Form PTO-1596

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09-23-2002



**PATENTS ONLY** 

Patents and Trademarks Office File No: 20-56 US

To the Honorable Commis.

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ord the amended original documents or copy thereof

1. Name of conveying Party(ies):

Li WU; Yinglong LIN

Additional name(s) of conveying party(ies) attached?  $\square Yes \ X \ No$ 

3. Nature of Conveyance:

X Assignment

Security Agreement

Merger

☐ Change of Name

Name: **JDS Uniphase Corporation** 1768 Automation Parkway Street Address:

Additional name(s) & addresses attached? ☐ Yes X No

2. Name and address of receiving party(ies)

City: San Jose

California Province: U.S.A. Country:

Postal Code: 95131

Execution Date: September 11, 2002

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: September 11, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Jeff Klembczyk

JDS Uniphase Corporation

**Intellectual Property Department** 

Street Address: 3000 Merivale Road

City:

Ottawa

Province:

Ontario **K2G 6N7** 

Postal Code:

Country:

Canada

6. Total number of applications and patents involved  ${f I}$ 

7. Total fee (37 CFR 3.41) .....\$40.00

Enclosed

X Authorized to be charged to deposit account

8. Deposit account number: 50-1465

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing Information is true and correct and any attached copy is a true copy of the

original document.

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01 FC:581 Jeff Klem 10-20kUH

Name of Person Signing

September 16, 2002

Date

Total number of pages including cover sheet, attachments and document 3

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington D.C. 20231

File No: 20-56 US

## ASSIGNMENT OF INVENTION UNIVERSAL

WHEREAS, I/we, Li WU, Yinglong LIN whose full post office addresses are c/o Fuzhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014, China; respectively, have made an invention as described in a patent application entitled

## WAVELENGTH-SELECTIVE LASER BEAM SPLITTER

Hutang Road, Fuxing Investment District, 350014, China, as per the laws of China where in any invention made in

I/WE acknowledge that all of my/our rights belong to Casix Inc. of Fuzhou Fujian, P.O. 1103, 22

filed herewith.

Cuilian Zhan\_\_

Printed Name of Witness

China, whilst in the employ of Casi	x Inc., automatically becomes the property of Casix In
SIGNED at <u>Fu Zhou</u>	this
WITNESS <u>Circlian Zhan</u> Signature of Witness	- Wu li
Cuilian Zhan Printed Name of Witness	
SIGNED at Fu Zhou	this day of, 2002.
WITNESS  (m'l'an Zhan  Signature of Witness	Vinglong LINO

WHEREAS, Casix Inc., whose full post office address is Furhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014. China owns the entire rights to said invention or inventions and in and to any and all

patents to be obtained therefor;

WHEREAS, JDS Uniphase Corporation, whose full post office address is 1768 Automation

Parkway, San Jose, California, USA, 95131, is desirous of acquiring the entire right, title and interest in and to said

invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of valuable consideration, the receipt of which is hereby

acknowledged, I/we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors

and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid

application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications

filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of

the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions

thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation.

substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any

country; and I/we hereby authorize and request the issuing authority to issue any and all patents on said application or

applications to said assignee.

I/We further agree without any payment by said assignee other than expenses incurred by the

undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or

inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any

interference or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required

to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal

representatives, administrators and assigns.

Executed this 11 day of September, 2002.

At Fuzhon

(Signature of Signing Authority)

(Print Name) John Ling
(Title) Vice President

2

Declaration by Witness

1. Children Land, whose full post office address is C/O fuzhou fujion, P.O. 1103, 2) Hutang Road, Fuxing Investment Districtions:

That I was personally present and did see the above-identified representative of Casix Inc., who is personally known to me duly sign and execute the above Assignment.

Children Zhan

3

**RECORDED: 09/17/2002**