

09-27-2002



To the Assistant Commissioner for Patents.

102235750

or copy thereof.

1. Name of conveying party(ies):

Jeffrey Douglas Brown  
John David Irish  
Steven R. Kunkel

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 09/20/02, 09/20/02, 09/20/02

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

11036 U.S. PTO

10/25/2002

09/20/02

4. Application number(s) or patent number(s):

**TITLE: METHOD AND APPARATUS FOR IMPLEMENTING CACHE STATE AS HISTORY OF READ/WRITE SHARED DATA**

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_.

A. Patent Application No(s). 10251 276

B. Patent No(s).

Docket No. ROC920020134US1  
Serial No.

Additional numbers attached? ☐ Yes ☒ No

Name and address of party to whom correspondence concerning document should be mailed:

Robert R. Williams  
IBM Corporation - Dept. 917  
3605 Highway 52 North  
Rochester, MN 55901-7829

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☒ Total fee due

☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leslie J. Payne #26.378

Name of Person Signing

Signature

Date

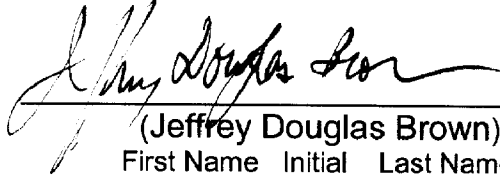
Total number of pages comprising cover sheet: 3

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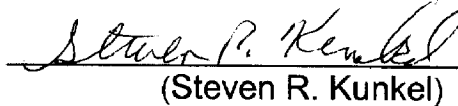
**Signed**Inventor  
(1)at <sup>a</sup> Rochester, Minnesotaon 9/20/, 2002.

  
(Jeffrey Douglas Brown)

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Inventor  
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(Steven R. Kunkel)

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Inventor  
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