

U.S. DEPARTMENT OF COMMERCE

RECORDATION FORM COVER SHEET PATENTS ONLY

Patent and Trademark Office
Docket No. 47644280000

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ALTEA DEVELOPMENT CORP.

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other:

Execution Date: November 20, 2002

2. Name and address of receiving party(ies):

Name: ALTEA THERAPEUTICS CORPORATION

Internal Address:

Street Address: 2056 Weems Road

City: Tucker, State: GA ZIP: 30084

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

(See Attached Schedule A)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Raj S. Davé
Morrison & Foerster LLP
1650 Tysons Boulevard
Suite 300, McLean, Virginia 22102

6. Total number of applications and patents involved:

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing Attorney Docket 47644280000

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

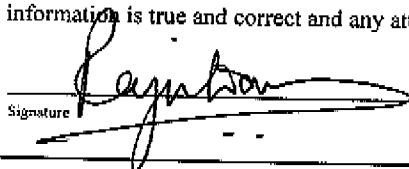
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Raj S. Davé
Registration No. 42,465

Signature



December 10, 2002

Date

Total number of pages comprising cover sheet, attachments and document: 7

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
Box Assignments
North Tower Building, Suite 10C35
Washington, D.C. 20231

SCHEDULE APatents**Australia**

AT 16.25 3510701
 AT 32.25 5110699
 AT 1.45 6863196
 AT 17.25 2988899
 AT 9.15 2988999
 AT 17.15 2989399
 AT 4.25 4996499
 AT 30.35 5004299
 AT 49.15 4054000
 AT 35.15 5742600

Brazil

AT 49.15 PI0009468-4
 AT 30.35 PI9901233-9
 AT 1.45 PI9810012-5
 AT 16.25 PI0102366-7
 AT 31.35 PI0011506-1

Canada

AT 1.45 2,199,002
 AT 17.35 2,323,160
 AT 9.15 2,328,169
 AT 4.25 2,355,044
 AT 30.35 2,338,203
 AT 32.25 2,336,292
 AT 16.25 2,343,762
 AT 49.15 2,366,746
 AT 31.35 2,376,952
 AT 35.15 2,377,331

China

AT 1.45 96196671.8
 AT 1.45 96929098.0

European Patent Organisation

8/29/1996	707,065	10/14/1999	Attribute compensation for analyte monitoring detection and/or continuous monitoring
3/5/1999			Fluid management in a continuous fluid collection and sensor device
3/5/1999			Microporation of human skin for drug delivery and monitoring applications
3/5/1999			Integrated tissue poration, fluid harvesting and analysis device, and method therefor
3/5/1999			Apparatus for electroporation through microporated tissue
7/14/1999			Integrated tissue poration, fluid harvesting and analysis device, and method therefor
7/20/1999			Controlled removal of biological membrane by pyrotechnic charge for transmembrane transport
3/31/2000			System and method for continuous analyte monitoring
6/15/2000			Dual function assay device
			Apparatus and method for efficient light coupling and beam steering for microporation applications
			Dual function assay device
			System and method for continuous analyte monitoring
8/29/1996			Microporation of human skin for drug delivery and monitoring applications
9/10/1999			System and method for attribute compensation for analyte monitoring
12/11/2001			Alignment devices and methods for fluid extraction from tissue and substance delivery
	2,199,002	2/23/1999	Microporation of human skin for drug delivery and monitoring applications
6/29/1996			Photothermal structure for biomedical applications, and method therefor
3/5/1999			Apparatus for electroporation through microporated tissue
3/5/1999			Controlled removal of biological membrane by pyrotechnic charge for transmembrane transport
7/14/1999			System and method for continuous analyte monitoring
7/20/1999			Fluid management in a continuous fluid collection and sensor device
7/20/1999			Attribute compensation for analyte detection and/or continuous monitoring
9/10/1999			Dual function assay device
3/31/2000			Alignment devices and methods for fluid extraction from tissue and substance delivery
6/12/2000			Light beam generation and focusing device
6/15/2000			
			Microporation of human skin for drug delivery and monitoring applications
8/29/1996			Microporation of human skin for drug delivery and monitoring applications

