

09-30-2002

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Commissioner for Patents: Please indicate if attached original document(s) or copy(ies).

FINANCE SECTION

1. Name of conveying party(ies):

- 1) Larry R. Pease
- 2) Moses Rodriguez
- 3) Daren Ure
- 4) Loc T. Nguyen
- 5) Suresh Radhakrishnan

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Mayo Foundation for Medical Education and
Research
200 First Street S.W.
Rochester, MN 55905

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: 1) 09/19/2002; 2) 09/17/2002;
3) 09/17/2002; 4) 09/17/2002; 5) 09/17/2002

Additional names/addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s):

10/196,601

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

MARK S. ELLINGER, PH.D.
Fish & Richardson P.C., P.A.
60 South Sixth Street
Suite 3300
Minneapolis, MN 55402

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒ Enclosed
☐ Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Mark S. Ellinger, Ph.D.

Reg. No. 34,812

Name of Person Signing

Signature

Date

September 24, 2002

Total number of pages including coversheet, attachments and document: 7

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Date of Deposit: September 24, 2002

PATENT
REEL: 013329 FRAME: 0627

ASSIGNMENT

For valuable consideration, we, of, LARRY R. PEASE of 1708 Northern Viola Lane NE Rochester, MN 55906, MOSES RODRIGUEZ of 2402 Hillside Lane SW, Rochester, MN 55902, DAREN URE of 2315 22nd Street NW, Rochester, MN 55901, LOC T. NGUYEN of 2742 Georgetown PL, Rochester, MN 55901, and SURESH RADHAKRISHNAN of 806 2nd Street SW, Rochester, MN 55901, hereby assign to: Mayo Foundation for Medical Education and Research, a Minnesota corporation having a place of business at: 200 First Street S.W., Rochester, MN 55905; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled, DENDRITIC CELL POTENTIATION, filed July 16, 2002, and assigned U.S. Serial Number 10/196,601, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

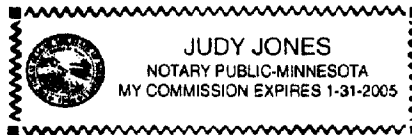
IN WITNESS WHEREOF, I hereto set my hand and seal at Rochester, MN,
this 19 day of Sept, 2002
Larry R Pease L.S.
LARRY R. PEASE

State of MINNESOTA :
: SS.
County of OLMSTED :

Before me this 19 day of SEPT, 2002, personally
appeared LARRY R. PEASE known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Judy Jones
Notary Public
My Commission Expires:

[Notary's Seal Here]



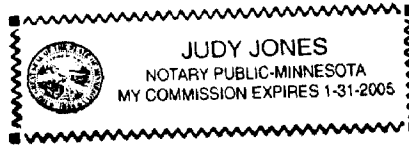
IN WITNESS WHEREOF, I hereto set my hand and seal at Rochester, Mn,
this 17th day of September, 2002
Moses Rodriguez L.S.
MOSES RODRIGUEZ

State of MINNESOTA :
: ss.
County of OLMSTED :

Before me this 17 day of Sept, 2002, personally
appeared MOSES RODRIGUEZ known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Judy Jones
Notary Public
My Commission Expires:

[Notary's Seal Here]



IN WITNESS WHEREOF, I hereto set my hand and seal at Rochester, MN,

this _____ day of _____, 20____

Daren Ure 9-17-02 L.S.
DAREN URE

State of MINNESOTA :

: ss.

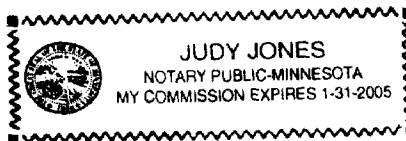
County of OLMSTED :

Before me this 17th day of Sept., 2002, personally
appeared DAREN URE known to me to be the person whose name is subscribed to the foregoing
Assignment and acknowledged that he executed the same as his free act and deed for the
purposes therein contained.

Judy Jones
Notary Public

My Commission Expires:

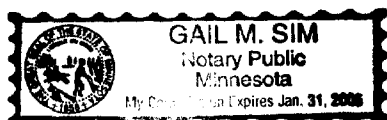
[Notary's Seal Here]



IN WITNESS WHEREOF, I hereto set my hand and seal at Mayo Clinic Rochester,
 this 17 day of September, 2002
 _____ L.S.
 LOC T. NGUYEN

State of MN :
 : ss.
 County of Olmsted :

Before me this 17th day of Sept., 2002, personally
 appeared LOC T. NGUYEN known to me to be the person whose name is subscribed to the
 foregoing Assignment and acknowledged that he executed the same as his free act and deed for
 the purposes therein contained.



Gail M. Sim
 Notary Public
 My Commission Expires: 01/31/05

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Mayalline, Rochester,
this 17th day of September, 2002
R-121 L.S.
SURESH RADHAKRISHNAN

State of MN :
: ss.
County of Olms ted :

Before me this 17th day of September, 2002, personally
appeared SURESH RADHAKRISHNAN known to me to be the person whose name is
subscribed to the foregoing Assignment and acknowledged that he executed the same as his free
act and deed for the purposes therein contained.



Gale M. Sim
Notary Public

My Commission Expires: 01/31/05

[Notary's Seal Here]

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