

09-19-2002

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

102226825

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jack Jenkins Ethan Laub
John Berkley Robert Pacheco
David M. Lee
Cheryl Cramer

9-17-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: September 11, 2002

2. Name and address of receiving party(ies):

Name: American Express Travel Related Services Co., Inc.

Address: General Counsel's Office

American Express Tower

World Financial Center

City: New York State/Prov.: NY

Country: U.S.A. ZIP: 10285-4900

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No. Filing date
10/211,928 August 2, 2002

Additional numbers

B. Patent No.(s)

☐ Yes ☒ No

FINANCE SECTION

2002 SEP 17 PM 2:00

OFFICE OF RECORDS

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas V. DelRosario

Registration No. 46,658

Address: Snell & Wilmer

One Arizona Center

400 E. Van Buren

City: Phoenix State/Prov.: AZ

Country: U.S. ZIP: 85004-2202

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

19-2814

(Attach duplicate copy of this page if paying by deposit account)

09/18/2002 BYRNE 00000229 10211928

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas V. DelRosario

Name of Person Signing

Signature

September 11, 2002

Date

8

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013289 FRAME: 0902

ASSIGNMENT

UTILITY PATENT

WHEREAS, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the patent application entitled SYSTEM AND METHOD FOR THE INTERACTIVE TRADING OF DERIVATIVES filed on August 2, 2002 as serial number 10/211,928;

AND WHEREAS, AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC. (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of New York having its principal place of business and mailing address at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, is desirous of memorializing its interest therein;

NOW, THEREFORE, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee of ASSIGNEE and that ASSIGNOR developed the subject matter of the invention on ASSIGNEE's time and at the expense of ASSIGNEE and within the scope of ASSIGNOR's duties as an employee of ASSIGNEE and thereby ASSIGNOR recognizes that the ASSIGNEE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

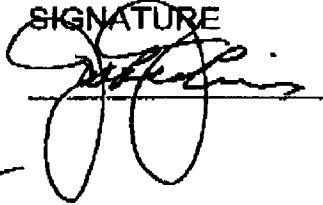
THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

AND HEREBY AGREES to transfer to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, a like interest in and to any improvements, derivative works, and applications based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal representatives, to preserve, acquire and/or perfect ASSIGNEE's full protection and title

in and to the invention and any improvements and to file any of the aforementioned applications.

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with Snell & Wilmer L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Jack Jenkins	2901 Wilcoast Dr. #475 Houston, TX 77042		9/11/02
John Berkley	_____	_____	_____

David M. Lee	_____	_____	_____

Cheryl Cramer	_____	_____	_____

Ethan Laub	_____	_____	_____

Rob Pacheco	_____	_____	_____

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EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME

ADDRESS

SIGNATURE

DATE

~~Edward J. Boyle~~

Jack Jenkins

John Berkley

American Express
233 N. Michigan Ave., 1920
Chicago, IL 60601

John Berkley

7/26/02

David M. Lee

Cheryl Cramer


Ethan Laub

Rob Pacheco

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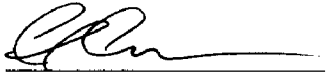
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INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Jack Jenkins	_____ _____ _____	_____	_____
John Berkley	_____ _____ _____	_____	_____
David M. Lee	8850 N 36 St Phoenix 85027 _____ _____		9/3/02
Cheryl Cramer	_____ _____ _____	_____	_____
Ethan Laub	_____ _____ _____	_____	_____
Rob Pacheco	_____ _____ _____	_____	_____

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John Berkley	_____ _____ _____	_____	_____
David M. Lee	_____ _____ _____	_____	_____
Cheryl Cramer	<u>120 E. 34 TH ST</u> <u># 3 E</u> <u>NEW YORK, NY 10016</u>		<u>8/2/02</u>
Ethan Laub	_____ _____ _____	_____	_____
Rob Pacheco	_____ _____ _____	_____	_____

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David M. Lee	_____ _____ _____	_____	_____
Cheryl Cramer	_____ _____ _____	_____	_____
Ethan Laub	635 9th Ave Apr 3 New York, NY 10036		9/9/02 
Rob Pacheco	_____ _____ _____	_____	_____

**SIGN
HERE**

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Cheryl Cramer	_____ _____ _____	_____	_____
Ethan Laub	_____ _____ _____	_____	_____
Robert Pacheco	One Meadow Road Montrose, NY 10548 _____	