

12-31-2002



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JC14 Rec'd PCT/PTO 26 APR 2002

4-26-02

Practitioner's Docket No. DJF 40053

CHAPTER II

**IN THE UNITED STATES ELECTED OFFICE (EO/US)**

PCT/DE00/01849 July 6, 2000 (06.07.00) July 29, 1999 (29.07.99)

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED  
Container Comprising an Inner Pouch

TITLE  
Roland Kneer

APPLICANT(S)

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTENTION: EO/US

**COVER SHEET FOR ASSIGNMENT  
IN THE UNITED STATES ELECTED OFFICE (EO/US)  
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE  
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371**

**IDENTIFICATION OF APPLICATION**

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

1. The patent application filed herewith, and to which the attached assignment (document) refers, is identified as follows:

a. Date of execution:

b. Name of each inventor:

1: Roland Kneer

2:

3:

c. Title of invention: Container Comprising an Inner Pouch

**CERTIFICATION UNDER 37 C.F.R. 1.10\***

(Express Mail label number is mandatory.)

(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying International Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date APRIL 26, 2002, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL 768196809 US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Kristine Carroll

(type or print name of person mailing paper)

*Kristine Carroll*

Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 54,439, at 56,442.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for International Application Entering National Stage under 35 U.S.C. § 371 [13-2 ]—page 1 of 4)

**PATENT**  
**REEL: 013322 FRAME: 0404**

**NAME OF PARTY(IES) CONVEYING INTEREST**

(37 C.F.R. § 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Roland Kneer

Name 2: \_\_\_\_\_

Name 3: \_\_\_\_\_

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**

(37 C.F.R. § 3.31(a)(2))

3. The rights are being conveyed to:

Name: GAPLAST GmbH

Address: Wurmansauerstrasse 22, D-82442

Altenau, Germany

Telephone No.: (       )

**DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED**

(37 C.F.R. § 3.31(a)(3))

4. The accompanying document intends to accomplish:

- ☒ an assignment.  
☐ a security agreement.  
☐ a license.  
☐ a merger.  
☐ a change of name.  
☐ a change of address.  
☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE  
SHOULD BE MAILED (37 C.F.R. § 3.31(a)(5))**

5. Please address correspondence to:

Name: Russell W. Pyle  
Address: 221 N. LaSalle Street  
Suite 850  
Chicago, IL 60601

Telephone No.: ( 312 ) 236-8123

**DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.1(a)(7))**

6. The attached assignment (document) was executed on 4/22/02 . (Date)

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation. 37 C.F.R. § 3.26.

The attached document:

- ☒ is in the English language.  
☐ is not in the English language. A verified English translation signed by the individual making the translation is attached.

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 C.F.R. § 3.24.

7. Submitted herewith is:

- ☒ the original document.  
☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1141 O.G. 63-76, at 67.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for International Application  
Entering National Stage under 35 U.S.C. § 371 [13-2 ]—page 3 of 4)

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET  
AND THE FEE** (37 C.F.R. § 3.31(a)(6))

8. A. This cover sheet identifies only one application.

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

☒ Attached is a ☒ check ☐ money order in the amount of \$ 40.00

☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_

☐ to Deposit Account No. \_\_\_\_\_

☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should **not** be included on this form as it may become public.

☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

**STATEMENT** (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

**NOTE:** "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: 4-25-02

Kristine Carroll

Name of party submitting document

Kristine Carroll  
Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Russell W. Pyle  
SIGNATURE OF PRACTITIONER

Reg. No.: 23.076

Russell W. Pyle

(type or print name of practitioner)

Tel. No. (312) 236-8123

221 North LaSalle St., Suite 350

P.O. Address

Customer No.: IDON302826

Chicago, IL 60601

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

7  
Total number of pages submitted

(Cover Sheet for Assignment in the United States Elected Office (EO/US) for International Application Entering National Stage under 35 U.S.C. § 371 [13-21]—page 4 of 4)

For: ☐ U.S. and/or ☐ Foreign Rights  
For: ☐ U.S. Application or  
      ☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☒ PCT Application  
By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or  
entity(ies) who own the  
invention

Roland Kneer(type or print name(s) of ASSIGNOR(S))Am Weide 11, D-82490 Farchant, GermanyAddressGermanyNationality

*(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)*

**Recorded on** \_\_\_\_\_**Reel** \_\_\_\_\_**Frame** \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**GAPLAST GmbH(type or print name(s) of ASSIGNEE(S))Wurmansauerstrasse 22, D-82442AddressAltenau, GermanyGermanNationality

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3 —page 1 of 3])

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:  
Container Comprising an Inner Pouch

Name of inventor(s) Roland Kneer

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.

☐ Express mail label no.: \_\_\_\_\_

Mailed: \_\_\_\_\_

- ☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☐ U.S. application no. \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_

(e) ☒ International application no. PCT/ DE00/01849 / \_\_\_\_\_

(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

(Assignment of Invention [16-3]—page 2 of 3)

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ day  
of \_\_\_\_\_  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application. If item (a) was checked above.

Date: 4-22-02

  
\_\_\_\_\_  
Signature of ASSIGNOR(S)

Date:

\_\_\_\_\_

Date:

\_\_\_\_\_

Date:

\_\_\_\_\_

(If ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [ 6-3 ]—page 3 of 3)