

09-20-2002

RECORDATION FORM COVER SHEET



PATENTS ONLY

102227998

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies): Xingen Lei <i>09-18-02</i>	2. Name and address of receiving party(ies): Cornell Research Foundation, Inc. Cornell Business & Technology Park 20 Thornwood Drive, Suite 105 Ithaca, New York 14850
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other: _____ Execution Date(s): 1st (sole) Inventor: April 15, 2002 2nd Inventor: 3rd Inventor: 4th Inventor:	OFFICE OF PUBLIC RECORDS 2002 SEP 18 AM 7:49 FINANCE SECTION
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): 1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor: A. Patent Application No.(s): 10/094,693 B. Patent No.(s): _____	

09/19/2002 LNUELLER 00000302 10094693

Q1 FC:581

40.00 DP

Page 1 of 2

5. Name and address of party to whom correspondence concerning document should be mailed:

**Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square
P.O. Box 31051
Rochester, New York 14603-1051**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40.00**

☐ Previously paid (see original transmittal)

☒ A check in the amount of **\$40.00** is enclosed.

8. Deposit Account Number: 14-1138

☐ Charge total fee to account.

☒ Charge any additional fees to account.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: September 10, 2002



**Edwin V. Merkel
Registration No. 40,087**

[Total number of pages including cover sheet, attachments and document: **3**]

Page 2 of 2

Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
U.S. Patent and Trademark Office P.O. BOX 2327
Arlington, VA 22202, on the date below.

Date 9/10/02 | Jo Ann Whalen
Jo Ann Whalen

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned, **Xingen Lei**

Hereby sell, assign and transfer to:

CORNELL RESEARCH FOUNDATION, INC.

a corporation of The State of New York, having a place of business at Cornell Business & Technology Park, 20 Thornwood Drive, Suite 105, Ithaca, New York 14850, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **10/094,693**, filed **March 8, 2002**, entitled

OVEREXPRESSION OF PHYTASE GENES IN YEAST SYSTEMS

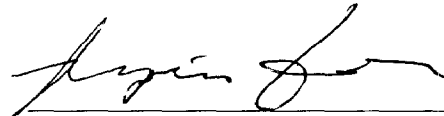
and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date

4/15/02



Xingen Lei

(L.S.)