



Docket No. 434-240

ASSIGNMENT

WHEREAS, WE, Mark P. Mattson of Bel Air, Maryland; Robert C. Haddon of Riverside, California; and Apparao M. Rao of Anderson, South Carolina have made a certain new and useful invention as set forth in an application for United States Letters Patent, executed by us on the 3rd day of August, 2001, entitled MOLECULAR FUNCTIONALIZATION OF CARBON NANOTUBES AND USE AS SUBSTRATES FOR NEURONAL GROWTH;

AND WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation of the Commonwealth of Kentucky, and having a place of business at Astecc Building, Room A144, Lexington, Kentucky 40506, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, we do hereby sell, assign, transfer and set over unto UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, we hereby agree with the said assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will, at any time upon request, without further or additional consideration but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of assignor and assignee;

WE hereby authorize and request our attorneys King and Schickli, PLLC of 247 North Broadway, Lexington, Kentucky 40507 to insert here in parentheses (Application Serial No. 09/920,213, filed August 1, 2001) the serial number and filing date of this application when known.

AND we request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

*Mark P. Mattson*  
MARK P. MATTSON

STATE OF Kentucky  
COUNTY OF Fayette

On this 11th day of November, 2002, before me personally appeared MARK P. MATTSON, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: 11/6/2006.

SEAL

*Andrea K. Williams*  
NOTARY PUBLIC

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ROBERT C. HADDON

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me personally appeared ROBERT C. HADDON, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: \_\_\_\_\_

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

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Lexington, Kentucky 40507 to insert here in parentheses (Application Serial No. 09/920,213, filed August 1, 2001) the serial number and filing date of this application when known.

AND we request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

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MARK P. MATTSON

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2002, before me personally appeared MARK P. MATTSON, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: \_\_\_\_\_

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

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Robert C. Haddon  
ROBERT C. HADDON

STATE OF CALIFORNIA )  
COUNTY OF RIVERSIDE )

On this 1 day of October, 2002, before me personally appeared ROBERT C. HADDON, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: January 31, 2006

SEAL

Kathleen M. Murray  
NOTARY PUBLIC



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Apparao M. Rao  
APPARAO M. RAO

STATE OF SC )  
COUNTY OF Pickens }

On this 5<sup>th</sup> day of November, 2001, before me personally appeared

APPARAO M. RAO, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires: April 30, 2002

Lori S. Rholetter  
NOTARY PUBLIC

