

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

09-30-2002



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U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

# RECORDATION FORM COVER SHEET PATENTS ONLY

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## Submission Type

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
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## Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

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☐ Departmental File ☐ Secret File

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Name (line 1)  Execution Date Month Day Year

Name (line 2)

## Second Party

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

09/16/943

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Mail documents to be recorded with required cover sheet(s) information to:  
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**PATENT**  
**REEL: 013329 FRAME: 0397**

**Correspondent Name and Address**

Area Code and Telephone Number **410-465-6678**

Name **Morton J. Rosenberg**

Address (line 1) **Rosenberg, Klein & Lee**

Address (line 2) **3458 Ellicott Center Drive, Suite 101**

Address (line 3) **Ellicott City, MD 21043**

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **3**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
**9/20/2002**

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

# **1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# **18-2011**

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

**Morton J. Rosenberg**

Name of Person Signing

Signature

Date

# ASSIGNMENT DEED

This Assignment agreement is applicable to an invention entitled (invention Title) COSMETIC CONTAINER

The PATENT RIGHTS referred to in this agreement are:

- (Check one) ☒ a Patent Application for this invention, executed by the ASSIGNOR(s) concurrently with this Assignment  
☐ U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_

The PATENT RIGHTS assigned under this agreement are:

- (Check one) ☒ U.S. Patent rights only  
☐ worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

The ASSIGNOR(s) referred to in this agreement is (or are):

(Full name of first assignor) YU-CHING SHIH  
 (Address) No. 707-6, Chung Cheng South Road, Yung Kung City, Tainan Hsien, Taiwan, R.O.C.

(Full name of second assignor, if any) \_\_\_\_\_  
 (Address) \_\_\_\_\_

(Full name of third assignor, if any) \_\_\_\_\_  
 (Address) \_\_\_\_\_

(Full name of fourth assignor, if any) \_\_\_\_\_  
 (Address) \_\_\_\_\_

The First ASSIGNEE referred to in this agreement is:

(Name of Assignee) HAWTHORN ENTERPRISE CO., LTD.  
 (Address of Assignee) No. 707-6, Chung Cheng South Road, Yung Kung City, Tainan Hsien, Taiwan, R.O.C.

The Second ASSIGNEE referred to in this agreement is:

(Name of Assignee) \_\_\_\_\_  
 (Address of Assignee) \_\_\_\_\_

The First ASSIGNEE is:

- (Check one) ☐ an individual  
☐ a partnership  
☒ a Corporation of Taiwan, R.O.C. (State or Country)

The Second ASSIGNEE is:

- (Check one) ☐ an individual  
☐ a partnership  
☐ a Corporation of \_\_\_\_\_ (State or Country)

☐ Additional assignees are being named on separately numbered sheets attached hereto.

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:  
 the full and exclusive right to the invention;  
 an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all continuations, continuations-in-part, divisionals, re-issues, and re-examination patents and patent applications; and  
 the right to claim priority under 35 U.S.C. 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and requests the Director of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(s) as the ASSIGNEE(s) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(s), their successors and assigns.

Further, the ASSIGNOR(s) agree(s) to communicate to said ASSIGNEE(s), or their representatives, any facts known to the ASSIGNOR(s) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(s), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(s), their successors and assigns, to obtain and enforce proper protection for said invention.

YU-CHING SHIH YU-CHING SHIH 9/20/2002  
 (Signature of sole or first assignor) (SHIH IS FAMILY NAME) (Date)

\_\_\_\_\_  
 (Signature of second assignor, if any) (Date)

\_\_\_\_\_  
 (Signature of third assignor, if any) (Date)

\_\_\_\_\_  
 (Signature of fourth assignor, if any) (Date)