

9/16/02

Docket No.: MOL0628(MOL0619)

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03

09-19-2002

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Patent and Trademark Office



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102227173

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jean-Jacques Duruz
Vittorio de Nora
Thinh T. Nguyen

2. Name and address of receiving party(ies):

Name: Moltech Invent SA

Internal Address: _____

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: 68-70 boulevard de la PetrusseCity: Luxembourg State: _____ ZIP: 2320Execution Date: 8/14/02; 8/5/02; 8/8/02Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/161,036

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jayadeep R. Deshmukh

Internal Address: _____

09/18/2002 6TON11 00000155 501301 10161036

01 FC:581 40.00 CH

Street Address: 6 Meetinghouse CourtCity: Princeton State: NJ ZIP: 08540

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1301

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jayadeep R. Deshmukh
9/7/02

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

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Washington, D.C. 20231

PATENT
REEL: 013293 FRAME: 0016

Sample Form (former PTO/SB/15) (05-01)

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
MOL0628

Whereas, I/We, Thinh T. NGUYEN of Onex, Switzerland, hereafter referred to as applicant, have invented certain new and useful improvements in METAL-BASED ANODES FOR ALUMINIUM ELECTROWINNING CELLS

☐ for which an application for a United States Patent was filed on _____
Application Number 10 161,036

☐ for which an application for a United States Patent was executed on _____, and

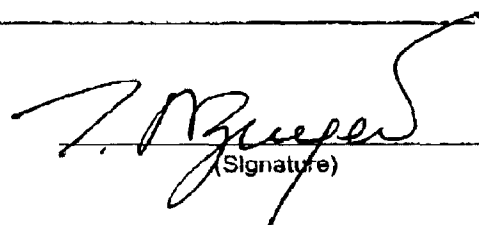
Whereas, MOLTECH Invent S.A. of Luxembourg herein referred to "assignee" whose mailing address is 68-70 Bd de la Pétrusse, 2320 LU is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 14 day of August, 20 02

at Geneva

State of _____
County of _____ SS:


(Signature)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20 _____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☐ Total of _____ forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.

Sample Form (former PTO/SB/15) (05-01)

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

MOL0628

Whereas, I/We, Jean-Jacques Duruz of Geneva, hereafter referred to as applicant, have invented certain new and useful improvements in

METAL-BASED ANODES FOR ALUMINIUM ELECTROWINNING CELLS

☒ for which an application for a United States Patent was filed on _____

Application Number 10 / 161,036.

☐ for which an application for a United States Patent was executed on _____, and

Whereas, MOLTECH Invent S.A. of Luxembourg herein referred to "assignee" whose mailing address is 68-70 Bd de la Pétrusse, 2320 LU is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 5th day of August, 2002
at Geneva

State of _____)

County of _____)

SS:

Before me personally appeared said _____

and acknowledged the foregoing instrument to be his free act and deed this _____

day of _____, 20 ____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☐ Total of _____ forms are submitted.

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Sample Form (former PTO/SB/15) (05-01)

ASSIGNMENT OF APPLICATION	Docket Number (Optional) MOL0628
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Whereas, I/We, Vittorio de Nora of Nassau, Bahamas, hereafter referred to as applicant, have invented certain new and useful improvements in METAL-BASED ANODES FOR ALUMINIUM ELECTROWINNING CELLS

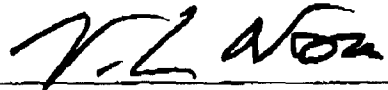
☒ for which an application for a United States Patent was filed on _____
 Application Number 10 / 161,036

☐ for which an application for a United States Patent was executed on _____, and

Whereas, MOLTECH Invent S.A. of Luxembourg herein referred to "assignee" whose mailing address is Bd de la Pétrusse 68-70, 2320 LU is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 8th day of August, 20 02
 at Geneva


 (Signature)

State of _____)
 County of _____) SS:

Before me personally appeared said _____
 and acknowledged the foregoing instrument to be his free act and deed this _____
 day of _____, 20 ____.

Seal (Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☐ Total of _____ forms are submitted.

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