

09-19-2002

U.S. DEPARTMENT OF COMMERCE



SHEET

Patent and Trademark Office
Docket No. 509032002200

9/16/02

102227534

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Ron L. HALE; Craig C. HODGES; Peter M. LLOYD; Daniel MUFSON; Daniel
D. ROGERS; Soonho SONG; Martin J. WENSLEY; Daniel J. MYERS; Jeffrey
A. MCKINNEY; Reynaldo J. QUINTANA; and Joshua D. RABINOWITZ

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: September 4, 2002, September 4, 2002, September 5, 2002,
September 4, 2002, August 28, 2002, September 6, 2002, September 5, 2002,
September 5, 2002, September 5, 2002, September 6, 2002, and September 6,
2002, respectively

2. Name and address of receiving party(ies):

Name: ALEXZA Molecular Delivery Corporation
Internal Address:
Street Address: 1001 East Meadow Circle
City: Palo Alto, State: California ZIP: 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
10/146,088 filed on May 13, 2002

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ NoOFFICE OF PUBLIC RECORDS
2002 SEP 16 AM 11:45
FINANCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard R. Eckman
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018

6. Total number of applications and patents involved: one (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing
Attorney Docket 509032002200

8. Deposit account number: 03-1952The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Richard R. Eckman
Registration No: 42,504

Signature

Date

Total number of pages comprising cover sheet, attachments and document: three (3)

09/18/2002 GT0N11 00000170 031952 10146088

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

pa-724623

PATENT
REEL: 013290 FRAME: 0786

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Ron L. HALE; Craig C. HODGES; Peter M. LLOYD; Daniel MUFSON; Daniel D. ROGERS; Soonho SONG; Martin J. WENSLEY; Daniel J. MYERS; Jeffrey A. MCKINNEY; Reynaldo J. QUINTANA; and Joshua D. RABINOWITZ (hereinafter referred to as the assignors), residing at 17085 Skyline Boulevard, Woodside, California 94062; 80 Terrace Road, Walnut Creek, California 94596; 30 Carisa Court, Walnut Creek, California 94596; 1877 Atlas Peak Road, Napa, California 94558; 6621 Gunn Drive, Oakland, California 94611; 111C Escondido Village, Stanford, California 94305; 88 King Street, #618, San Francisco, California 94107; 1599 Morgan Street, Mountain View, California 94043; 809 Juno Lane, Foster City, California 94404; 1640 Virginia Avenue, Redwood City, California 94061; and 750 N. Shoreline Boulevard, #50, Mountain View, California 94043, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in METHOD OF FORMING AN AEROSOL FOR INHALATION DELIVERY, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/146,088 and filed on May 13, 2002; and

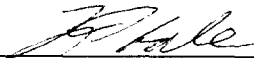


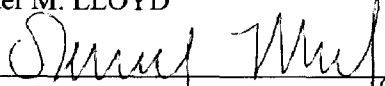

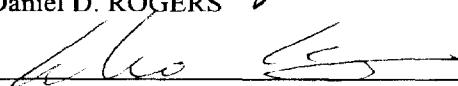

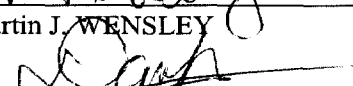
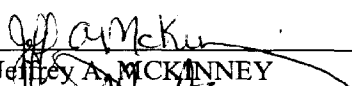
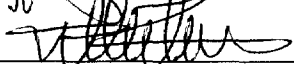

WHEREAS, ALEXZA Molecular Delivery Corporation, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 1001 East Meadow Circle, Palo Alto, California 94303 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

<u>9-4-02</u>	<u></u>
Date	Ron L. HALE
<u>9/4/02</u>	<u></u>
Date	Craig C. HODGES
<u>9/5/02</u>	<u></u>
Date	Peter M. LLOYD
<u>9/4/02</u>	<u></u>
Date	Daniel MUFSON
<u>8/28/02</u>	<u></u>
Date	Daniel D. ROGERS
<u>9/6/02</u>	<u></u>
Date	Soonho SONG
<u>9/5/02</u>	<u></u>
Date	Martin J. WENSLEY
<u>9/5/02</u>	<u></u>
Date	Daniel J. MYERS
<u>9/5/02</u>	<u></u>
Date	Jeffrey A. MCKINNEY
<u>09/06/02</u>	<u></u>
Date	Reynaldo J. QUINTANA
<u>9-6-02</u>	<u></u>
Date	Joshua D. RABINOWITZ