

09-19-2002

Docket Ref.41886C

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings

RECORD



DEPARTMENT OF COMMERCE

102226787

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Dow Belgium N.V.

2. Name and address of receiving party(ies)

Name: The Dow Chemical Company

Internal Address: 2030 Dow Center  
Midland, MI 48674Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Street Address: 2030 Dow Center

City: Midland State: MI Zip: 48674

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: September 10, 1997

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/857,816

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Benjamin Bai, PhD

Internal Address: Jenkins & Gilchrist  
1100 Louisiana, Suite 1800  
Houston, TX 77002Street Address: Jenkins & Gilchrist  
1100 Louisiana, Suite 1800

City: Houston State: TX Zip: 77002

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40

☒ Enclosed☒ Authorized deficiency to be charged to deposit account, 10-0447, reference 43225-41886CUS (Bai)

8. Deposit account number: 10-0447

Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

J. Benjamin Bai, Ph.D/Reg. No.: 43,481

Signature: *J. Bai*

Date: 9/12/02

Total number of pages including cover sheet, attachments and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

09/18/2002 TDIAZI 00000191 08857816

01 FC:581

40.00 OP

HOUSTON 263392v1 43225-41886CUS

PATENT  
REEL: 013293 FRAME: 0913

This Assignee Acceptance 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number.

This Assignee Acceptance 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number.

FORM 440

# ASSIGNMENT

(with Priority Rights)  
and  
INVENTORSHIP DECLARATION

We, ASSIGNOR, of: **DOW BELGIUM N.V.**  
**Havenlaan 7**  
**3980 Tessenderlo**  
**BELGIUM**

a Corporation organized and existing under the laws of **BELGIUM** do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):  
**Peter L. Wauteraerts, Wasseven 78, 3945 Oostham, Belgium**

by an Assignment dated: **June 30, 1997** of an invention relating to and entitled:

## ETHYLENE COPOLYMER COMPOSITIONS

We do hereby declare, **effective as of May 16, 1997** for good and valuable consideration received, sell, assign, and transfer unto:

WE, the ASSIGNEE: **THE DOW CHEMICAL COMPANY**  
**2030 Dow Center**  
**Midland, Michigan 48674**  
**UNITED STATES OF AMERICA**

a corporation organized and existing under the laws of **the State of Delaware, United States of America** our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all International Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Priority Number **08/857816** and Filing Date **May 16, 1997** in **United States of America**

of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insert here the Corresponding Application No. \_\_\_\_\_ and Filing Date \_\_\_\_\_


in \_\_\_\_\_ of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Sworn by us at **Midland, Michigan 48674, U.S.A.**

this **10** day of **September** 19**97**

CORP.  
SEAL

**DOW BELGIUM N.V.**

By 


**STEPHEN S. GRACE**  
**General Patent Counsel, THE DOW CHEMICAL**  
**COMPANY, authorized to act for and on behalf of DOW**  
**BELGIUM N.V.**

Attach Notarial Certificate (Corporation)

[illegible]

FORM 550

UNITED STATES OF AMERICA       )  
STATE OF Michigan                ) SS  
County/Parish of Midland        )

  
\_\_\_\_\_  
Notary Public  
JULIE M. TYRRELL

**PATENT**  
**REEL: 013293 FRAME: 0915**

This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number.

This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number.

FORM 660

## ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE: **THE DOW CHEMICAL COMPANY**  
**2030 Dow Center**  
**Midland, Michigan 48674**  
**UNITED STATES OF AMERICA**

hereby accept the ASSIGNMENT attached hereto which is dated: September 10, 1997

From, the ASSIGNOR: **DOW BELGIUM N.V.**  
**Havenlaan 7**  
**3980 Tessenderlo**  
**BELGIUM**

of the invention entitled:

### ETHYLENE COPOLYMER COMPOSITIONS

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, U.S.A.

this 10 day of September 1997

CORP.  
SEAL

THE DOW CHEMICAL COMPANY

By: Stephen S. Grace

**STEPHEN S. GRACE**  
**General Patent Counsel**

## NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA )  
 STATE OF Michigan ) SS  
 County/Parish of Midland )

On this 10 day of September 1997, personally appeared before me, **STEPHEN S. GRACE, General Patent Counsel, THE DOW CHEMICAL COMPANY,**

known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said Corporation incorporated under the laws of the **State of Delaware, United States of America.**

I further certify that said authority, dated **July 14, 1994**, is based on

### A Resolution of the Board of Directors of the said Corporation

which I have seen, appointing the said individual **Attorney-in-fact** with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

SEAL

Notary Public

**JULIE M. TYRRELL**  
 Notary Public, Midland County, Michigan  
 My Commission Expires April 9, 1999