PATENT

REEL: 13295 FRAME: 0520

EXHIBIT G-3

AT 6.15	99911120.6	3/6/1996	Method and apparatus for enhancing flux rates of a fluid in microperforated biological tissue
AT 9.15	99911185.9	3/6/1996	Apparatus for electroporation through microperforated tissue
AT 17.35	99909882.5	3/5/1999	Photothermal structure for biomedical applications and method therefor
AT 17.25	99911184.2	3/5/1999	Integrated tissue poration, fluid harvesting and analysis device, and method therefor
AT 17.15	99911191.7	3/5/1999	Integrated tissue poration, fluid harvesting and analysis device, and method therefor
AT 4.25	99934045.8	7/14/1999	Controlled removal of biological membrane by pyrotechnic charge for transmembrane transport
AT 30.15	99934149.8	7/20/1999	System and method for continuous analyte monitoring
AT 16.25	99945635.3	9/10/1999	System and method for attribute compensation for analyte monitoring
AT 49.15	00918932.4	3/3/12000	Dual function assay device
AT 24.15	00939042.5	6/7/2000	Self-removing energy absorbing structure for thermal tissue ablation
AT 31.35	00939791.0	6/12/2000	Alignment devices and methods for fluid extraction from tissue and substance delivery
AT 35.15	00942857.4	6/15/2000	Apparatus and method for efficient light coupling and beam steering for microperforation applications
Great Britain			
AT 1.45	970002766	8/29/1996	Microperforation of human skin for drug delivery and monitoring applications
Hong Kong			
AT 1.45	98110113.4	8/29/1996	Microperforation of human skin for drug delivery and monitoring applications
Israel			
AT 1.45	123.379	8/29/1996	Microperforation of human skin for drug delivery
Japan			
AT 30.35	2000-560827		System and method for continuous analyte monitoring
AT 31.35	2001-502905		Alignment devices and methods for fluid extraction from tissue and substance delivery
AT 1.45	09-510552	8/29/1996	Microperforation of human skin for drug delivery and monitoring applications
AT 17.35	2000-534239	3/5/1999	Photothermal structure for biomedical applications, and methods therefore
Mexico			
AT 30.35	PA/a/2001/00069		System and method for continuous analyte monitoring
AT 49.15	PA/a/2001/00083		Dual function assay device
AT 31.35	PA/a/2001/01261	12/11/2001	Alignment devices and methods for fluid extraction from tissue and substance delivery
Norway			
AT 1.45	96.0878	8/29/1996	Microperforation of human skin for drug delivery and monitoring applications
Patent Cooperation Treaty			
AT 1.4	PCT/US96/13866	8/29/1996	Microperforation of human skin for drug delivery and monitoring applications
AT 3.3	PCT/US97/11570	7/31/1997	Multiple mechanical microperforation of skin or mucosa
AT 8.1	PCT/US98/04798	3/5/1999	Method and apparatus for enhancing flux rates of a fluid in microperforated biological tissue
AT 17.3	PCT/US98/04929	3/5/1999	Photothermal structure for biomedical applications, and method therefor
AT 9.1	PCT/US99/04954	3/5/1999	Apparatus for electroporation through microperforated tissue
AT 4.2	PCT/US99/15967	7/14/1999	Controlled removal of biological membrane by pyrotechnic charge for transmembrane transport

PATENT

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EXHIBIT G-3

AT 32.2	PCTUS89/16226	7/20/1999	Fluid management in a continuous fluid collection and sensor device
AT 30.3	PCTUS99/16376	7/20/1999	System and method for continuous analyte monitoring
AT 16.2	PCTUS99/20796	9/10/1999	System and method for attribute compensation for analyte monitoring
AT 49.1	PCTUS00/08530	3/31/2000	Dual function assay device
AT 24.1	PCTUS00/15865	6/7/2000	Self-removing energy absorbing structure for thermal tissue ablation
AT 31.3	PCTUS00/16064	6/12/2000	System and method for alignment of micropores for efficient fluid extraction and substance delivery
AT 35.1	PCTUS00/16576	6/15/2000	Light beam generation and focusing device
AT 40.3	PCTUS00/31765	1/17/2000	Tissue interface device
Russian Federation			
AT 1.45	98105661	8/29/1996	Microporation of human skin for drug delivery and monitoring applications
Singapore			
AT 1.45	9802059-7	8/29/1996	51,619 12/21/1999
Turkey			
AT 1.45	1998/347	8/29/1996	Microporation of human skin for drug delivery and monitoring applications
United States			
1.6	09/776,863	9/5/1997	5,885,211 3/23/1999
AT 6	09/036,053	3/6/1998	6,173,202 1/9/2001
AT 9	09/036,169	3/6/1998	6,022,316 2/8/2000
AT 17	60/077,135	3/6/1998	Integrated tissue poration, fluid harvesting and analysis device, and method therefor
AT 30	60/093,534	7/20/1998	System and method for continuous analyte monitoring
AT 1.35	09/208,168	12/9/1998	6,142,939 11/7/2000
AT 17.25	09/263,464	3/5/1999	Microporation of human skin for drug delivery and monitoring applications
AT 49	60/127,442	4/11/1999	Integrated tissue poration, fluid harvesting and analysis device, and method therefor
AT 35	60/140,003	5/18/1999	Dual function assay device
AT 24	60/138,193	6/9/1999	Apparatus and method for efficient light coupling and beam steering for microporation applications
AT 31	60/138,786	6/11/1999	Self-removing energy-absorbing structure for laser-thermal ablation and method for positioning an energy-absorbing structure
AT 3.35	09/202,207	6/14/1999	System and method for alignment of micropores for efficient fluid extraction and substance delivery
AT 31.1	60/140,257	6/18/1999	Multiple mechanical microporation of skin or mucosa
AT 32.3	09/357,452	7/20/1999	System and method for alignment of micropores for efficient fluid extraction and substance delivery
AT 30.35	08/357,471	7/20/1999	System and method for fluid management in a continuous fluid collection and sensor device
AT 40	60/166,481	11/19/1999	System and method for continuous analyte monitoring
AT 40.1	60/176,148	1/26/2000	Tissue interface device
AT 31.2	60/207,677	5/26/2000	Tissue interface device
AT 3.4	08/614,164	7/11/2000	System and method for alignment of micropores for efficient fluid extraction and substance delivery
AT 17.35	08/622,427	10/20/2000	Multiple mechanical microporation of skin or mucosa
AT 40.2	60/244,568	10/31/2000	Photothermal structure for biomedical applications, and method therefor
			Tissue interface device

