officers of all foreign patent offices to issue any and all Letters Patent which may be granted on the said application or applications above referred to, or for the said invention, or any of them, to the said Assignee in accordance with the terms of this instrument.

AND we hereby agree to execute and sign without further consideration any other legal document and any other assignments and any divisional, continuing, renewal, reissue or other application in and for all patents that may be appropriate and may be deemed necessary by the Assignee fully to secure to said Assignee its interests as aforesaid in and to the said invention or any part thereof and in and to the said patents or any of them.

AND we further covenant and agree that we will at any time upon request communicate to the said Assignee, its successors, assigns or other legal representatives, any facts known to us relating to the said invention and any patent that may be granted thereon, and will testify as to the same in any interference or litigation when requested to do so.

AND we hereby covenant for ourselves and our legal representatives that we have not hitherto assigned or granted any license to make, use or sell said invention, and that we will not henceforth purport to assign, license or execute any instrument to that effect in conflict with this assignment.

<u>7/09/2002</u> Date	<u></u> Jean-Francois Viens
<u>7/09/2002</u> Date	<u></u> Tairan Wang
<u>7/9/02</u> Date	<u></u> Pierre Villeneuve
<u>7/09/2002</u> Date	<u></u> Charles Romaniuk