

12-20-2002

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PAT.

102322926

DEPARTMENT OF COMMERCE
3. Patent and Trademark OfficeTo The Honorable Commissioner of Patents and Trademarks:
Please record the attached original document(s) or copy(ies) thereof.

Docket No.: P07577US00/RFH

1. Name of conveying party(ies)

BLAZKIEWICZ, Paul
XU, WeiWONG Danny
RYAN, Thomas

2. Name and address of receiving party(ies):

Name: The University of Sydney
Street Address: Parramatta Road
City + Address: Sydney, NSW 2006, AUSTRALIA

3. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☐ Other:Execution Date: **July 10, 2002; July 11, 2002; July 20, 2002;**

4. Application number(s) or patent number(s)

This document is filed together with a new application whose execution date is:

A. Patent Application No.(s)

10/111,326 ✓

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ross F. Hunt, Jr. Tel.: 703-739-4900
LARSON & TAYLOR, PLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314-1437

6. Total number of applications and patents involved:

#1

7. Total fee (37 CFR 3.41)

☒ Enclosed (fee code 581)

\$40.00

(8. Any insufficiency in fee is authorized to be charged to Deposit Account No. 12-0555.)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ross F. Hunt, Jr. 24,082
Name of person signing
SignatureSeptember 5, 2002
Date

Total number of pages including cover sheet, attachments and documents: 9

09/10/2002 GFREY1 00000057 10111326

02 FC:581

40.00 OP

PATENT
REEL: 013320 FRAME: 0738

ASSIGNMENT OF PATENT APPLICATION

(US rights only - all US rights assigned by inventors to a single assignee)

WHEREAS,

- (1) Paul BLAZKIEWICZ P. Blazkiewicz
(2) Danny WONG _____
(3) Wei XU _____
(4) Thomas RYAN _____

residing respectively at

(1) 8 Araluen Ave., Moorebank, New South Wales 2153,

AUSTRALIA _____

(2) 15 Sanders Rd., Baulkham Hills, New South Wales 2227,

AUSTRALIA _____

(3) Unit 6, 15-17 Pringle Ave., Bankstown, New South Wales 2200,

AUSTRALIA _____

(4) 66/65 Myrtle Ave., Chippendale, New South Wales 2008, AUSTRALIA

(hereinafter referred to as the "ASSIGNOR") has/have invented certain new and useful improvements in POLED WAVEGUIDE STRUCTURE WITH STABILISED INTERNAL ELECTRIC FIELD

for which an application for a United States Patent

☐ was executed on even date herewith

☐ was executed on _____, 20____

☒ was filed on April 23, 2002 as Serial No.: 10/111,326

And WHEREAS THE UNIVERSITY OF
SYDNEY _____

whose Post Office Address is Parramatta Rd., Sydney, NSW 2006,
AUSTRALIA _____

(hereinafter referred to as the "ASSIGNEE") is desirous of acquiring the entire right, title and interest in and to said application and the invention(s) and improvement(s) therein disclosed, for the United States of America, and any Letters Patent which may issue therefor in the United States and all divisions, continuations, reissues, renewals and/or extensions thereof.

NOW THEREFORE in consideration of the sum of ten U.S. dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the ASSIGNOR does hereby assign, sell, transfer and set over unto the ASSIGNEE the entire right, title and interest in and to said application and the invention (s) and improvement(s) therein disclosed, for the United States of America, and any Letters Patent which may issue therefor in the United States and all divisions, continuations, reissues, renewals and/or extensions thereof, said ASSIGNEE to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, continuations, reissues, renewals and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by the ASSIGNOR had this assignment not been made.

And for the consideration aforesaid, ASSIGNOR agrees that ASSIGNOR will communicate to said ASSIGNEE or the representatives thereof any facts known to ASSIGNOR respecting the invention(s) and improvement(s) of the said United States application, and will, upon request, but without expense to ASSIGNOR, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said ASSIGNEE or by counsel for said ASSIGNEE, to assist or enable said ASSIGNEE to obtain and enforce full benefits from the rights and interests herein assigned.

This assignment shall be binding upon the ASSIGNOR's heirs, executors, administrators, successors and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of the ASSIGNEE.

The Commissioner of Patents is requested to issue such Letters Patent in accordance with this assignment.

I hereby authorize and request any attorney of record in said Application or any attorney of Larson & Taylor, PLC, Customer No. 00881, to insert above any information concerning the identity of the parties or of said Application (including the serial no. and filing date).

(1) Signature: P. Blazkiewicz Date: 11/7/02

Name : Paul BLAZKIEWICZ

(2) Signature: _____ Date: _____

Name : Danny WONG

(3) Signature: _____ Date: _____

Name : Wei XU

ASSIGNMENT OF PATENT APPLICATION

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WHEREAS,

- (1) Paul BLAZKIEWICZ _____
(2) Danny WONG _____
(3) Wei XU _____
(4) Thomas RYAN _____

residing respectively at

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(1) Signature: _____ Date: _____

Name : Paul BLAZKIEWICZ

(2) Signature:  Date: 7-20-2002

Name : Danny WONG

(3) Signature: _____ Date: _____

Name : Wei XU

(4) Signature: _____ Date: _____

Name : Thomas Ryan

ASSIGNMENT OF PATENT APPLICATION

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 (3) Wei XU _____
 (4) Thomas RYAN _____

residing respectively at

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 (3) Unit 6, 15-17 Pringle Ave., Bankstown, New South Wales 2200, AUSTRALIA _____
 (4) ~~66/65 Myrtle Ave.,~~ Chippendale, New South Wales 2008, AUSTRALIA _____
 13 MEAGHER ST.,

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(1) Signature: _____ Date: _____

Name : Paul BLAZKIEWICZ

(2) Signature: _____ Date: _____

Name : Danny WONG

(3) Signature: _____ Date: _____

Name : Wei XU

(4) Signature: Thomas Ryan Date: 10 July 2002

Name : Thomas Ryan

ASSIGNMENT OF PATENT APPLICATION

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- (1) Paul BLAZKIEWICZ _____
- (2) Danny WONG _____
- (3) Wei XU _____
- (4) Thomas RYAN _____

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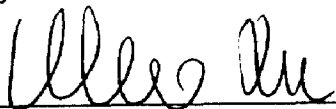
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(1) Signature: _____ Date: _____

Name : Paul BLAZKIEWICZ

(2) Signature: _____ Date: _____

Name : Danny WONG

(3) Signature:  Date: JULY 20, 2002

Name : Wei XU

(4) Signature: _____ Date: _____

Name : Thomas Ryan