

09-25-2002

Substitute Form PTO-1595  
Attorney Docket No.: 10964-051001  
Client's Ref. No.: PP 714



102232203 SHEET  
PATENTS ONLY

Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies): Ryan Dunham Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Plug Power Inc. 968 Albany-Shaker Road Latham, NY 12110  9-23-02
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 9/11/02	Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): 09/999,682 B. Patent No(s):  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: SEAN P. DALEY Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804	6. Total number of applications/patents involved:  7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account.  8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
<b>DO NOT USE THIS SPACE</b>	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  Sean P. Daley Reg. No. 40,978 Name of Person Signing  Signature  Date: September 18, 2002	
Total number of pages including coversheet, attachments and document: 3	

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR § 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

September 18, 2002  
Date of Deposit

Signature  
*Melissa K. Addis*

Melissa K. Addis  
Typed Name of Person Signing Certificate

**PATENT**  
**REEL: 013312 FRAME: 0001**

ASSIGNMENT

For valuable consideration, I, RYAN DUNHAM of 2753 State Highway 5 S, Fultonville, New York 12072, hereby assign to:

Plug Power Inc., a Delaware Corporation having a place of business at:

968 Albany-Shaker Road  
Latham, NY 12110; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled ENTHALPY WHEEL, filed October 31, 2001, and assigned U.S. Serial Number 09/999,682, and this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Latham, N.Y.,

this 11 day of SEPTEMBER, 2002

Ryan Dunham

RYAN DUNHAM

L.S.

State of New York :

: ss.

County of Albany :

Before me this 11<sup>th</sup> day of September, 2002, personally appeared RYAN DUNHAM known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Kathleen R. Petrucco

Notary Public

My Commission Expires:

[Notary's Seal Here]

**KATHLEEN R. PETRUCCO**  
Notary Public, State Of New York  
No. 4912848  
Qualified In Saratoga County  
Commission Expires November 16, 2005

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