

09-20-2002



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UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SCHWARTZ

Serial No.: 10/163,068

Filed: June 4, 2002

Atty. File No.: 4183-12

For: Enhanced Scanning Probe Microscope and Nanolithographic Methods Using the Same

) Group Art Unit: 2872

) Examiner:

RECORDATION OF ASSIGNMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER OF PATENTS, WASHINGTON, D.C., 20231 ON SEPTEMBER 12, 2002.

SHERIDAN ROSS P.C.

BY: *[Signature]*

U.S. Patent and Trademark Office
Assignment Division
Box Assignments, CG-4
1213 Jefferson Davis Highway, Suite 320
Washington, D.C. 20231

9-17-02

RECEIVED
SEP 17 PM 1:27
FINANCE SECTION

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party:

Peter V. Schwartz

2. Name and address of receiving party:

Northwestern University
1880 Oak St., Suite 100
Evanston, Illinois 60201

3. Nature of Conveyance:

Assignment Merger
 Security Agreement Change of Name

Execution Date: August 27, 2002

4. Application number(s) or patent number(s):

A. Patent Application No.: 10/163,068, executed on 8/27/02

B. Patent No.(s): None

09/20/2002 AM11:01 00000024 10163068
FC:501 40.00 OP

PATENT
REEL: 013296 FRAME: 0434

5. Name and address of party to whom correspondence concerning documents should be mailed:

Gary J. Connell
Sheridan Ross P.C.
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141

6. Total number of applications and patents involved: 1.

7. Total fee (37 CFR 3.41).....\$40.00.

Enclosed

Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.

Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.

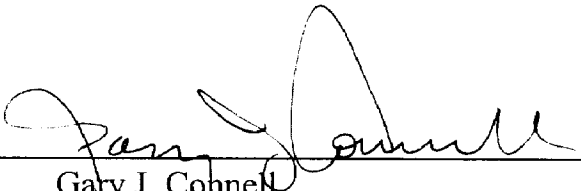
9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 4

Respectfully submitted,

SHERIDAN ROSS P.C.

By:  _____

Gary J. Connell
Registration No. 32,020
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141
(303) 863-9700

Date: Sept. 12, 2002

ASSIGNMENT

WHEREAS, I, Peter V. Schwartz, of 2064 Rachel Street, San Luis Obispo, California 93401, have invented a certain new and useful invention entitled "Enhanced Scanning Probe Microscope and Nanolithographic Methods Using the Same," for which an application for Letters Patent of the United States has been prepared and filed on June 4, 2002, receiving Serial No. 10/163,068, and further identified as Attorney File No. 4183-12;

NOW THEREFORE, be it known that I, the said inventor, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Northwestern University, an Illinois corporation having a place of business at 1880 Oak St., Suite 100, Evanston, Illinois 60201, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; I also assign any right, title or interest in and to the said invention which has not already been transferred to the assignee, I warrant that I have made no assignment of the invention, application or patent therefor to a party other than Northwestern University and I am under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and I further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

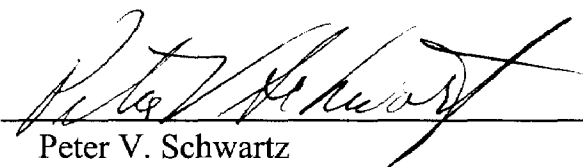
The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Northwestern University, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the I have executed this Assignment as of the date indicated hereunder.

Date:

8-27-02

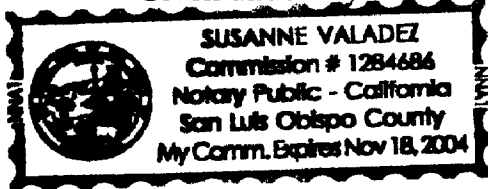
By:


Peter V. Schwartz

STATE OF)
) ss.
COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared Peter V. Schwartz, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 27th day of August, 2002.



S. Valadez
Notary Public

My Commission Expires: November 18, 2004