

09-26-2002

U.S. DEPARTMENT OF COMMERCE  
Patent & Trademark Office



ET

102233443

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert W. McConnell

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of receiving party(ies):

Name: **General Electric Company**

Address: **1 River Road**

City: **Schenectady**

State: **NY**

Zip: **12345**

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution date(s) of each conveying party:  
July 22, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4(a). Patent Application No.(s): 10/064,911

Patent No.(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: **Malvern U. Griffin III, Esq.**  
Address: **SUTHERLAND ASBILL & BRENNAN LLP**  
**999 Peachtree Street, NE**  
**Atlanta, Georgia 30309-3996**

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41) enclosed: \$ 40.00

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an addressed to: Box Assignments, Commissioner of Patents & Trademarks, Washington, DC 20231, on the date given below.

Attorney Docket No. 19441-0005

Total number of pages including cover sheet: 3

MALVERN U. GRIFFIN III  
Attorney Reg. No. 38,899

*Malvern U. Griffin III*  
Signature

Date: 9/14/02

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks  
Box Assignments  
Washington, DC 20231

09/25/2002 DBYRNE 00000206 10064911

01 FC:581

40.00 DP

## ASSIGNMENT

WHEREAS, I, **Robert W. McConnell**, a citizen of the United States of America, residing at 3444 Gulfcrest Drive, Rancho Palos Verdes, California 90275, have invented certain inventions and improvements disclosed in an application for Letters Patent entitled **"SYSTEMS & METHODS FOR MANAGING A BATTERY SOURCE ASSOCIATED WITH A MICROTURBINE POWER GENERATING SYSTEM,"** about to be filed in the United States Patent and Trademark Office; and

WHEREAS, **General Electric Company**, a corporation of the State of New York, having a principal place of business at 1 River Road, Schenectady, New York 12345, U.S.A., is desirous of acquiring an interest in the same;

NOW, THEREFORE, for and in consideration of Five Dollars (\$5.00) and other good and valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, **Robert W. McConnell**, by these presents do sell, assign and transfer unto **General Electric Company**, the full, exclusive and entire right, title, and interest in and to said application, in and to any divisions, continuations, and reissues thereof, and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefor; and I hereby request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation, or reissue thereof, to **General Electric Company**, as the assignee, for its interest and for the sole use and benefit of **General Electric Company** and its assigns and legal representatives;

For the same consideration, I, by these presents do sell, assign, and transfer to **General Electric Company**, the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of **General Electric Company**, or its designee insofar as permitted by applicable law.

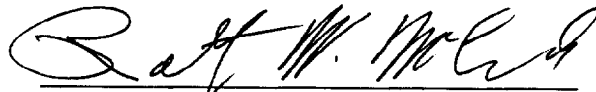
**ASSIGNMENT**

**Title: "SYSTEMS & METHODS FOR  
MANAGING A BATTERY SOURCE ASSOCIATED WITH  
A MICROTURBINE POWER GENERATING SYSTEM"**

**Attorney Docket No.: 19441-0005**

**Page 2 of 2**

AND, for the same consideration, I agree to sign all lawful papers, execute all division, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **General Electric Company**, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.



**Robert W. McConnell**

Date: 7-22-02