

MND 1/28/99

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Form PTO-1595
(Rev. 10/02)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Willem P.C. Stemmer
Phillip A. Patten
Nay Wei SoongAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1/5/99, 1/5/99 and 1/5/99

2. Name and address of receiving party(ies)

Name: Maxygen, Inc.

Internal Address: _____

Street Address: 515 Galveston Drive

City: Redwood City State: CA Zip: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/183,037

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Maxygen, Inc.

Internal Address: Patent Department

Street Address: 515 Galveston Drive

City: Redwood City State: CA Zip: 94063

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0990

(CHARGE FEE)

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9. Signature.

Margaret A. Powers

Name of Person Signing

Atty. Reg. No. 39,804

Signature

November 27, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013294 FRAME: 0631

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Willem P.C. Stemmer of 108 Kathy Court, Los Gatos, California 95030, Phillip Patten of 261 La Cuesta Drive, Menlo Park, California 94025 and Nay Wei Soong of 925 S. Wolfe Road, #77, Sunnyvale, California 94086, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **MODIFICATION OF VIRUS TROPISM AND HOST RANGE BY VIRAL GENOME SHUFFLING**

Date(s) of execution of Declaration: 1/4/99, 1/5/99 and 1/5/99

Filing date: **October 30, 1998**

Application No.: **09/183,037**; and

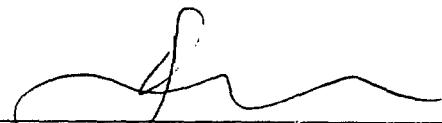
WHEREAS, Maxygen a Delaware corporation, located at 3410 Central Expressway, Santa Clara, California 95051, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

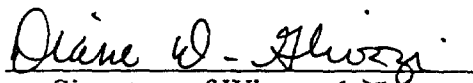
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 1-5-99

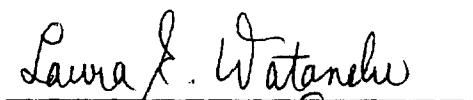

Willem P.C. Stemmer

SIGNATURE WITNESSED BY:

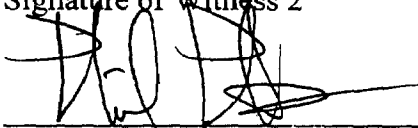
(1) Date: 1/5/99


Signature of Witness 1

(2) Date: 1/5/99

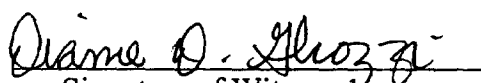

Signature of Witness 2

Date: 1-5-99


Phillip Patten

SIGNATURE WITNESSED BY:

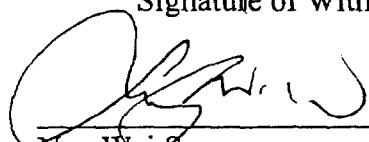
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Signature of Witness 1

(2) Date: 1/5/99

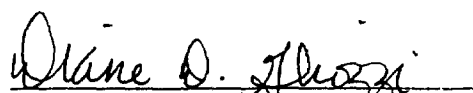

Signature of Witness 2

Date: 1/5/99

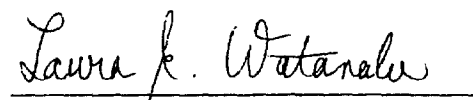

Nay Wei Soong

SIGNATURE WITNESSED BY:

(1) Date: 1/5/99


Signature of Witness 1

(2) Date: 1/5/99


Signature of Witness 2

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