

09-26-2002



FILE NO. A35279-PCT-USA 072874.0165

RECORD

102233820

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Denis Francois Hochstrasser, Jean-Charles Sanchez

Additional name(s) of conveying party(ies) attached?

☒ Yes☐ No

9-23-02

2. Name and address of receiving party(ies)

Name: Universite de Geneve

Address: 24, rue du General Dufour

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

City: Geneva 12

State:

Additional name(s) and address(es) attached? Country: Switzerland

☐ Yes ☒ No

Execution Date: Aug. 24, 2002, Aug. 26, 2002, Aug. 20, 2002

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

10/165,127

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

BAKER BOTTS L.L.P.

30 Rockefeller Plaza - 44 Fl.

New York, NY 10112

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number :

02-4377 (Charge any additional fees to this account)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.

Rochelle K. Seide

Name of Person Signing

Signature

September 17, 2002

Date

Page 1 of 2

Total number of pages including cover sheet, attachments and document:

09/25/2002 DBYRNE 00000039 10165127

01 FC:581

40.00 OP

PATENT
REEL: 013317 FRAME: 0792

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

10. Additional Names of assigning party(ies):

Catherine Gabrielle Zimmermann

10a. Additional Names of receiving party(ies):

11. Application number(s) or registration number(s):

A. Patent Application No.(s)

B. Patent Registration No.(s)

Page 2 of 2

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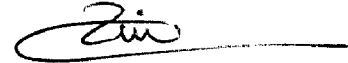
Mail documents to be recorded with required cover sheet information to:

**Commissioner of Patents and Trademarks
Box Assignments
Washington, DC 20231**

ASSIGNMENT

WHEREAS, We, Denis Francois Hochstrasser, Jean-Charles Sanchez, and Catherine Gabrielle Zimmerman, citizens of Switzerland, whose post office addresses are Chemin de la Savonniere, Collonge-Bellerive, Geneva, CH-1245, Switzerland; Chemin Frank-Thomas 42, Geneva, CH-1208, Switzerland; and ~~Rue Manoir 48, Geneva, CH-1207, Switzerland,~~ respectively, have made an invention entitled "DIAGNOSTIC ASSAY FOR STROKE" as set forth and described in application for Letters Patent, Serial No. 10/165,127, filed June 7, 2002; and

(*) Rue du Faubourg, Geneva, CH-1286



WHEREAS, Université de Genève, an educational organization having its principal place of business at 24, rue du Général Dufour, 1211 Geneva 12, Switzerland, is desirous of acquiring the whole right, title and interest in and to said application for Letters Patent, and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that We, the said Denis Francois Hochstrasser, Jean-Charles Sanchez, and Catherine Gabrielle Zimmerman, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said Université de Genève, and other valuable considerations unto us moving from said Université de Genève, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said Université de Genève, its successors and assigns, the entire right, title and interest in and to the said invention entitled "DIAGNOSTIC ASSAY FOR STROKE", as set forth and described in application for Letters Patent, Serial No. 10/165,127, filed June 7, 2002, and any and all improvements thereon, and in and to said application for Letters Patent therefor, and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted

AND WE, the said Denis Francois Hochstrasser, Jean-Charles Sanchez, and Catherine Gabrielle Zimmerman, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said Université de Genève, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said Université de Genève, its successors and assigns;

AND We, the said Denis Francois Hochstrasser, Jean-Charles Sanchez, and Catherine Gabrielle Zimmerman, for the considerations aforesaid, do hereby covenant and agree to and with said Université de Genève, its successors and assigns, that I have the full power to make

this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that I, my executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said Université de Genève, its successors and assigns, may be required or necessary more effectively to secure to and vest in said Université de Genève, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that I will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

Subscribed and executed at Geneva
on 26th of August 2002
(Date) (Place)

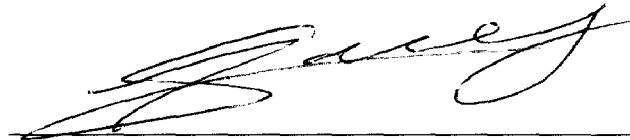


Denis Francois Hochstrasser

Witness: Daniel ROIRON
(Type Name)

Witness: D. Roiron
(Type Name)

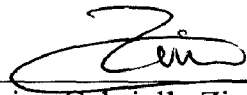
Subscribed and executed at Geneva
(Place)
on 20-108/02.
(Date)


Jean-Charles Sanchez

Witness: Nadia Walter
(Type Name)

Witness: Larre Allard
(Type Name)

Subscribed and executed at Geneva
(Place)
on 20th August 2002.
(Date)


Catherine Gabrielle Zimmermann

Witness: _____
(Type Name)

Witness: _____
(Type Name)

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 40

Complete if Known

Application Number	10/165,127
Filing Date	June 7, 2002
First Named Inventor	Hochstrasser
Examiner Name	To Be Assigned
Group Art Unit	1645
Attorney Docket No.	A35279-PCT-USA 072874.0165

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**
Deposit Account Name **Baker Botts LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	0	0	0
3**	0	0	0
Multiple Dependent			

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
18	9	Claims in excess of 20	
84	42	Independent claims in excess of 3	
280	140	Multiple dependent claim, if not paid	
84	42	** Reissue independent claims over original patent	
18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0

***or number previously paid, if greater; For Reissues, see above*

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

SUBMITTED BY

Name (Print/Type) **Rochelle K. Seide**

Signature *Rochelle K. Seide*

Registration No. (Attorney/Agent) **32,300**

Complete (if applicable)

Telephone **212-408-2626**

Date **Sept. 17, 2002**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.