

09-27-2002

COVER SHEET

U.S. Department of Commerce

(Rev. 6-93)
OMB No. 0651-0111 (exp. 4/94)

SEP 18 2002



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Y
D-5615.1-CIP

To the Honorable Commissioner of Patents and Trademarks: please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
**Elizabeth Stockert, Matthew Scanlan, Yao-Tseng
Chen, Dirk Jager, Elke Jager, Alexander Knuth, Lloud Old**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

9-18-02

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: May 30, 2002, July 18, 2000, July 18, 2000, July
1, 2000, July 20, 2000, July 1, 2000, July 1, 2000, June 12, 2002

2. Name and address of receiving party(ies):

Name: **Ludwig Institute for Cancer Research**Street Address: **605 Third Avenue
New York, New York 10158**Name: **Cornell Research Foundation**Street Address: **20 Thornwood Drive
Ithaca, New York 14850**Name: **Memorial Sloan-Kettering Cancer Center**Street Address: **1275 York Avenue
New York, New York 10021**Additional name(s) & address(es) attached? Yes ☒ No4. Application number(s) or patent number(s): 09/602,362

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Norman D. Hanson.Internal Address: FULBRIGHT & JAWORSKI L.L.P.Street Address: 666 Fifth AvenueCity: New York State: NY Zip: 101036. Total number of applications and patents involved: 17. Total fee (37 C.F.R. § 3.41) \$00.00☐ Enclosed☒ Authorization to be charged to deposit account (if check is not attached)8. Deposit Account Number: 50-0624
if check is not attached

(Attach duplicate of this page if paying by Deposit Account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Norman D. Hanson, Reg No. 30,946

Name of Person Signing

Signature

September 13, 2002

Date

Total number of pages including cover sheet, attachment, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patent and Trademarks, Box Assignments
Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Elizabeth Stockert, Matthew Scanlan, Yao-Tseng Chen,
Dirk Jager, Ali Gure, Elke Jager, Alexander Knuth,
Ludwig Old

2. Name and address of receiving party(ies):

Name: LUDWIG INSTITUTE FOR CANCER RESEARCH

Internal Address: 605 Third Avenue, New York, New York 10158

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: 605 Third Avenue
New York, New York 10158Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: May 30, 2002, July 18, 2000, July 18, 2000, July
1, 2000, July 20, 2000, July 1, 2000, July 1, 2000, June 12, 2002

4. Application number(s) or patent number(s): 09/602,362

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Norman D. Hanson

Internal Address: FULBRIGHT & JAWORSKI L.L.P.

Street Address: 666 Fifth Avenue

City: New York State: NY Zip: 10103

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41) \$240.00

- ☒ Enclosed
☐ Authorization to be charged to deposit account

8. Deposit Account Number: 500624

(Attach duplicate of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Norman D. Hanson, Reg. No. 30,946
Name of Person Signing

Signature

\$200.00 6/10/02
Date

Total number of pages including cover sheet, attachment, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patent and Trademarks, Box Assignments
Washington, D.C. 20231

07/02/2002 J7ALLAH2 00000031 09602362

01 PE:561

40.00 DP

#25176807v1<IPT> -Assignment Recordation COver sheet LUD 5615.1CIP.wpd

Refund Ref: 07/02/2002 J7ALLAH2 0000110456

CHECK Refund Total: 7

Docket No. LUD 5615.1 CIP(10006411)

ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**" have invented certain new and useful improvements in

ISOLATED NUCLEIC ACID MOLECULES ENCODING CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER SE, AND USES THEREOF

for which an application for United States Letter Patent was filed on June 22, 2000 and assigned Serial No.

Whereas Ludwig Institute for Cancer Research
605 Third Avenue
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

Said **ASSIGNOR** hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said **ASSIGNEE**, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said **ASSIGNOR** had this assignment and sale not been made.

Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated.

Date(s)

Signature(s)

May 31, 2002

Elizabeth Stockert
Elizabeth Stockert

July 18, 2000

Matthew Scanlan
Matthew Scanlan

ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

ISOLATED NUCLEIC ACID MOLECULE ENCODING
CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER
SE, AND USES THEREOF

for which an application for United States Letter Patent was filed on June 22, 2000, and assigned Serial No. .

Whereas Cornell Research Foundation
 20 Thornwood Drive
 Ithaca, New York 11850

a New York, not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

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Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated:

Date(s)

Signature(s)

7/18/00

Yao-Tseng Chen
Yao-Tseng Chen

Docket No. LUD 5615.1 CIP(10006411)

ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

ISOLATED NUCLEIC ACID MOLECULES ENCODING CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER SE, AND USES THEREOF

for which an application for United States Letter Patent was filed on June 22, 2000 and assigned Serial No.

Whereas Ludwig Institute for Cancer Research
605 Third Avenue
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

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Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated.

Date(s)

Signature(s)

7-1-2000

Dirk Jager
Dirk Jager

ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

ISOLATED NUCLEIC ACID MOLECULE ENCODING
CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER
SE, AND USES THEREOF

for which an application for United States Letter Patent was filed on June 22, 2000, and assigned Serial No.

Whereas Memorial Sloan-Kettering Cancer Center
 1275 York Avenue
 New York, New York 10021

a New York, not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

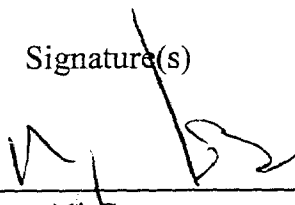
Said **ASSIGNOR** hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said **ASSIGNEE**, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said **ASSIGNOR** had this assignment and sale not been made.

Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated:

Date(s)

Signature(s)

JULY 20, 2000



Ali Gure

Docket No. LUD 5615.1 CIP (10006411)

ASSIGNMENT

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ISOLATED NUCLEIC ACID MOLECULES ENCODING CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER SE, AND USES THEREOF

for which an application for United States Letter Patent was filed on June 22, 2000 and assigned Serial No.

Whereas Ludwig Institute for Cancer Research
605 Third Avenue
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

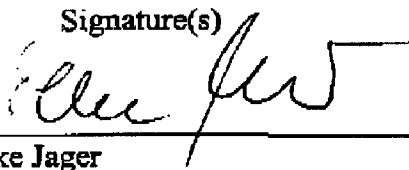
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Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated.

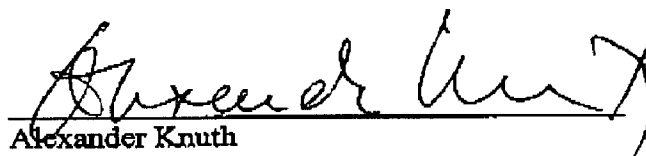
Date(s)

7-1-2000

Signature(s)


Elke Jager

7-1-2000


Alexander Knuth

Docket No. LUB 5615.1 CIP (10006411)

ASSIGNMENT

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Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

Signature(s)

6/12/02

Lloyd J. Old