Form PTO-1595

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## 10-01-2002 10-2237451

**SHEET** 

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No. B05727-00018

To the Commissioner for Patents. Please record the attached original documents or copy thereof.

| 1. Name of conveying party(ies):  | 2. Name and address of receiving party(ies):   |  |
|---|--|--|
| AT Plastics Inc.  Q-30- ≥ Z  Additional names of conveyed party(ies) attached?  □ Yes ⊠ No  | Name: The Peninsula Fund III, L.P. Street Address: The Buhl Building 535 Griswold Street Suite 2050  |  |
| 3. Nature of Conveyance  ☐ Assignment ☐ Merger  ☐ Security Agreement ☐ Change of Name  ☐ Other  | City: Detroit State: Michigan Zip: 48226  Additional name(s) & address(es) attached?  Pes No   |  |
| Execution Date: January 10, 2002  | 3  |  |
| Execution Date: January 10, 2002  4. Application number(s) or patent number(s):  1f this document is being filed together with a new application  A. Patent Application No(s).: 09/330,482  09/881,773  Additional numbers attack | n, the execution date of the application is  No(s).: 5,686,546  5,695,881  Ched?  Yes  No  |  |
| Additional numbers attac  | ched? ☐ Yes ☒ No   |  |
| Name and address of party to whom correspondence concerning document should be mailed:      Lawrence H. Meier     Downs Rachlin Martin PLLC   | <ul> <li>6. Total number of applications and patents involved: Four . </li> <li>7. Total fee (37 CFR 3.41): \$ 160.00</li> <li>☑ Enclosed</li> </ul> |  |
| 199 Main Street P.O. Box 190 Burlington, Vermont 05402-0190 802-863-2375  | ☐ Authorized to be charged to deposit account ☐ Authorized to charge any deficiencies in the enclosed fees to deposit account                        |  |
|   | 8. Deposit account number: <u>04-1588</u>  |  |
|   | (Attach duplicate copy of this page if paying by deposit account)  |  |
| DO NOT USE  | THIS SPACE   |  |
| 9. Statement and signature:  To the best of my knowledge and belief, the foregoing inform true copy of the original document.   | nation is true and correct and any attached copy is a  |  |
| Jamme H. Meier (Reg. No. 31.446)  7/24/02  Date   |  |  |
| 160.00 OP   | Total number of pages, including cover sheet 8.  |  |
| P204 – 5/00<br>BTV/217843.1   |  |  |

#### ASSIGNMENT FOR SECURITY

#### (PATENTS)

WHEREAS, AT Plastics Inc. (the "Assignor") holds all right, title and interest in the letter patents, design patents and utility patents listed on the annexed Schedule 1A (the "Patents");

**WHEREAS**, the Assignor, has entered into a Borrower Security Agreement, dated January 10, 2002 (the "Security Agreement"), in favour of The Peninsula Fund III, L.P., as secured party (the "Assignee");

WHEREAS, pursuant to the Security Agreement, the Assignor has assigned to the Assignee and granted to the Assignee a continuing security interest in all right, title and interest of the Assignor in, to and under the Patents and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof (the "Collateral"), to secure the payment, performance and observance of the Obligations (as defined in the Security Agreement);

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Assignor does hereby pledge, convey, sell, assign, transfer and set over unto the Assignee and grants to the Assignee a continuing security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

The Assignor does hereby further acknowledge and affirm that the rights and remedies of the Assignee with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

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IN WITNESS WHEREOF, the Assignor has caused this Assignment to be duly executed by its officer thereunto duly authorized as of January <u>/o</u>\_, 2002.

AT PLASTICS INC

By:

Jame: Gary Connaughty

Title: President and CEO

On this <u>loh</u> day of January, 2002, before me personally came Gary Connaughty, to me known to be the person who executed the foregoing instrument, and who, being duly sworn by me, did depose and say that he is the President and CEO of AT Plastics Inc., a Canadian corporation, and that he executed the foregoing instrument in the firm name of AT Plastics Inc., and that he had authority to sign the same, and he acknowledged to me that he executed the same as the act and deed of said firm for the uses and purposes therein mentioned.

Notary Public

# SCHEDULE 1A TO ASSIGNMENT FOR SECURITY (PATENTS AND PATENT APPLICATIONS)

See attached

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### Schedule A - Intellectual Property (AT Plastics Inc.)

#### 1. Copyrights

Nil.

#### 2. Designs

Nil.

#### 3. Licensee License Agreements

Master Supply Agreement dated June 4, 2001 between AT Plastics Inc. and Equistar Chemicals, L.P.

Supply Agreement dated December 24, 2001 between AT Plastics Inc. and Noveon Canada Inc.

Software License Agreement dated October 31, 1996 between System Software Associates, Inc. and AT Plastics Inc.

#### 4. Licensor License Agreements

Nil.

#### 5. Patents

| Jurisdiction  | Patent No./Application No. | Title   |
|---------------|----------------------------|---|
| Canada        | 2,113,961                  | One Part Cross-Linkable Hot Melt<br>Adhesives   |
| Canada        | 2,273,740                  | Polyolefin-Backed Nylon Carpets With Improved Stability                                       |
| United States | 09/330,482                 | Polyolefin-Backed Nylon Carpets With Improved Stability                                       |
| Canada        | 2,161,911                  | Coated Soft Copolymers Suitable For Bulk Shipment and Storage                                 |
| Canada        | 2,161,991                  | Cross-Linkable Polymer Composition<br>Containing A Carboxylic Acid<br>Precursor As A Catalyst |
| United States | 5,686,546                  | Cross-Linkable Polymer Composition<br>Containing A Carboxylic Acid<br>Precursor As A Catalyst |

#### EXECUTION COPY

| United States | 5,695,881  | Coated Soft Copolymers Suitable For<br>Bulk Shipment and Storage                 |
|---------------|------------|--|
| Canada        | 2,137,218  | Moisture Crosslinkable Flame<br>Retardant Compositions For Cable<br>Applications |
| United States | 09/881,773 | Thermoplastic Film Having Improved Anti-Fog and Mechanical Properties            |
| Canada        | 2,350,257  | Thermoplastic Film Having Improved Anti-Fog and Mechanical Properties            |
| Canada        | 2,332,266  | Semiconductive Polyolefin Compositions and cables covered with the same          |
| Canada        | 2,220,495  | Water resistant electrical insulation compositions                               |
| Canada        | 2,220,082  | Greenhouse film having variable light diffusion properties                       |
| Canada        | 2,135,846  | Cross-linkable polymer composition containing a lactone moiety as acatalyst      |
| Canada        | 2,133,777  | Articles formed of multilayered film having antifog properties                   |
| Canada        | 2,084,361  | Silane-crosslinkable copolymer compositions                                      |
| Canada        | 2,084,359  | Ethylene vinyl silane copolymer based hot melt adhesives                         |
| Canada        | 2,076,249  | Silane - cross linkable copolymer composition                                    |
| Canada        | 2,039,894  | Water-tree resistant cable formulations  |
| Canada        | 1,276,092  | Hold-down system for storage of silage   |

6. Owned Software

Build/Rebuild software.

**RECORDED: 09/24/2002** 

Sales Force Automation software.