

09-30-2002



102236869

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name(s) of conveying party/parties:

Carroll Eugene Fulkerson,  
Jr.

Anthony Joseph Nadalin

Nataraj Nagarathnam

09/20/02

2. Name and address of receiving party

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504j1017 U.S. PTO  
10/25/02  
09/20/02Additional Names Attached? Yes ☒ No

3. Nature of Conveyance

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date(s): 09/16/2002 and 09/17/2002

Additional Names Attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 09/16/2002;  
09/17/2002

A. Patent Application No(s)

B. Patent No(s)

10/251502

Additional Numbers Attached? Yes ☒ No5. Name and Address of party to whom  
correspondence concerning the document should be  
sent:

Name: Edward H. Duffield

Internal Address:

IBM Corporation, T81/503

Street Address:

PO Box 12195

City/State/Zip: Res. Tri. Park, NC 27709

6. Total Number of applications and patents  
involved:

1

7. Total fee (37 CFR 3.41) .....\$ 40

☐ Enclosed☒ Charge to deposit account

8. Deposit account Number: 09-0461

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward H. Duffield

Name of Person Signing

Signature

September 20, 2002

Date

Total Number of pages including cover sheet, attachments and document

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## ASSIGNMENT

Whereas, we

-1 **Carroll Eugene Fulkerson, Jr.**

of: Raleigh, North Carolina

and (2) **Anthony Joseph. Nadalin**

of: Austin, Texas

and (3) **Nataraj Nagaratnam**

of: Morrisville, North Carolina

have invented certain improvements in

### **Pluggable Trust Adapter Architecture, Method and Program Product for Processing Communications**

and have executed, respectively, a United States patent application therefor on

-1 09-16-2002 -2 (21-17)-1962 -3 09-16-2002

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED AND SEALED

(1) at: Research Triangle Park, NC

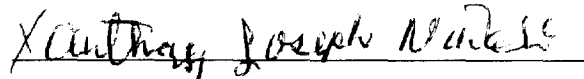
on: 9/16/02



Carroll Eugene Fulkerson, Jr.

and (2) at: Austin, Texas

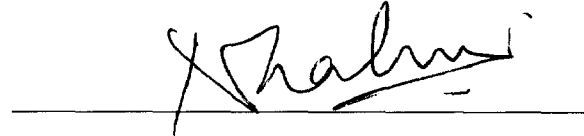
on: X 9/17/02



Anthony Joseph Nadalin

and (3) at: Research Triangle Park, NC

on: 9/16/02



Nataraj Nagaratnam