

09-19-2002



EET

102226396

To the Honorable Commissioner of Pa.

TRADEMARKS. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Volker Mittendorf
Heiko A. Haertel
Petra Cirpus

9.16.02

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution date(s) of each conveying party:

Volker Mittendorf July 16, 2002
Heiko A. Haertel July 16, 2002
Petra Cirpus July 22, 2002

2. Name and Address of receiving party(ies):

Name: BASF Plant Science GmbH

City: Ludwigshafen

Zip: D-67056

Country: Germany

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4(a). Patent Application No.(s): 10/171,404

Patent No.(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Lisa M. Cobern
Address: SUTHERLAND ASBILL & BRENNAN LLP
999 Peachtree Street, NE
Atlanta, Georgia 30309-3996

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41) enclosed: \$ 40.00

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an addressed to: Box Assignments, Commissioner of Patents & Trademarks, Washington, DC 20231, on the date given below.

LISA M. COBERN

Signature

Date: September 11, 2002

Total number of pages including cover sheet: 7

Attorney Docket No. 16313-0119

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks
Box Assignments
Washington, DC 20231

09/18/2002 LNUELLER 00000095 10171404

01 FC:501

40.00 00

ASSIGNMENT

WHEREAS, we, **Volker Mittendorf** a citizen of Germany, residing at 2 Crestbury Court, Durham, North Carolina 27713; **Heiko A. Haertel** a citizen of Germany, residing at 1508 Woodway Club Drive, Apt. 1031, Durham, North Carolina 27713; and **Petra Cirpus** a citizen of Germany, residing at Landteilstr. 12, 68163, Mannheim, Germany, have invented certain inventions and improvements disclosed in an application for Letters Patent entitled “**Sugar and Lipid Metabolism Regulators in Plants II**” that was filed in the United States Patent and Trademark Office on June 4, 2002, assigned U.S. Non-Provisional Patent Application Number 10/171,404, and on June 4, 2002, assigned U.S. PCT Application Serial No. No. PCT/US02/17937.

WHEREAS, **BASF Plant Science GmbH**, a corporation of Germany having a principal place of business at D-67056 Ludwigshafen, Germany, is desirous of acquiring an interest in the same;

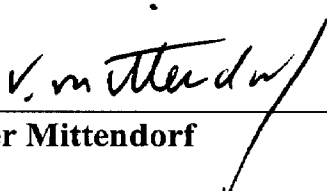
NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, **Volker Mittendorf**, by these presents do sell, assign and transfer unto **BASF Plant Science GmbH** the full, exclusive and entire right, title, and interest in and to said application, and in and to all inventions and improvements disclosed and described in said application;

For the same consideration, we, by these presents do sell, assign, and transfer to **BASF Plant Science GmbH** the full, exclusive, and entire right, title and interest in and to any foreign application or applications based on or claiming the benefit of said application, in whole or in part, in countries other than the united States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed

and issued in the name of **BASF Plant Science GmbH** or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all non-provisional applications and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **BASF Plant Science GmbH** its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Date: 2/16/02



Volker Mittendorf

Docket No.: 16313-0119

ASSIGNMENT

WHEREAS, we, **Volker Mittendorf** a citizen of Germany, residing at 2 Crestbury Court, Durham, North Carolina 27713; **Heiko A. Haertel** a citizen of Germany, residing at 1508 Woodway Club Drive, Apt. 1031, Durham, North Carolina 27713; and **Petra Cirpus** a citizen of Germany, residing at Landteilstr. 12, 68163, Mannheim, Germany, have invented certain inventions and improvements disclosed in an application for Letters Patent entitled “**Sugar and Lipid Metabolism Regulators in Plants II**” that was filed in the United States Patent and Trademark Office on June 4, 2002, assigned U.S. Non-Provisional Patent Application Number 10/171,404, and on June 4, 2002, assigned U.S. PCT Application Serial No. No. PCT/US02/17937.

WHEREAS, **BASF Plant Science GmbH**, a corporation of Germany having a principal place of business at D-67056 Ludwigshafen, Germany, is desirous of acquiring an interest in the same;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, **Heiko A. Haertel**, by these presents do sell, assign and transfer unto **BASF Plant Science GmbH** the full, exclusive and entire right, title, and interest in and to said application, and in and to all inventions and improvements disclosed and described in said application;

For the same consideration, we, by these presents do sell, assign, and transfer to **BASF Plant Science GmbH** the full, exclusive, and entire right, title and interest in and to any foreign application or applications based on or claiming the benefit of said application, in whole or in part, in countries other than the united States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed

and issued in the name of **BASF Plant Science GmbH** or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all non-provisional applications and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **BASF Plant Science GmbH** its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Date: 07/16/2002



Heiko A. Haertel

Docket No.: 16313-0119

ASSIGNMENT

WHEREAS, we, **Volker Mittendorf** a citizen of Germany, residing at 2 Crestbury Court, Durham, North Carolina 27713; **Heiko A. Haertel** a citizen of Germany, residing at 1508 Woodway Club Drive, Apt. 1031, Durham, North Carolina 27713; and **Petra Cirpus** a citizen of Germany, residing at Landteilstr. 12, 68163, Mannheim, Germany, have invented certain inventions and improvements disclosed in an application for Letters Patent entitled "**Sugar and Lipid Metabolism Regulators in Plants II**" that was filed in the United States Patent and Trademark Office on June 4, 2002, assigned U.S. Non-Provisional Patent Application Number 10/171,404, and on June 4, 2002, assigned U.S. PCT Application Serial No. No. PCT/US02/17937.

WHEREAS, **BASF Plant Science GmbH**, a corporation of Germany having a principal place of business at D-67056 Ludwigshafen, Germany, is desirous of acquiring an interest in the same;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, **Petra Cirpus**, by these presents do sell, assign and transfer unto **BASF Plant Science GmbH** the full, exclusive and entire right, title, and interest in and to said application, and in and to all inventions and improvements disclosed and described in said application;

For the same consideration, we, by these presents do sell, assign, and transfer to **BASF Plant Science GmbH** the full, exclusive, and entire right, title and interest in and to any foreign application or applications based on or claiming the benefit of said application, in whole or in part, in countries other than the united States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed

and issued in the name of **BASF Plant Science GmbH** or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all non-provisional applications and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **BASF Plant Science GmbH** its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Date: 22 July, 2002

Petra Cirpus
Petra Cirpus

Docket No.: 16313-0119