EXHIBIT G-3

Method and apparatus for enhancing flux rates of a fluid in microperated biological tissue
 Integrated tissue poration and fluid harvesting
 Multiple mechanical microperation of skin or mucosa
 Controlled removal of biological membrane by pyrotechnic charge for transmembrane transport
 Apparatus and method for efficient light coupling and beam steering for microperation applications
 Self-removing energy absorbing structure for thermal tissue ablation
 Alignment devices and methods for fluid extraction, from tissue and substance delivery
 Dual function assay device

Integrated tissue poration, fluid harvesting and analysis device, and method therefor
 Integrated tissue poration, fluid harvesting and analysis device, and method therefor

Microperation of human skin for drug delivery and monitoring applications
 Microperation of tissue for the delivery of nucleic acids
 Microperation of human skin for drug delivery and monitoring applications

AT 6.2 09/716,442 11/22/2000
 AT 17.255 10/084,763 2/21/2001
 AT 3.1 09/852,935 5/10/2001
 AT 4.3 10/040,066 10/29/2001
 AT 35.15 10/018,913 12/18/2001
 AT 24.15 10/018,015 4/1/2002
 AT 31.35 10/018,001 5/1/2002
 AT 49.15 09/937,865 5/21/2002

Abandoned

Patent Cooperation Treaty

AT 17.2 PCT/US99/04983 3/5/1999
 AT 17.1 PCT/US99/04990 3/5/1999

United States

AT 1.3 08/520,547 8/29/1995
 AT 2 08/778,415 12/31/1996
 AT 1.355 08/570,334 5/12/2000

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NO. 0986 P. 2

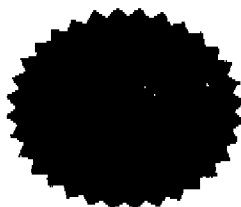
Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ALTEA DEVELOPMENT CORP.", CHANGING ITS NAME FROM "ALTEA DEVELOPMENT CORP." TO "ALTEA THERAPEUTICS CORPORATION", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF NOVEMBER, A.D. 2002, AT 1:55 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

3582873 B100

020715603

AUTHENTICATION: 2101183

DATE: 11-20-02

PATENT

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NO. 0988 P. 3

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 01:55 PM 11/20/2002
020715603 - 3582873

**CERTIFICATE OF AMENDMENT
OF THE
AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION
OF
ALTEA DEVELOPMENT CORP.**

Under Section 242 of the Delaware Corporation Law

Pursuant to Section 242 of the Delaware Corporation Law of the State of Delaware, the undersigned, being the Secretary of Altea Development Corp., a Delaware corporation (the "Corporation") does hereby certify the following:

FIRST: The name of the Corporation is: Altea Development Corp.

SECOND: The Amended and Restated Certificate of Incorporation of the Corporation was filed with the Secretary of State of Delaware on November 8, 2002.

THIRD: The Amended and Restated Certificate of Incorporation of the Corporation is hereby amended to effect a change in Article One thereof, relating to the name of the Corporation, accordingly Article One of the Certificate of Incorporation shall be amended to read in its entirety as follows:

"ARTICLE ONE

The name of the corporation is Altea Therapeutics Corporation (hereinafter called the "Corporation")."

FOURTH: The amendment to the Amended and Restated Certificate of Incorporation of the Corporation effected hereby was approved by the Board of Directors of the Corporation and by written consent of the majority stockholders of the Corporation.

IN WITNESS WHEREOF, the undersigned affirms as true the foregoing under penalties of perjury, and has executed this Certificate this 20th day of November 2002.

ALTEA DEVELOPMENT CORP.

By: 
Name: Donald Trout
Title: Secretary