

SEP 19 2002

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

William Graylin, William R. Campbell, and Fred Moses

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: BEA Systems, Inc.

Internal Address:

Street Address: 2315 North First Street

City: San Jose State: CA ZIP: 95131

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: January 24, 2002

4. Application number(s) or patent number(s): 10/078,914

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sam Pasternack, Esq.

Internal Address: Choate, Hall & Stewart

Street Address: Exchange Place, 53 State Street

City: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$ 40.00

Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1721

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sam Pasternack, Esq.

Name of Person Signing

Reg. No. 29,576

Signature

September 13, 2002

Date

Total number of pages including cover sheet, attachments, and document: 15

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

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PATENT
REEL: 013307 FRAME: 0463

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned, William Graylin, William R. Campbell, and Fred Moses, hereby

Sell, assign and transfer to BEA Systems, Inc., a California corporation, having a place of business at 2315 N. First Street, San Jose, CA 95131, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed February 20, 2002 and entitled "System for and Method of Storing and Elaborating User Preferences," application serial no. 10/078,914 (attorney docket no. 2003428-0004) and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

Dated: _____



William Graylin

STATE OF _____

COUNTY OF _____

DATE 2/12/02

Then personally appeared the above-named William Graylin and acknowledged the foregoing to be his free act and deed, before me.

NOTARY PUBLIC
My Commission Expires:

Dated: _____

William R. Campbell

STATE OF _____

COUNTY OF _____

DATE _____

Then personally appeared the above-named William R. Campbell and acknowledged the foregoing to be his free act and deed, before me.

NOTARY PUBLIC
My Commission Expires:

Dated: _____

William Graylin

STATE OF _____

COUNTY OF _____

DATE _____

Then personally appeared the above-named William Graylin and acknowledged the foregoing to be his free act and deed, before me.

NOTARY PUBLIC
My Commission Expires:

Dated: September 12, 2002



William R. Campbell

STATE OF Massachusetts

COUNTY OF Middlesex

DATE Sept 12, 2002

Then personally appeared the above-named William R. Campbell and acknowledged the foregoing to be his free act and deed, before me.

NOTARY PUBLIC
My Commission Expires:

Dated: Sept 13, 2002

Fredrick C. Moses (Fred Moses)
Fred Moses

STATE OF _____

COUNTY OF _____

DATE _____

Then personally appeared the above-named Fred Moses and acknowledged the foregoing to be his free act and deed, before me.

NOTARY PUBLIC
My Commission Expires:

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